

# Air-Coupled Ultrasound Spectroscopy Air Parameters Compensation Technique

Ž. Nakutis, P. Kaškonas, D. Liaukonis, L. Svilainis

**Abstract**— The air-coupled resonance ultrasound spectroscopy (RUS) of thin plate thickness, density, ultrasound velocity and attenuation measurement are affected by air parameters. If air parameters are left unaccounted errors will occur. Conventional thermometer measurements are not efficient because temperature can vary faster than temperature sensor response. Technique for air parameters estimation and compensation of the RUS inverse solution results is proposed. The ultrasound delay over known distance is used for velocity in air estimation. There is no need for the additional measurement: the propagation time between transducers can be obtained from RUS calibration measurement. Ultrasound velocity in air is then used for temperature estimation. These measurements are augmented by pressure sensor measurement for air density estimation. Evaluation of the attainable measurement errors and analysis of uncertainties under such compensation was carried out using simulated signals. Sensitivity coefficients for every parameter were derived and attainable errors evaluated for temperature range from  $-5\text{ }^{\circ}\text{C}$  to  $+40\text{ }^{\circ}\text{C}$  and atmospheric pressure range from 94 kPa to 105 kPa. It was concluded that the relative uncertainty of sample attenuation, ultrasound velocity attenuation, density and thickness could be reduced approximately 22 times compared to case when air parameters are assumed to be equal to those in normal conditions. Experimental verification used 2 mm polycarbonate plate, measured values were compared against reported data. Experiment confirmed the efficiency of the proposed compensation: thickness estimation bias errors were reduced 17 times, bias errors for density were reduced 15 times and velocity estimation bias errors were reduced 5 times.



**Index Terms**—Air-coupled ultrasound, error compensation, inverse problem, plant sensor, resonance ultrasound spectroscopy, thickness and velocity measurement.

## I. Introduction

THE ultrasonic thickness gauging is a well-established technique [1-16]. The principle is based on measuring the delay for ultrasonic pulse traversed the material thickness. Conventional application demands the pulse to be sufficiently narrow in order to separate the front wall and backwall reflections in time. More parameters can be measured if the test material is immersed in a fluid of known acoustic parameters. The ultrasound attenuation, velocity, density and mechanical constants can be evaluated [2]. The thinner is the sample, the higher should be the center frequency of the probe in order to have the required resolution. The resonance ultrasound spectroscopy (RUS) is the solution for thin plates parameters measurement [3-7]. While initially applied in immersion setup, later it was expanded to electromagnetic acoustic transducers (EMAT) [7], laser ultrasound [9,10] and air coupled ultrasound [11-14]. Usually RUS-based plate parameters estimation involves two measurements: i) through-transmission between two transducers (addressed as calibration measurement) and ii) through-transmission between two transducers with test sample inserted (sample measurement).

New possibilities in plant science were opened once it was

demonstrated that thickness resonances in the leaves could be excited and physical leaf parameters can be extracted using RUS [15,16]. This application is of concern in this publication.

However, RUS application on leaves has a specific challenge: measurements on plants are usually carried outdoors. This means that device has to be portable. Once addressing the problem of miniature device [17] we have concentrated on another issue: environment conditions can differ significantly from those in the lab. In [18] it was demonstrated that disregarding the environment conditions (sound velocity in the air and air density) leads to a bias error in the leaf parameters estimation. The expected outdoor temperature variation in the range  $-10$ – $+40\text{ }^{\circ}\text{C}$  and pressure variation in the range 94–105 kPa will cause  $-3.2$ – $+3.6\%$  errors for sample thickness,  $-0.1$ – $+15.1\%$  errors for density and  $-6.8$ – $+6.8\%$  errors for ultrasound velocity in the sample. There is a solution for the measurements under controlled conditions: air temperature (or even pressure) can be measured using thermometer and then air parameters can be estimated from such readings [19]. However, air parameters react to temperature immediately, but thermometer reaction is slow. Therefore, even larger errors can be introduced with such a compensation. Different approach is proposed here: to measure the air parameters using an ultrasound. Ultrasound application for temperature estimation

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in water gives excellent precision in chemical process monitoring [20]. Similar approach can be used for oil film thickness measurement [21]. The gas thermometry is a well-known technique that estimates the temperature from the measured velocity of ultrasound [22].

It is worth to note that there is no need for additional measurement in RUS case: the propagation delay time between transducers in calibration measurement is defined by the velocity of ultrasound in air [23,24]; if distance between transducers is available, velocity of ultrasound in air can be determined. Then the air temperature can be estimated too. Air pressure sensors are widely available and the atmospheric pressure does not vary rapidly. Air density can then be estimated using the temperature and the pressure [25]. Aim of the current work was to evaluate the attainable measurement errors under such compensation.

