

Article

# Methylated Poly(ethylene)imine Modified Capacitive Micromachined Ultrasonic Transducer for Measurements of CO<sub>2</sub> and SO<sub>2</sub> in Their Mixtures

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**Abstract:** A gravimetric gas detection device based on surface functionalized Capacitive Micromachined Ultrasound Transducers (CMUTs) was designed, fabricated and tested for detection of carbon dioxide (CO<sub>2</sub>) and sulfur dioxide (SO<sub>2</sub>) mixtures in nitrogen. The created measurement setup of continuous data collection, integrated with an in-situ Fourier Transform Infrared (FT-IR) spectroscopy, allows for better understanding of the mechanisms and molecular interactions with the sensing layer (methylated poly(ethylene)imine) and its need of surface functionalization for multiple gas detection. During experimentation with CO<sub>2</sub> gases, weak molecular interactions were observed in spectroscopy data. Linear sensor response to frequency shift was observed with CO<sub>2</sub> concentrations ranging from 0.16 vol % to 1 vol %. Moreover, the Raman and FT-IR spectroscopy data showed much stronger SO<sub>2</sub> and the polymer interactions, molecules were bound by stronger forces and irreversibly changed the polymer film properties. However, the sensor change in resonance frequency in the tested region of 1 vol % to 5 vol % SO<sub>2</sub> showed a linear response. This effect changed not only the device resonance frequency but also affected the magnitude of electroacoustic impedance which was used for differentiating the gas mixture of CO<sub>2</sub>, SO<sub>2</sub>, in dry N<sub>2</sub>.

Keywords: CMUT; methylated polyethyleneimine; CO2; SO2; gravimetric detection; gas sensing

# 1. Introduction

The constant increase in gas pollution generating industrial activities, such as the burning of fossil fuels or animal husbandry, has increased emissions of sulfur, carbon and nitrogen oxides into the atmosphere [1–3]. High concentrations of sulfur dioxide and carbon dioxide can be found near petroleum refineries, commodity chemical industries, power plants and ore processing plants. Increasing concentrations of these gases in the environment are associated with health hazards that can cause respiratory illnesses, smog, acid rain or accumulation and growth of aerosol particles [1,4]. This necessitates an increased focus on monitoring and controlling these emissions by developing gas sensing systems that are size and cost-effective, sensitive and selective towards particular acidic gases.

Routinely, detection of gas molecule mixtures in the air can be performed by dedicated analysis systems based on infrared spectroscopy [5–7], gas chromatography [8,9], mass spectrometry or gravimetry [10]. Some of these methods result in bulky and expensive devices that use a lot of power and require routine maintenance/calibration, are incompatible with integrated circuits (ICs) and cannot readily be scaled down. On the other hand, resonant gravimetric techniques can be miniaturized and use little power while still maintaining the selectivity and sensitivity required [11]. Some of



these have already been used for different applications and have the potential for integration into mobile applications. Some examples of such sensors based on resonant gravimetry include film bulk acoustic resonators (FBARs) [12–14], quartz crystal microbalance (QCM) [15–18], surface acoustic wave (SAW) sensors [19–22], micro and nanocantilevers [23–27] and Capacitive Micromachined Ultrasound Transducers (CMUTs) [28–33]. CMUTs can be fabricated in different sizes and shapes with optimized features fulfilling needed mass sensitivity of the resonator, the limit of detection and signal to noise ratio (SNR). CMUT's are composed of an array of resonating structures, usually referred to as the CMUT cells, that can operate in parallel. When several of the membranes are damaged or not working properly, other membranes can still provide useful data regarding the obtained signal. Furthermore, the active sensing area is much higher and miniaturization potential and integration to other electronics is higher when compared to another type of gravimetric sensor.

CMUTs have been used for dimethyl methylphosphonate (DMMP) [30,31,34], water, ethanol, acetone, ethyl acetate, methane [33] and carbon dioxide in air [35], biomolecule [32,36] and carbon dioxide in nitrogen [37,38] detection. Multiple gases can be detected by functionalizing the CMUT surface with materials, usually, polymers, that interact with specific analytes [39]. This kind of surface modification can be performed using spin coating [40,41], spray coating [42], dip coating [43], ink jet printing [44] and layer by layer (LBL) deposition [45]. It has been shown that an increase in selectivity can be achieved by coating different CMUT elements with different sensing layers [33,37,46]. Some researchers showed a possibility of making wireless, low power, CMUT-based gas sensors with designs including multiple channels for better selectivity [39,47–49]. However, sensor functionalization, while resulting in selective molecular interactions with the analyte, can result in very strong binding and irreversible changes to the molecular structure.

In this work, a gas sensor suitable for acidic gas ( $CO_2$  and  $SO_2$ ) mixture detection is presented. The sensor, consisting of the capacitive micromachined ultrasound transducer, is described and detailed in this work together with the experimental testing setup. The sensor was spin-coated with a thin layer of a polymer that interacts with the gases of interest. Most of the similar CMUT gas sensor systems mainly focus their measurements on the resonant oscillations as a way to read the sensor. In this work, a functional material methylated poly(ethylene)imine (mPEI) is utilized for developing highly selective sensors towards  $SO_2$  and  $CO_2$ . The selected functional material interacts with gas molecules differently resulting not only in the change in the resonance frequency of the CMUT but it also affects the magnitude of the real part of the electroacoustic impedance. The measurement of both parameters leads to selective detection of target gases in the gas mixture. Supporting in-situ infrared spectroscopy measurements of the gas spectral information were collected. The experimental data of the sensing element response towards  $CO_2$  and  $SO_2$  with particular emphasis on sensing of their mixtures are shown. Fundamental interactions of these gases with the functionalization mPEI layer are described using infrared spectroscopy methods including in-situ Fourier Transform Infrared spectroscopy and ex-situ Raman spectroscopy.