Section II is dedicated to the detailed description of the parameters estimation using RUS. Section III presents the research methodology. Section IV describes the finite element modeling, section V contains the analysis of the uncertainties and section VI is used for experimental validation results.

## II. SAMPLE PARAMETERS ESTIMATION USING RUS

A typical air-coupled RUS system for the plant leaf properties measurement was reported in [17,18]. The sensor contains the transmitting and the receiving transducers fixed at the known distance  $D$  from of each other. Two signal waveforms are acquired [12,15,16]: i) calibration (no obstacle between the transducers, Fig.1 left) and ii) sample (sample inserted the between transducers, Fig.1, right).

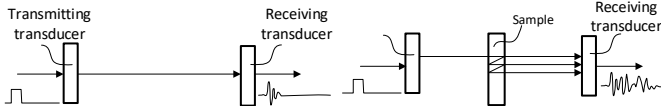


Fig. 1. RUS measurements: calibration (left) and sample (right).

The spectrum of a sample signal can be expressed as a function of calibration signal [15]:

$$S(\omega, \mathbf{y}, \mathbf{x}) = T(\omega, \mathbf{y}, \mathbf{x}) \cdot R(\omega) \cdot e^{j\omega \frac{h}{c_{\text{air}}}}, \quad (1)$$

where  $T(\omega, \mathbf{y}, \mathbf{x})$  is the transfer function dependent on the sample parameter vector  $\mathbf{y}$  and the air parameter vector  $\mathbf{x}$ ,  $R(\omega)$  is the spectrum of the calibration signal received in the absence of the sample between the transducers [18],  $\omega=2\pi f$  is angular frequency,  $h$  is sample thickness,  $c_{\text{air}}$  is ultrasound velocity in the air.

Transmission of the ultrasonic signal through the sample with acoustic impedance  $Z_s$ , immersed in air with impedance  $Z_{\text{air}}$  in frequency domain is described by the Brekhovskikh's model [26]:

$$T(\omega) = \frac{-Z_{\text{air}}Z_s}{-2Z_{\text{air}}Z_s \cos(k'h) + j(Z_{\text{air}}^2 + Z_s^2) \sin(k'h)}, \quad (2)$$

where  $Z_{\text{air}}$  is the acoustic impedance of air and  $Z_s$  is sample impedance,  $k'$  is the complex wave number, which in turn is expressed as:

$$k' = \omega/c_s - j\alpha, \quad (3)$$

where  $c_s$  is the ultrasound velocity and  $\alpha$  is the attenuation in a sample,

$$\alpha = \alpha_0 \cdot (f/f_0)^{n_a}, \quad (4)$$

where  $f_0$  is the normalization frequency (usually center frequency of the transducer) and  $n_a$  is power law for attenuation frequency dependence. Acoustic impedance is:

$$Z_{\text{air}} = c_{\text{air}} \cdot \rho_{\text{air}}, Z_s = c_s \cdot \rho_s, \quad (5)$$

where  $c_{\text{air}}$  and  $c_s$  are the ultrasound velocity in air and sample correspondingly,  $\rho_{\text{air}}$  and  $\rho_s$  are the density of air and sample.

Referring to (2)-(5), the sample parameter vector is  $\mathbf{y} = (\alpha_0, c_s, \rho_s, h, n_a)$  and the air parameter vector is  $\mathbf{x} = (c_{\text{air}}, \rho_{\text{air}})$ . Parameters  $\mathbf{y}$  of the sample with the unknown properties can be estimated by fitting the model (1)-(5) spectrum  $S(\omega)$  to the spectrum of experimentally measured  $S_E(\omega)$  assuming that the air parameter vector is  $\mathbf{x}$  is known. The optimization problem is defined as [12]:

$$\min F(\mathbf{y}, \mathbf{x}) \quad (6)$$

subject  $\mathbf{y}_{\min} < \mathbf{y} < \mathbf{y}_{\max}$ ,

where the objective function is

$$F(\mathbf{y}, \mathbf{x}) = |e_s| + \angle(e_s), \quad (7)$$

and

$$|e_s| = \frac{\sum_{i=1}^N (|S(\omega_i, \mathbf{y}, \mathbf{x})| - |S_E(\omega_i)|)^2}{\sum_{i=1}^N |S_E(\omega_i)|^2}, \quad (8)$$

$$\angle(e_s) = \frac{\sum_{i=1}^N (\angle\{S_E(\omega_i)\} - \angle\{S(\omega_i)\})^2}{\sum_{i=1}^N \text{std}\{\angle\{S_E(\omega_i)\}\}^2}, \quad (9)$$

where  $\omega_i$  is  $i$ -th frequency bin of the Discrete Fourier Transform (DFT) spectrum,  $N$  is the total number of spectrum samples.

Typical spectra of the measured, and fitted transmission  $T(\omega)$  of grape leaf are shown in Fig. 2.

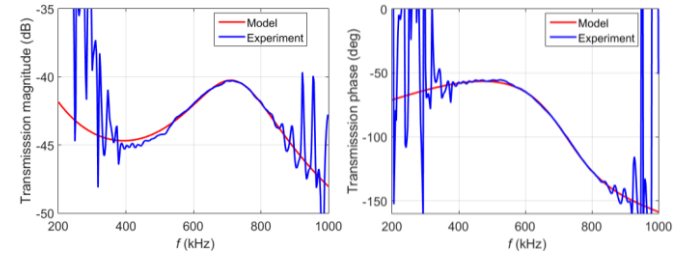


Fig. 2. Example of the typical measured and fitted transmission spectra for *Vitis vinifera* leaf.

However, when the air parameters vector  $\mathbf{x} = (c_{\text{air}}, \rho_{\text{air}})$ , used in (6) is different from the actual air parameters vector  $\mathbf{x}^* = (c_{\text{air}}^*, \rho_{\text{air}}^*)$ , then accuracy of the estimate  $\mathbf{y} = (y_1, y_2, y_3, y_4, y_5) = (\alpha_0, c_s, \rho_s, h, n_a)$  degrades.

## III. PROPOSED COMPENSATION TECHNIQUE

Temperature, atmospheric pressure and humidity influence ultrasound velocity in the air and density of the air. Both ultrasound velocity in the air and the air density are the input arguments to the model (1)-(5) used to estimate the sample parameters  $\mathbf{y}$ . Therefore, if the ultrasound velocity in the air and the air density are not measured at the instance of calibration and sample signals acquisition, but assumed constant (usually equal to the velocity and density corresponding to normal conditions), then an error of estimation of sample parameters is introduced.

### A. Ultrasound Velocity in Air Estimation

Under the assumption of the dry air, the ultrasound velocity can be estimated from its temperature [33]:

$$c_{\text{air}} = 20.05\sqrt{273.16 + t}, \quad (10)$$

where  $t$  is the ambient temperature.

It would be natural to expect that the temperature sensor would suffice. However, any solid state sensor (thermocouple, resistive or semiconductor) has some inertia: temperature is reflected in the sensor only after a few minutes. On a contrary, temperature change is immediately reflected on ultrasound velocity.

Furthermore, ultrasound velocity in air is also affected by pressure, humidity, air composition and the excitation signal frequency [22]-[25],[33].

Therefore, it is better to measure the ultrasound velocity, not the temperature. Time delay (time of flight, ToF) between two transducers in calibration measurement (Fig.1 left) can be used to measure the ultrasound velocity if distance between transducers  $D$  is known. Unfortunately, ToF is also affected by delay in excitation and reception electronics and transducers. Fortunately, calibration signal contains several signals: directly propagated signal, C1, and signal that reflected twice between transducers, C2 (Fig.3).

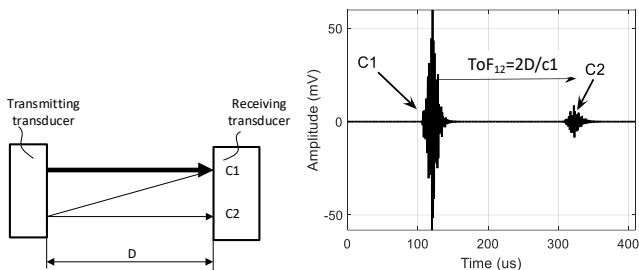


Fig. 3. Signal propagation path (left) and signal received (right) during calibration measurement.

ToF difference between these two signals is free from delay in electronics and transducers itself and is only equal to double propagation distance  $D$  delay. Then ultrasound velocity is:

$$c_{air} = \frac{2D}{ToF_{12}}, \quad (11)$$

where  $D$  is the distance between transducers and  $ToF_{12}$  is the time of flight between signals C1 and C2.

ToF was estimated using the cross-correlation peak between signals C2 and C1. The cosine subsample interpolation was used for resolution improvement [34].

The Cramer-Rao lower error bound of ToF estimation is expected to be 0.2 ns. It was evaluated according to [34], using experimentally obtained signals. Interpolation bias error, evaluated according to [35] was 0.04 ns.

Sensitivity coefficient to  $ToF_{12}$  estimation errors can be evaluated from (11) taking the derivative along  $ToF_{12}$ . For considered temperature and pressure range it does not exceed  $3.2 \cdot 10^6$  m/s<sup>2</sup>, resulting in 0.0006 m/s (at 0.2 ns) velocity in air estimation error (0.0002%).