### 2. Materials and Methods

#### 2.1. CMUT Device Analysis, Design, Fabrication and Assembly

To analyze and find the optimal operating point and working conditions an analytical CMUT model using an equivalent circuit method [50–54] was utilized. In this model, all of the CMUT cells are regarded as mechanical resonators. The resonance frequency of such cells, consisting of top and bottom electrodes and a vacuum gap in between, can be expressed as

$$f = \frac{1}{2\pi} \sqrt{\frac{k_s - k_e}{m_m + m_a}} \tag{1}$$

here,  $k_s$ —elastic coefficient of the membrane,  $k_e$ —elastic coefficient of the membrane due to electrostatic force,  $m_m$ —mass of the membrane,  $m_a$ —any additional mass on the surface of the membrane.

The elastic coefficient,  $k_s$ , of the membrane depends on its shape and can be calculated using Hooke's law, having a square shaped membrane with a side length of  $2a_m$ 

$$k_s = \frac{188D}{a_m^2}$$
 where  $D = \frac{Ed_m^3}{12(1-\nu^2)}$  (2)

here, *E*—Young's modulus of the membrane material, *v*—Poisson's ratio of the membrane material,  $d_m$ —membrane thickness.

Under non-ideal conditions, the oscillations of the membrane are attenuated due to the structural and environmental factors. The total attenuation coefficient can be calculated with Equation (3) by the algebraic sum of the structural attenuation,  $b_{vs}$ , and the environmental attenuation,  $b_{va}$ .

$$b_v = b_{vs} + b_{va} \tag{3}$$

The main attenuation originating from environmental factors, such as temperature, pressure or humidity, can be calculated, assuming that the sensing layer is a liquid substance, from Equation (4)

$$b_{va} = Z_s \frac{A_m^2}{A_v} \tag{4}$$

here,  $A_m$ —an area of the membrane,  $A_v$ —full area of the transducer including the area which does not vibrate,  $Z_s$ —acoustic impedance of liquid substance expressed as

$$Z_s = \sqrt{\rho_s \left[\frac{1}{\xi_s} + j\omega(2\eta_2 + \eta_1)\right]} \approx \rho_s c_s \tag{5}$$

here,  $\rho_s$ —density of the membrane material,  $\xi_s$ —compressibility of adiabatic gas,  $c_s$ —the speed of sound in the gas,  $\eta_1$  and  $\eta_2$ —first and second viscosity coefficients of gas.

When the attenuation coefficient is known, it is possible to calculate the quality factor, Q, from an equivalent circuit model

$$Q = \frac{f_0}{\Delta f} = 2\pi f_0 \frac{m_m}{b_{vs}} \tag{6}$$

here,  $\Delta f$ —width of resonance frequency peak at the level of -3 dB.

For CMUT, the quality factor usually does not reach above 100, using the most popular fabrication methods, such as wafer bonding and sacrificial release [37,55,56]. However, when thin plates and very thin vacuum gaps are formed, it is possible to obtain quality factors over 100 [57,58] and other researchers have also shown an impressive quality factor of 400 [34].

CMUT design parameters are given in Table 1, which was established in previous work [59]. The thickness of the membrane can be determined by the SOI wafers device layer thickness. However, a custom-order of SOI wafers with required thickness and parameters is expensive; the two options already available in the market, with 2 to 3  $\mu$ m device layer, were used. Using the analytical model, two main functions were obtained regarding the membrane size, collapse voltage, resonance frequency and plate thickness. Figure 1 shows the analytical model results of collapse voltage and resonance frequency as a function of the membrane size and thickness. Using analytical data, an optimized design point was selected based on factors such as collapse voltage and maximum sensing area. The sensing area was limited to 4 mm<sup>2</sup> and the pull-in voltage to be below 200 V. Based on these two limitations the lateral dimension of the membrane was selected to be 42  $\mu$ m. In the given limited sensing area it was possible to fit 40 capacitive cells every 50  $\mu$ m with 8  $\mu$ m space in between creating a full array of 1600 cells in total. Based on the analytical results, the selected side length of the capacitive cells corresponds to a resonance frequency at ~16 MHz with the membrane thickness of 2  $\mu$ m.