### B. Distance Estimation

Several techniques can be used for distance estimation.

i) The most straightforward approach would be to measure the distance by using the caliper. Expected error would be around 100  $\mu$ m.

ii) If ambient temperature is available and temperature is stable for sufficiently long time (enough for settling time of the solid-state temperature sensor), then distance can be estimated

from  $ToF_{12}$  measurement, solving (11) for  $D$ . The velocity in such case can be estimated either using (10) or more accurate equations, presented in [23,36] if pressure and humidity readings are available. Expected error, assuming 1 °C temperature measurement error and 0.2 ns delay estimation error, would be around 35  $\mu$ m [28].

In actual measurement setup, transducers are attached to “U” shape holder (see insert photo along with abstract). This holder is affected by ambient temperature (expected  $-5$ - $+45$  °C range), so distance will change due to material thermal expansion. For instance, if holder is made from ABS (Acrylonitrile Butadiene Styrene with  $100 \cdot 10^{-6}$  m/(m·K) coefficient of thermal expansion, CTE), distance will change by 50  $\mu$ m for  $\pm 25$  °C range ( $-5$ - $+45$  °C from 20 °C nominal) when transducers’ piezoelement attachment points are spaced 20 mm apart. For 30% glass fiber filled Polyamide 6-6 (CTE is  $30 \cdot 10^{-6}$  m/m/K) the distance will change by 15  $\mu$ m. For aluminum (CTE is  $24 \cdot 10^{-6}$  m/m/K) change will be 12  $\mu$ m. Aluminum or fiber-filled polymer is preferred for holder. In such case, the effect of the holder thermal expansion is small.

Sensitivity coefficient for velocity in air to  $D$  estimation errors can be evaluated from (11). For considered temperature and pressure range it does not exceed  $18 \cdot 10^3$  m/s<sup>2</sup>, resulting in 0.6 m/s (at 35  $\mu$ m  $D$  estimation error) velocity in air estimation error (0.2%). With ToF influence small, the absolute velocity in air estimation error is  $\Delta(c_{air}) \leq \pm 0.6$  m/s.

### C. Air Density and Temperature Estimation

The air density can be obtained from the temperature and pressure measurements using the dry air equation, [33]:

$$\rho_{air} = p / (R \cdot [273.16 + t]), \quad (12)$$

where  $t$  and  $p$  are the ambient temperature and atmospheric pressure, respectively,  $R$  is the specific gas constant for dry air  $R=287.058$  J/kg·K.

The air temperature can be estimated with the ultrasound velocity and distance available. Solving (10) for temperature:

$$t = (c_{air} / 20.05) - 273.15, \quad (13)$$

Humidity of the air influences both ultrasound velocity in the air and air density [33-34],[36], although to a smaller extent (see Fig.4, left). As described above, the ultrasound velocity in the air is measured using the time of flight over the known distance. Yet, estimation of the temperature using dry air assumption, using (13), seems not correct, because such estimation will have a bias error if there is a humidity in the air. See Fig.4, right for the temperature estimation error.

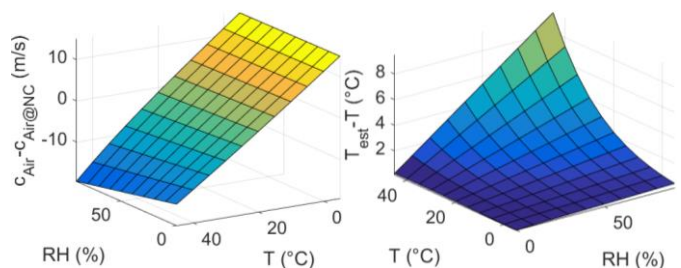


Fig. 4. Velocity in air (left) deviation from normal conditions value and ambient temperature (right) estimation errors versus temperature and humidity at 94 kPa pressure when dry air is assumed.

However, if the air density is estimated using (11), from measured pressure and temperature obtained by (13), errors are

5.7 g/m<sup>3</sup> (0.57 %) maximum (see Fig.5, left for worst case, 94 kPa pressure). Errors were estimated against velocity in air and density calculated using equations presented in [36] and [37]. If normal conditions are assumed, i.e., air parameters are not estimated and not compensated, errors reach 21% (see Fig.5, right). It can be concluded, that such biased temperature estimation accounts for humidity effects and air density estimation errors are small over expected temperature, pressure and humidity range.