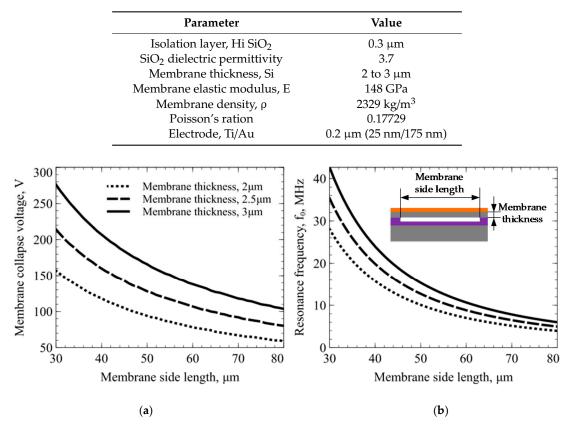


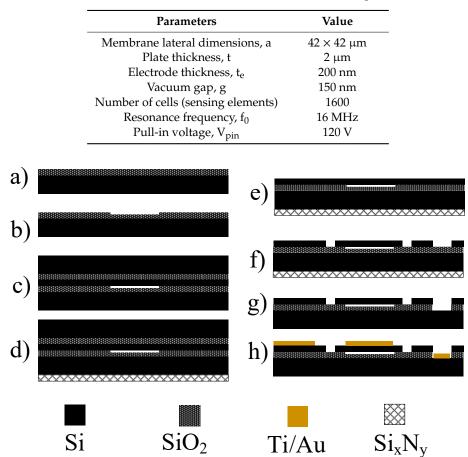
Table 1. Parameters used for materials.

**Figure 1.** Capacitive micromachined ultrasonic transducer (CMUT) analytical model results: (**a**) membrane collapse voltage as a function of membrane side length and thickness; (**b**) membrane resonance frequency as a function of membrane side length and thickness. A small inset shows the structure of the single CMUT cell and the parameters simulated in the analytical model.

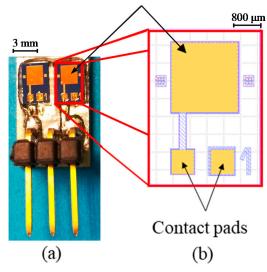
Gravimetric CMUT sensor design was based on the obtained data from the analytical model. Fabrication parameters used for the membrane size, thickness, number of cells, resonance frequency and collapse voltage are shown in Table 2 as obtained in previous work [59]. CMUT prototype chips were fabricated in microfabrication cleanroom ISO Class 5 facilities using the wafer bonding technique [60,61] with standard microfabrication processes. The wafer bonding process allowed creating devices with controllable properties of the design parameters such as the depth of the vacuum gap, moving plate thickness, membrane size and shape. Figure 2 shows the processing steps used for CMUT prototype chip microfabrication. First, 4 inch Si wafers with 300 nm of thermally grown SiO<sub>2</sub> was patterned using UV photolithography with positive photoresist. Patterned wafers were submerged into a buffered oxide etch (BOE) solution. The etching rates of BOE are known and are used widely [62–65]. This etch step created cavities for the vacuum gap of the designed CMUT device. Cavity depth was controlled by timing of the buffered oxide etch step. Etch depth was optimized by measuring the depth of the cavities using ellipsometer Woollam M2000. The wafers with etched cavities were bonded to silicon on insulator (SOI) wafers with a layer thickness of 2 µm (refer to Figure 2c). Cavities were sealed under vacuum during the fusion bonding process. Prior to the bonding process, all wafers underwent an RCA cleaning to remove any particles that could create voids during bonding delaminating the substrate wafer from the SOI wafer [66]. In this case, the device (top) layer of the SOI wafer determines the membrane thickness. Later, the back side of the wafers was covered with a 200 nm layer of PECVD  $Si_xN_v$  for protection during wet etching step (Figure 2d) since the selectivity of PECVD SiN and the KOH solution is high. The handle wafer (bottom layer of SOI wafer) was then chemically-mechanically polished (CMP) using a 400BC grit slurry solution and Logitech

PM-5 Lapping/CMP equipment. The remainder were etched using 40 wt. % potassium hydroxide (KOH) solution at 80 °C. Another UV photolithography step was used to separate the devices by etching Si through the device layer (Figure 2e).For this purpose, a cryogenic Oxford Si etch process was used [67,68], creating almost straight and perpendicular sidewalls separating different chips. Last, the UV photolithography step was used to form the top electrode and to metalize the contact pads. This included the use of negative photoresist, since after the development the pattern has negative sidewalls, which help in the lift-off procedure. For forming the top electrode, Lesker<sup>TM</sup> Evaporator was used, depositing a thin 25 nm Ti layer, which acted as the adhesion between the silicon substrate and gold, and 175 nm Au layer. A lift-off procedure was done after evaporation in an ultrasonic bath of resist remover for 2 hours. Finally, the wafers were diced to separate the individual CMUT chips and these chips were assembled by gluing the prototype chips on a custom printed circuit board and connecting the contact pads and the traces of the PCB with 50 µm gold wires using the ultrasonic welding technique. For protection, the main connections were covered using transparent epoxy resin. The design of the CMUT chip and fully assembled devices on a printed circuit board with custom connection pins are shown in Figure 3a,b respectively.

Table 2. Parameters	s of manufactured	CMUT	device	chips	[59].
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**Figure 2.** CMUT wafer bonding fabrication steps: (**a**) Thermal oxidation; (**b**) oxide wet etching; (**c**) wafer bonding; (**d**) backside protection with plasma enhanced chemical vapor deposition (PECVD) silicon nitride; (**e**) handle wafer removal using CMP and wet etch; (**f**) Oxford cryogenic etching process for separating devices by etching device layer; (**g**) opening of contact pads with reactive ion etch (RIE), (**h**) top electrode formation and contact pads metallization using lift-off procedure.