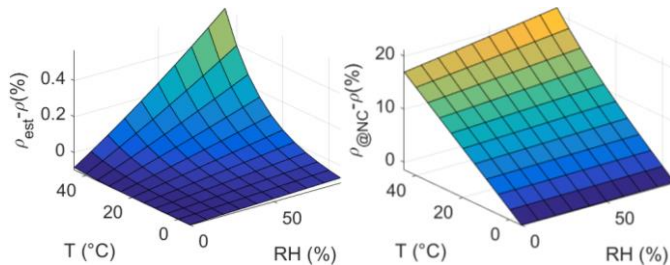


Fig. 5. Comparison of the air density estimation error versus temperature and humidity at 94 kPa pressure when proposed technique is used for compensation (left) and uncompensated (normal conditions assumed, right).

However, there is one more error source: pressure sensor. The atmospheric pressure  $P$  can be measured using inexpensive sensors like BMP280 manufactured by Bosch Sensortec GmbH. The specified absolute accuracy of BMP280 after one point calibration is equal to 100 Pa over the temperature range from 0 to +40 °C. Fig. 6 left shows the worst-case errors of  $\rho_{air}$ , when both distance estimation and pressure estimation errors are accounted.

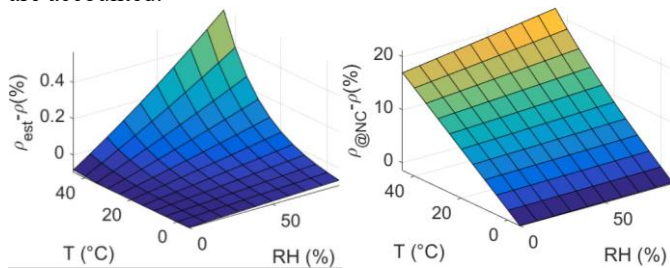


Fig. 6. Worst case (largest distance and pressure estimation errors are included) comparison of the air density estimation error versus temperature and humidity at 94 kPa pressure when proposed technique is used for compensation (left) and uncompensated (normal conditions assumed, right).

It can be noted that density error is much larger, when actual air parameters are not accounted (Fig.6 right). Then the absolute error of the air density when air parameters are accounted is  $\Delta(\rho_{air}) \leq \pm 10 \cdot 10^{-3} \text{ kg/m}^3$  and when the air parameters are not accounted is  $\Delta_0(\rho_{air}) \leq \pm 210 \cdot 10^{-3} \text{ kg/m}^3$ .

#### IV. SENSITIVITY ANALYSIS

Sample parameters are estimated using inverse solution of RUS, (1)-(9), therefore measurement equation is not available. Therefore, sensitivity coefficients cannot be obtained by differentiation. Experimental investigation can be used for sensitivities evaluation. However, it is quite complicated and time-consuming to achieve the strictly controllable ambient and sample conditions. Only one ambient parameter (temperature or pressure) should be varied with the rest remaining stable. Furthermore, sample itself will change its parameters with temperature and pressure unpredictably, leaf parameters are

changing with time and amount of light received [31] therefore bias error estimation becomes complicated. Therefore, it was decided to carry out the sensitivity analysis using the simulated ultrasonic signals. Such approach enables to ensure that signals are obtained at the precisely set air temperature and pressure, in contrast to signal acquisition in experimental setup where high precision measurement and control of temperature and especially pressure are rather challenging.

The FEM model was implemented in OnScale Multiphysics Cloud Engineering Simulation Platform and used to synthesize calibration and sample propagated waveforms given velocity of ultrasound in the air and the air density.

The FEM 2D-axisymmetric model was used, describing two ultrasonic sensors, transmitter and receiver, placed at distance  $d=20 \text{ mm}$  in air medium. PZT5A piezoceramic material of 3.15 mm thickness and 20 mm diameter was used as an active element. The backing of the sensors was made from a high-density epoxy resin, taking into account high acoustic impedance of the PZT5A. The thickness of backing layer was 6.3 mm. Three matching layers were used to achieve the acoustic impedance matching over wide frequency range between air and piezo element. Layers' acoustic impedances were calculated as given in [27].

Ricker wavelet with 4 sub-wavelets, having center frequency 650 kHz and Gaussian shape in frequency domain, was used as an excitation signal. The frequency bandwidth at -10 dB was 690 kHz. Amplitude of the excitation signal was 200 V.

Under the assumption of dry air as a medium, the parameters of air, namely density and ultrasound wave velocity were calculated according to (10) and (12).

Sample used typical of *vitis vinifera* parameters from [29-31]: ultrasound velocity  $c_s=315 \text{ m/s}$ , density  $\rho_s=890 \text{ kg/m}^3$ , attenuation  $\alpha_0=748 \text{ Np/m}$ ,  $n_a=1$  and thickness  $h=0.3 \text{ mm}$ . The resonance frequency corresponding to this set of parameters was 525 kHz. Simulation used  $-5 \text{ }^\circ\text{C} \leq t \leq +40 \text{ }^\circ\text{C}$  range of ambient air temperature and  $94 \text{ kPa} \leq P \leq 105 \text{ kPa}$  range of atmospheric pressure. Temperature and pressure in normal conditions (n.c.) were assumed at  $t_{nc} = 20 \text{ }^\circ\text{C}$  and  $P_{nc} = 101.325 \text{ kPa}$  correspondingly.