# Sensing array with gold electrode

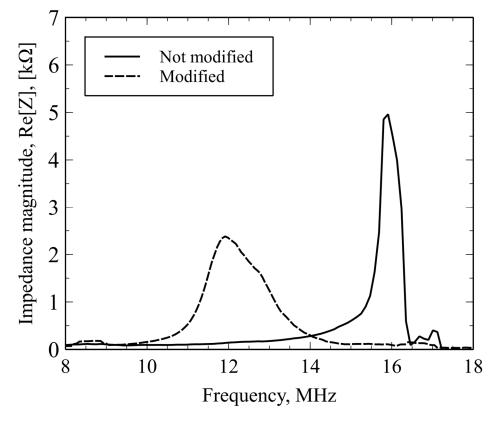
**Figure 3.** (**a**) Two CMUT chips assembled on a custom printed circuit board with connection pins and contact pads bonded to the printed circuit board (PCB) with gold wires, (**b**) design of the CMUT chip.

# 2.2. CMUT Surface Functionalization

For the specific binding interaction with the acidic gas molecules, CMUT chips were functionalized with a polymer—methylated poly(ethylene-imine) (mPEI) synthesized as described previously [69,70]. In numerous previous works, poly(ethylene-imine) (PEI) was tested and shown to be a good absorber of CO<sub>2</sub> [37,71–74]. Methylated PEI (PEI solution with the primary and secondary amines converted to tertiary) was also shown to be a good absorber of SO<sub>2</sub>, while also capable of capturing CO<sub>2</sub> under humid conditions [70,75]. Prior to functionalization, the devices were cleaned with ethanol and dried with dry N<sub>2</sub> stream. mPEI was diluted with a solution of methyl alcohol (MeOH) and tetrahydrofuran (THF) to decrease the viscosity of the polymer film and to be able to spin coat a moderately thin layer on top of the CMUT sensing zone. The coating was performed using a VTC-100 vacuum spin coater by pre-spinning the diluted polymer solution at 200 rpm for 5 seconds to obtain a continuous polymer layer, followed by ramping up to 800 rpm and spinning for 60 seconds to thin out the formed layer.

# 2.3. CMUT Resonance Frequency Characterization

Gravimetric detection principles rely on the measurement and tracking of the resonance frequency of the resonating structure. When CMUT array is spin-coated by a thin layer of polymer, its resonance frequency decreases due to the added mass. The resonance frequency shift after surface functionalization with mPEI was determined using a Network analyzer Agilent 4395A equipped with an impedance measurement kit. The resonance frequency was determined from frequency spectra of the real part of the impedance that was acquired by the network analyzer. To reduce the noise and amplify output for the resonance frequency detection, a Colpitts type oscillator circuit that uses CMUT as an electromechanical resonator [37,76,77] was used. As seen in Figure 3a, two sensing chips were connected. One of the sensors was uniformly covered with the mPEI polymer and another was used as a reference without the polymer. This was done to subtract any additional noise coming from the environment, such as variations in temperature, humidity, pressure or flow rate. When using two CMUT elements in parallel, better SNR values can be achieved than using a single chip. The fabricated and fully assembled CMUT devices were characterized before and after spin coating with the thin mPEI polymer film (refer to Figure 4) and the resonance frequency for the fabricated devices decreased by ~4 MHz due to the added additional mass onto the vibrating membrane. Since the thin spin-coated polymer film was viscous, the magnitude of the device impedance spectrum was also reduced [52,78].



**Figure 4.** Impedance magnitude spectra of the CMUT device before and after spin-coated methylated poly(ethylene-imine) (mPEI) layer as a function of frequency.

# 3. Experimental Setup

#### In-Situ Infrared Spectroscopy Measurement Setup

Measurement setup for the simultaneous real-time resonance frequency detection and Fourier transform infrared spectroscopy is shown in Figure 5 and is described in more detail in previous work [59]. Briefly, with this setup, the control and set concentrations of  $CO_2$ ,  $SO_2$  and  $N_2$  gases were achieved using Brooks GF40 series precision mass flow controllers which were calibrated for each gas. Dry  $N_2$  was used for purging the reaction chamber and for the dilution of  $CO_2$  and  $SO_2$  to test lower concentration values. Software from Brooks Instruments was used to set a specified gas flow rate and set the specific concentration. All of the gas mixtures after use were neutralized using a bubbler filled with 0.5 mol NaOH solution.

During the experiments, fully assembled CMUT chips were placed inside the closed reaction chamber (a modified version of Harrick Praying Mantis<sup>TM</sup> diffuse reflectance accessory) within an FT-IR spectroscope (ThermoFisher iS50) and connected using sealed feedthrough to the Colpitts oscillator circuit [79]. The oscillator circuit was driven by an external power supply unit for DC source while the high bias voltage for the optimal operating point was generated from a custom low noise external power source circuit. The analog signal from the oscillator circuit was fed into a PicoScope<sup>TM</sup> oscilloscope. The oscilloscope was set to a data streaming mode for real-time signal acquisition and registration of resonance frequency and impedance magnitude. The oscilloscope was connected to the PC using Universal Serial Bus (USB) connection. Data were collected with MATLAB script and PicoLog toolset in order to control the acquisition rate and integrate it into other software. MatLab was used as the analysis software for ease of calculations and data interpretation. The measurements of Fourier transform infrared spectroscopy, CMUT resonant frequency and the magnitude of electroacoustic impedance were monitored and saved simultaneously in real time.

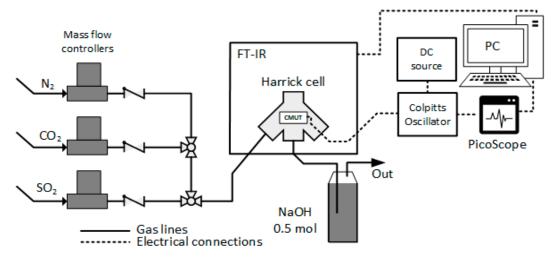


Figure 5. Experimental setup for in-situ simultaneous real-time CMUT resonance frequency and the magnitude of electroacoustic impedance measurement and Fourier transform infrared spectroscopy [59].

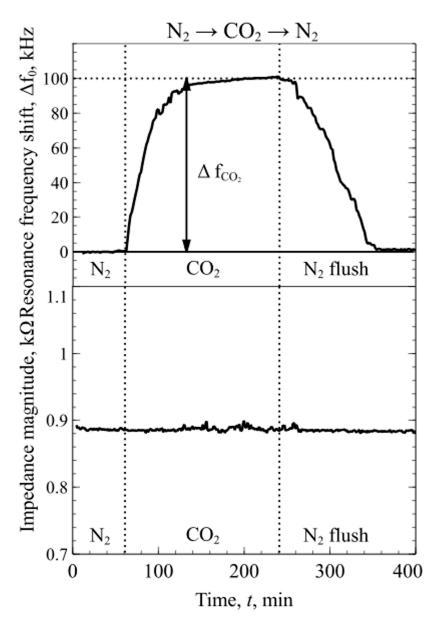
During the experimentation with various gas flow rates and preset concentration values, the testing chamber was always firstly flushed with dry  $N_2$  for 30 minutes with a preset flow rate of 100 mL/min before introduction of any specific gas concentration and after the experiment the chamber was flushed again with  $N_2$  for 60 minutes at the same flow rate. The flushing steps ensured that the CMUT prototype chips would stabilize and reach an equilibrium point. All of the experiments were performed at room temperature with concentrations ranging from 0.16 to 1.6 vol % for CO<sub>2</sub> and from 1 to 5 vol % for SO<sub>2</sub>.

# 4. Results

### 4.1. Real-Time Cross-Selective Gas Sensing Experiment Results

During the sensing experiment, when transitioning between the dry N<sub>2</sub> to a 1.6 vol % concentration of CO<sub>2</sub> and back to N<sub>2</sub>, an apparent resonance frequency shift,  $\Delta f_{CO2}$ , was detected while the magnitude of the electroacoustic impedance did not exhibit any detectable changes. Relating to Equation (1), any additional mass on the membrane changes its resonance frequency and, according to Equation (6), its quality factor, which is directly related to the impedance magnitude. Since the resonance frequency shift is small (<0.4% from  $f_0$ ), the change in magnitude of the impedance spectra is in the noise level range and could not be measured. Flushing the testing chamber with dry N<sub>2</sub> returned the resonance frequency values to the previously measured levels, as seen in Figure 6. These results suggest that CO<sub>2</sub> molecules are very weakly bound (physisorbed) and mPEI does not make any strong chemical interactions with CO<sub>2</sub>.

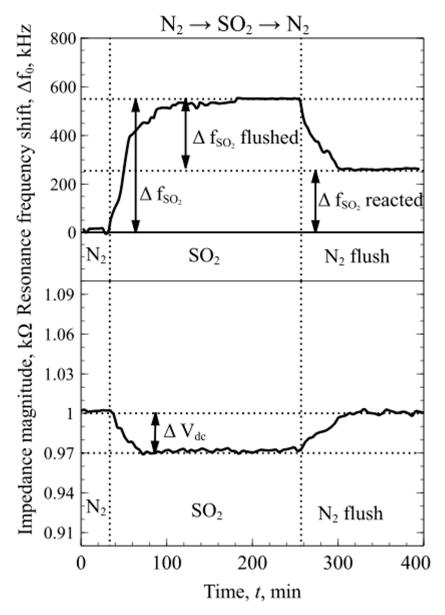
When performing an N<sub>2</sub> diluted SO<sub>2</sub> experiment sensing with a concentration of 5 vol %, shifts in both resonance frequency and magnitude of the impedance spectra were observed. According to Equation (6), the shift in signal magnitude is related to the changes in membrane mass,  $m_m$ , and attenuation coefficient,  $b_{vs}$ . Due to the strong interactions between the surface functionalized CMUT/mPEI and SO<sub>2</sub> molecules, the physical structure of the functional polymer film changes the membrane mass and attenuation coefficient, which induces high resonance frequency shift and the decrease of impedance magnitude as it is shown in Figure 7. After flushing the chamber with dry N<sub>2</sub>, the impedance magnitude returned to previous values while the resonance frequency did not, relating to the mass increase by the reacted mPEI and SO<sub>2</sub>.



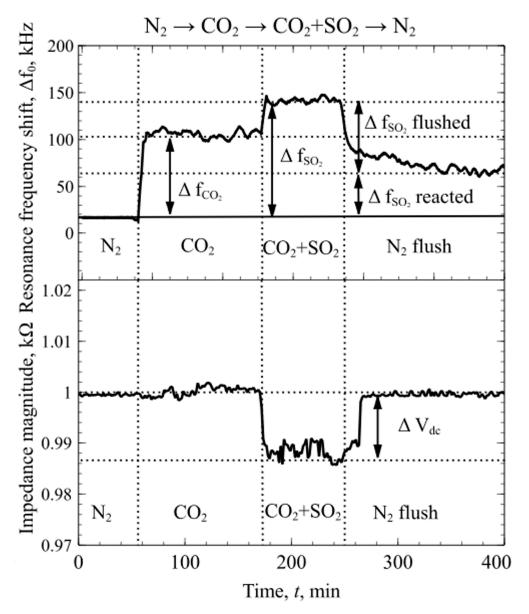
**Figure 6.** Continuous resonance frequency shift and magnitude of the impedance spectra as a function of time when transitioning between  $N_2$  and  $CO_2$ .