Sample parameters were estimated using simulated signals by solving the RUS inverse solution. Bias errors were obtained by subtracting the actual sample parameters from estimated ones. The simulation environment for a sensitivity study is not important: it can be implemented in k-wave, OnScale, COMSOL or even using (1)-(5) equations presented here, therefore further details are not given for the brevity.

#### A. Error Definition

Ambient temperature and atmospheric pressure influence ultrasound velocity and air density, which compose the input vector  $\mathbf{x} = (c_{air}, \rho_{air})$  in the model applied in estimation of sample properties  $\mathbf{y} = (\alpha_0, c_s, \rho_s, h, n_a)$ . The error vector of the sample property estimation is

$$\mathbf{e}_y = \hat{\mathbf{y}}(\mathbf{x}) - \mathbf{y}, \quad (14)$$

where  $\hat{\mathbf{y}}(\mathbf{x})$  is the RUS estimate, obtained from the calibration and sample signals by solving the inverse problem (6),  $\mathbf{y}$  is the vector of the actual sample parameters.

## B. Sensitivity Coefficients Estimation

Aiming to estimate uncertainty of  $y$  elements in the selected range of  $y$  and  $x = (c_{air}, \rho_{air})$  values, the linear sensitivity coefficients are required [28]. RUS results were for the  $e_y$  dependence on  $e_x$  derivation. Assuming linear relationship between  $e_{y_i}$  and  $e_{x_i}$ , the influence of  $y_i$  value on  $e_{y_i}$  was plotted in Fig. 7 and Fig. 8.

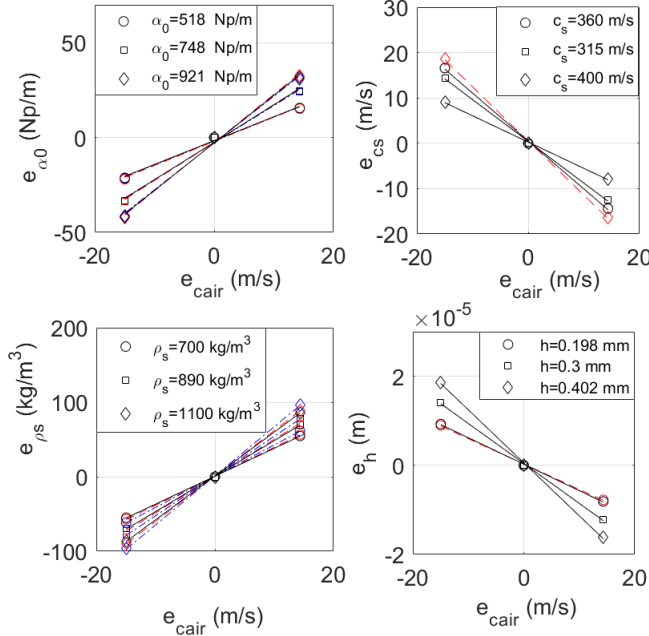


Fig. 7. Estimation error of  $\alpha_0$  (a),  $c_s$  (b),  $\rho_s$  (c) and  $h$  (d) vs.  $e_{c_{air}}$  error (dash-dotted blue line:  $P = 94$  kPa, dashed red line:  $P = 101$  kPa, and  $P = 105$  kPa - solid black line) at three different sample parameters  $\alpha_0$ ,  $c_s$ ,  $\rho_s$ , and  $h$  (nominal values are  $\alpha_0 = 748$  Np/m,  $c_2 = 315$  m/s,  $\rho_2 = 890$  kg/m<sup>3</sup>,  $h = 0.3$  mm).