Lastly, experiments with the mixtures of dry  $N_2$ ,  $CO_2$  and  $SO_2$  were conducted to determine the changes in mPEI functionalized CMUT chip resonance frequency and magnitude of electroacoustic impedance. First, the test chamber was flushed with a constant flow rate of dry  $N_2$  for the sensors to reach an equilibrium state. The resonance frequency and impedance magnitude were measured in real time and logged continuously during the experiment. When  $CO_2$  was introduced into the chamber, due to the weak interactions between the mPEI and  $CO_2$  molecules, the resonance frequency shift,  $\Delta f_{CO2}$ , was observed without any apparent detectable change in the magnitude of the impedance spectra. When  $SO_2$  was introduced into the mixture, due to a strong chemical interaction between the mPEI and  $SO_2$  molecules, the changes in resonance frequency and the magnitude of the impedance were observed at the point when  $SO_2$  was introduced and reacted with the mPEI layer, as shown in Figure 8. This phenomenon can be related to the weak  $CO_2$  and mPEI interactions, which do not change the properties of the polymer film. Following Equations (1) and (6), these changes increase the attenuation coefficient and mass which in turn leads to the shift in resonance frequency and decrease

in impedance magnitude that can be seen in Figure 8. The difference in the resonance frequency shift shown in Figures 7 and 8 for  $SO_2$  is due to the fact that  $SO_2$  were diluted together with  $CO_2$  in dry  $N_2$ , leading to a much lower concentration than in the previous experiment. Importantly, the results presented in Figures 6–8 suggest that, by considering changes in both resonance frequency and impedance, a mixture of two acidic gases,  $SO_2$  and  $CO_2$ , can be detected and contributions of each gas can be decoupled.



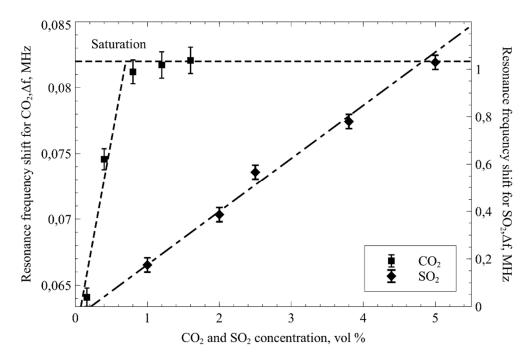
**Figure 7.** Continuous resonance frequency shift and magnitude of the impedance spectra as a function of time when transitioning between N<sub>2</sub> and SO<sub>2</sub>.



**Figure 8.** Continuous resonance frequency shift and magnitude of the impedance spectra as a function of time when transitioning between  $N_2$ ,  $CO_2$  and  $CO_2 + SO_2$  mixture.

# 4.2. Resonance Frequency Shift Measurement Results after $CO_2$ and $SO_2$ Interaction with mPEI Functionalized CMUT

The average resonance frequency shift of multiple tested devices at various concentrations of  $CO_2$ , ranging from 0.16 vol % to 1.6 vol % are shown in Figure 9. Two different adsorption regimes have been observed. First, a linear regime with  $R^2 = 0.928$  was observed at lower  $CO_2$  concentrations. In this region, an apparent limit of detection (LOD) was calculated using LOD = 3.3  $\sigma$ /slope with an RMSE value of 0.911 as an estimate for  $\sigma$ . The LOD was found to be 0.011 CO<sub>2</sub> vol %, while the limit of quantification (LOQ) was calculated to be 0.033 CO<sub>2</sub> vol %. The measured sensitivity was 8 Hz/ppm. Similar CO<sub>2</sub> adsorption regimes were observed previously [38,59]. Note that the concentrations of the CO<sub>2</sub> were calculated as a ratio between the CO<sub>2</sub> and N<sub>2</sub> flows set by the precision mass flow controllers.



**Figure 9.** The recorded resonance frequency shift of mPEI covered CMUT as a function of  $CO_2$  concentration and inert N<sub>2</sub> at 23 °C (square data points). CMUT, covered with a thin layer of mPEI, resonance frequency dynamics with different SO<sub>2</sub> concentrations and inert N<sub>2</sub> at 23 °C (diamond data points).

As with CO<sub>2</sub>, the resonance frequency shifts were also observed when SO<sub>2</sub> were introduced into the testing chamber (Figure 8). The difference from the CO<sub>2</sub> gas tests is that SO<sub>2</sub> exhibited linear response with  $R^2 = 0.9899$  at SO<sub>2</sub> concentrations ranging from 1 to 5 SO<sub>2</sub> vol %. For this region, the limit of detection was calculated the same way as for CO<sub>2</sub> LOD = 3.3  $\sigma$ /slope with an RMSE value of 0.2127 as an estimate for  $\sigma$ . The LOD was found to be 0.232 SO<sub>2</sub> vol % while the limit of quantification was found to be 0.704 SO<sub>2</sub> vol %. The measured sensitivity for SO<sub>2</sub> was calculated to be 20 Hz/ppm. All of the data points were acquired by doing a pulse of different gas concentrations ranging from 0.16 vol % to 1.6 vol % for CO<sub>2</sub> and 1 vol % to 5 vol % for SO<sub>2</sub>.

# 4.3. Mass Sensitivity of mPEI Functionalized CMUT

Gravimetric detection principles rely on their ability to detect small amounts of mass and mass sensitivity is one of the most important parameters for a sensing system based on the gravimetric detection principle. Approximating the CMUT as a one-dimensional linear harmonic oscillator, it is possible to calculate the theoretical distributed mass sensitivity assuming that the adsorbed mass by the polymer is quantitively much smaller than the plate mass [28]

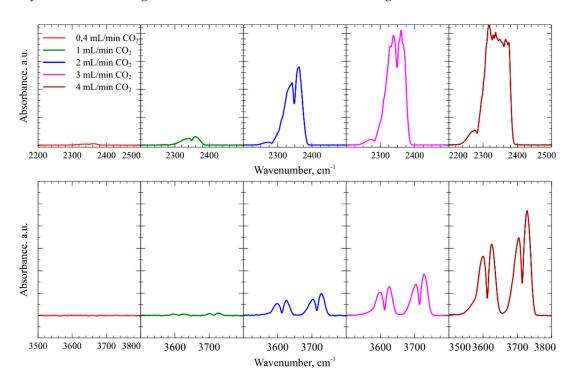
$$S_{theoretical} = \frac{\delta f_0}{\delta m} = -\frac{1}{2} \frac{f_0}{m_{vlate}}$$
(7)

here,  $f_0$  is the resonance frequency of the device and  $m_{plate}$  is the mass of the plate.

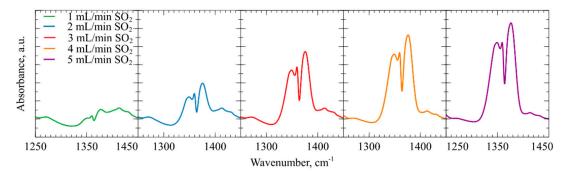
When the resonance frequency is at the designed 16 MHz, the theoretical mass sensitivity for the fabricated devices was calculated as 0.536 Hz/fg.

# 4.4. In-Situ DRIFTS Fourier Transform Infrared Spectroscopy and Ex-Situ Raman Spectroscopy of CMUT Devices Employing a Thin mPEI Film

During the experiments with  $CO_2$  and  $SO_2$ , the analysis system collected FT-IR spectra in real time. Figure 10 shows absorbance peak zones produced by the  $CO_2$  at various flow rates. In the spectral region from wavenumber 2200 to 2500 cm<sup>-1</sup> due to the asymmetric stretch of gaseous  $CO_2$  and the region from wavenumber 3500 to 3800 cm<sup>-1</sup>, the produced two doublet bands correspond to the overtones of  $CO_2$  vibrational bands. In Figure 11 infrared absorption peaks are produced by symmetric and asymmetric stretching of  $SO_2$  molecules in the wavenumber region from 1250 to 1450 cm<sup>-1</sup> [80].



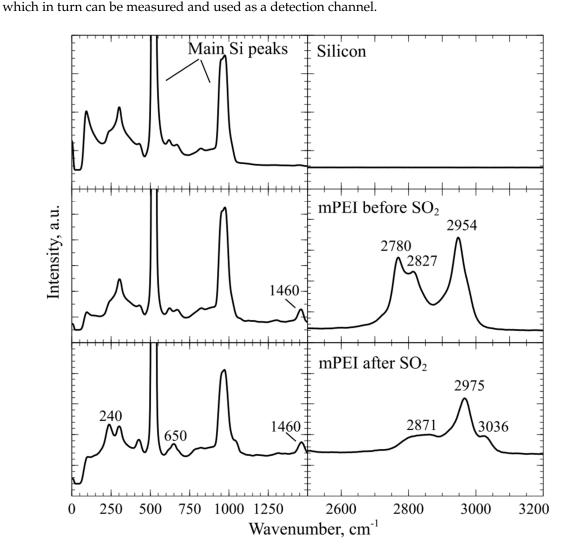
**Figure 10.** Fourier Transform Infrared spectroscopy data peaks formed at wavenumbers in region 2200 to 2500 cm<sup>-1</sup> and region 3500 to 3800 cm<sup>-1</sup> produced by absorbance of CO<sub>2</sub> phase molecules.



**Figure 11.** Fourier Transform Infrared spectroscopy data peaks formed at wavenumbers in region 1250 to 1450 cm<sup>-1</sup> produced by absorbance of SO<sub>2</sub> phase molecules.