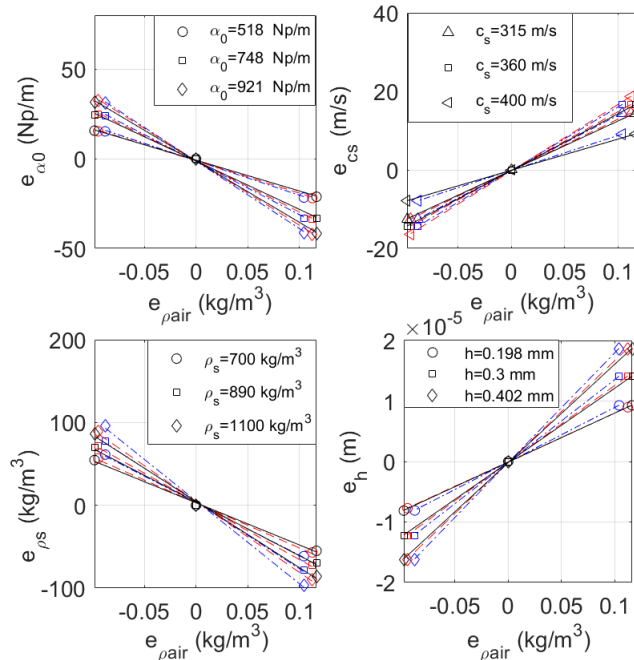


Fig. 8. Estimation error of  $\alpha_0$  (a),  $c_2$  (b),  $\rho_2$  (c) and (d) vs.  $e_{\rho_{air}}$  error (at  $P = 94$  kPa: dash-dotted blue,  $P = 101$  kPa: dashed red,  $P = 105$  kPa: solid black) at three different sample parameters  $\alpha_0$ ,  $c_s$ ,  $\rho_s$ , and  $h$  (nominal values are  $\alpha_0 = 748$  Np/m,  $c_2 = 315$  m/s,  $\rho_2 = 890$  kg/m<sup>3</sup>,  $h = 0.3$  mm).

It can be concluded that slopes are in linear relationship. The largest positive and least negative weights are used in uncertainty estimation aiming to characterize the worst-case uncertainty.

The corresponding standard uncertainties of  $c_{air}$  and  $\rho_{air}$  are obtained from absolute errors derived in section III:

$$\sigma(c_{air}) = \Delta(c_{air})/\sqrt{3} = 0.35 \text{ m/s}, \quad (15)$$

$$\sigma(\rho_{air}) = \Delta(\rho_{air})/\sqrt{3} = 5.77 \cdot 10^{-3} \text{ kg/m}^3. \quad (16)$$

These standard uncertainties and correlation coefficient  $r_{12} = r(c_{air}, \rho_{air}) = -1$  referring to functional dependence (12) between  $c_{air}$  and  $\rho_{air}$  are used in the further sample properties estimation uncertainty analysis.

In case when actual air parameters are not measured, absolute errors are assumed to be equal to the minimum and maximum values over temperature and pressure range considered (refer Fig.4 left and Fig.6 right):

$$\Delta_0(c_{air}) \leq \pm 14.8 \text{ m/s}, \Delta_0(\rho_{air}) \leq \pm 210 \cdot 10^{-3} \text{ kg/m}^3. \quad (17)$$

Then, the corresponding standard uncertainties of  $c_{air}$  and  $\rho_{air}$ :

$$\sigma_0(c_{air}) = \Delta_0(c_{air})/\sqrt{3} = 8.5 \text{ m/s}, \quad (18)$$

$$\sigma_0(\rho_{air}) = \Delta_0(\rho_{air})/\sqrt{3} = 121 \cdot 10^{-3} \text{ kg/m}^3. \quad (19)$$

The sensitivity coefficients  $w_{ij}$  listed in Table I were derived numerically as relationships  $e_{y_i}$  vs.  $e_{x_j}$  in the ranges of  $y$  vector elements:  $581 \text{ Np/m} < \alpha_0 < 921 \text{ Np/m}$ ,  $315 \text{ m/s} < c_2 < 400 \text{ m/s}$ ,  $700 \text{ kg/m}^3 < \rho_2 < 1100 \text{ kg/m}^3$ ,  $0.198 \text{ mm} < h < 0.402 \text{ mm}$ .

TABLE I.  
SENSITIVITY COEFFICIENTS OF SAMPLE PARAMETERS

Parameter $y_i$	Sensitivity coefficients	
	$c_{air}$	$\rho_{air}$
	$w_{i1} = \partial e_{y_i} / \partial e_{c_{air}}$	$w_{i2} = \partial e_{y_i} / \partial e_{\rho_{air}}$
$\alpha_0 = 780$ (Np/m)	2.54	-377
$c_2 = 315$ (m/s)	-1.19	169
$\rho_2 = 890$ (kg/m <sup>3</sup> )	6.58	-1002
$h = 0.3$ (mm)	-1.19e-6	1.81e-4

## C. Uncertainty Estimation

According to [28] and taking into account that input quantities  $c_{air}$  and  $\rho_{air}$  are correlated, the square of combined standard uncertainty of sample property estimation is expressed  $u^2(e_{y_i}, w_{ij}) = \sum_{j=1}^2 w_{ij}^2 \sigma^2(e_{x_j}) + 2w_{i1}w_{i2}r_{12}\sigma(e_{x_1})\sigma(e_{x_2})$ ,

$$(20)$$

where  $w_{ij} = \partial e_{y_i} / \partial e_{x_j}$ , and  $e_{x_j} = (e_{c_{air}}, e_{\rho_{air}})$ ,  $e_{y_i} = (e_{\alpha_0}, e_{c_s}, e_{\rho_s}, e_h)$ .