The designed in-situ DRIFTS measurement setup can be used to get more information regarding gas interaction with the sensing polymer layer. From the experiments with  $CO_2$  gas FTIR spectroscopy, it was found that, due to the mPEI not making any strong chemical bonds,  $CO_2$  molecules are only physisorbed within the mPEI structure. The data shown in Figure 9 suggests that  $CO_2$  binding mode can be reversible at concentrations below 0.8 vol %. However, FTIR spectroscopy and experiments with  $SO_2$  gases showed an irreversible adsorption behavior, but a highly linear response through the whole

tested concentration range. SO<sub>2</sub> can irreversibly adsorb onto the mPEI functional layer, changing the properties of the layer. These changes can be clearly seen in Figure 12, where a surface functionalized CMUT with mPEI was tested using ex-situ Raman spectroscopy. These spectra were collected before and after the device interacted with the SO<sub>2</sub> gases. After mPEI film interacted with SO<sub>2</sub>, the intensity of the peaks below the wavenumber  $2850 \text{ cm}^{-1}$  decreased. A new peak was observed at wavenumber  $3036 \text{ cm}^{-1}$ . When SO<sub>2</sub> binds to the amine, the symmetric C-H stretching vibrations at a wavenumber below  $2850 \text{ cm}^{-1}$ , which are associated with methyl groups, disappear and C-H stretching vibrations create an intensity peak at wavenumber  $\sim 3030 \text{ cm}^{-1}$  [70]. The ex-situ Raman spectroscopy data agrees well with the experimental data from other measurements since the SO<sub>2</sub> binding to the functional layer affects the physical structure of the polymer film the resonance frequency shifts permanently, even after flushing the testing chamber with dry N<sub>2</sub> and the impedance magnitude measurements show changes at the point when SO<sub>2</sub> starts to bind to the mPEI layer. Since CO<sub>2</sub> is known to bind weakly via physical interactions that do not change the magnitude on the impedance over time, but SO<sub>2</sub> molecules bind more strongly to mPEI through chemical bonds. This bonding affects the performance of the device



**Figure 12.** Raman spectra comparison of Si, Si with spin coated thin layer of mPEI and Si with a thin layer of mPEI after SO<sub>2</sub> gas experiments [59].

From the experimental results, the reduction of the impedance magnitude can be directly related to the changes in the physical properties of the functional mPEI layer. Since measurements were conducted with two CMUT chips connected in parallel, one of which had no modification of the surface and acted as the dummy chip for reference, any changes in temperature, fluctuations in pressure, flow rate variations and possible signal drift from the oscillator circuit can be discarded. When the sulfur and oxygen molecules are chemically absorbed through the bulk of the functional layer, it induces changes in its morphology leading to the increase in structural attenuation, while the interactions with  $CO_2$  molecules are on the surface of the functional layer and do not exhibit any morphological changes. Data from two different channels that include the shift in resonance frequency and magnitude of the impedance allowed to distinguish  $SO_2$  from  $CO_2$  by the difference in the impedance magnitude signal.

# 5. Conclusions

In this work, a Capacitive Micromachined Ultrasound Transducer based gas sensing system has been shown to be used for measurement of  $CO_2$  and  $SO_2$  concentrations in a dry nitrogen environment. The main resonance frequency and magnitude of the impedance spectra measurements were integrated together with DRIFTS spectroscopy system for real-time FT-IR measurements and an ex-situ Raman spectroscopy was used to get a better insight into the molecular interactions between gas molecules and used functional layer of methylated poly(ethylene)imine. It was found that the experimental setup for  $CO_2$  is reversible and the necessary modification layer can be used to determine the concentration of  $CO_2$ , but due to the weak molecular interactions with mPEI it was only viable for lower concentration values, while the strong molecular bonds of  $SO_2$  to the mPEI layer gave a nearly linear response in all of the tested concentrations. However, the highly reactive nature of  $SO_2$  irreversibly changes the mPEI polymer physical structure creating a permanent shift in the resonance frequency measurement.

Importantly, during the initial tests of gas mixtures including both the CO<sub>2</sub> and SO<sub>2</sub> it was found that the changes of electroacoustic impedance magnitude can be detected when different gas interacts with the modification layer allowing the detection of the gas mixture. Constantly monitoring two individual parameters, it is possible to determine the characteristics of a gas mixture of CO<sub>2</sub> and SO<sub>2</sub> just from a CMUT chip modified with a single mPEI layer. Moreover, this fabricated CMUT gas sensing chip is not limited to using only one specific material, it was already shown from other researchers that the sensing area can be covered with various different materials that interact with other gases and optimizing these materials can potentially provide similar or better results [39,81] together with the optimization of manufacturing the CMUT chips. It has been shown by other researchers that the processing cost of CMUT could go down immensely using a single LOCOS process which does not require the expensive CMP step [28], reducing the production cost and achieving even better uniformity of the CMUT plate thickness. The acquired experimental data provides information regarding the next generation of CMUT devices functionalized with sensitive materials that can be used as multiple gas sensors.

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### Abbreviations

PEI CMUT mPEI DRIFTS DMMP UV SOI PECVD THF MeOH Si <sub>x</sub> Ny LOCOS SAW FBAR QCM DMMP PCB USB LOD LOQ SNR AC DC	<pre>poly(ethylene-imine); capacitive micromachined ultrasonic transducer; methylated poly(ethylene-imine); diffuse reflectance infrared Fourier transform spectroscopy; dimethyl methyl phosphonate; ultraviolet; silicon-on-insulator; plasma enhanced chemical vapor deposition; tetrahydrofuran; methanol; silicon nitride (deposited using plasma enhanced chemical vapor deposition); local oxidation of silicon; surface acoustic wave; film bulk acoustic resonator; quartz crystal microbalance; dimethyl methyl phosphonate; printed circuit board; universal serial bus; level of detection; evel of detection; signal to noise ratio; alternating current;</pre>
AC	alternating current;
DC	direct current;
RMSE	root mean square error;
FSI	fluid-solid interface.

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