The maximum standard uncertainty of the  $i$ -th element  $e_y$  is estimated by finding the largest value according to (20):

$$u_{max}^2(e_{y_i}) = \max(u^2(e_{y_i}, w_{ij})), j = \overline{1, 2}. \quad (21)$$

Assuming normal (Gaussian) distribution of the final quantity according to the central limit theorem, the expanded uncertainty is estimated according to expression with expansion factor 2 and coverage probability  $p = 95\%$ :

$$U(e_{y_i}) = 2 \cdot u_{max}(e_{y_i}). \quad (22)$$

The expanded standard uncertainty of sample parameters  $U_0(e_{y_i})$  in case when the air parameters are not estimated can be obtained from (20)-(22) by substituting  $\sigma(e_{c_{air}})$  and  $\sigma(e_{\rho_{air}})$  by  $\sigma_0(e_{c_{air}})$  and  $\sigma_0(e_{\rho_{air}})$  from (18) and (19). The uncompensated uncertainty  $U_0$  is obtained.

Obtained uncertainties are listed in Table II.

TABLE II.  
UNCERTAINTIES OF SAMPLE PARAMETERS ESTIMATION

$y_i$	Uncertainty		
	Uncompensated		Compensated
	$U_0(e_{yi})$ (%)	$U(e_{yi})$ (%)	$U(e_{yi})$ (unit)
$\alpha_0=780$ (Np/m)	17.1	0.78	6.06 (Np/m)
$c_2=315$ (m/s)	19.5	0.88	2.78 (m/s)
$\rho_2=890$ (kg/m <sup>3</sup> )	1.81	1.16	16.14 (kg/m <sup>3</sup> )
$h=0.3$ (mm)	21.4	0.97	2.92e-3 (mm)

In can be seen from Table II that the estimation of air parameters enables to reduce the maximum relative expanded uncertainty of sample properties assessed using air-coupled ultrasonic spectrometry by approximately  $U_0(e_{yi})/U(e_{yi}) \approx 22$  times in the ambient parameters range  $-5\text{ }^\circ\text{C} \leq t \leq +40\text{ }^\circ\text{C}$ , and  $94\text{ kPa} \leq P \leq 105\text{ kPa}$ , which are typical for field applications.

### V. EXPERIMENTAL VALIDATION

Experimental investigation was carried out in order to validate the suitability of proposed compensation. Technique was initially aimed at leaf properties measurement. Unfortunately, no references exist to compare the results over temperature range. Even simple thickness measurement using the micrometer damages the leaf. Furthermore, the leaf properties will change significantly if it is subjected to the temperature variation because of its physiology. Therefore, it was decided to use a thin polycarbonate (PC) sheet with thickness corresponding to resonant frequency of the leaf. Sheet thickness can be measured using micrometer, it is easy to handle, its properties have been well studied using ultrasound [38]-[42], so reference values are available.

#### A. Experiment Setup

The ultrasonic data acquisition system developed in Kaunas University of Technology (see insert photo at the top along with abstract) was used for signals collection. Two wideband, 650 kHz center frequency, 20 mm diameter air-coupled ultrasonic transducers, manufactured by CSIC (Spanish Research Council, Madrid) were used for ultrasound transmission and reception. Transducers were placed at 32 mm distance. A spread spectrum signals were used for excitation in order to keep the nonlinearity low but achieve the sufficient SNR. A 10 MHz clock frequency was used for excitation signal production. A bipolar, 32 V amplitude, 50  $\mu\text{s}$  duration chirp, covering 350-950 kHz range was used for excitation. The reception gain was 8 dB for calibration and 50 dB for sample measurement. Amplifiers' complex gain AC response was measured and later used for acquired signal level conversion to amplifier input. Signals were sampled using 14 bit, 10 MHz ADC. Detailed system description is available in [17],[18].

Servo motor was used for automated PC plate insertion and removal for sample and calibration measurements. The MS8607-02BA01 sensor was used to register the ambient pressure, temperature and humidity. Sensor pressure measurement absolute accuracy is 200 Pa, resolution is 1.6 Pa. Humidity is measured with 3%RH absolute accuracy, resolution is 0.04%RH. Temperature is measured with 1  $^\circ\text{C}$  absolute accuracy, resolution is 0.01  $^\circ\text{C}$ .

Whole system was placed into improvised thermal chamber. Chamber was made from thermoelectric cooler. Temperature

control was accomplished manually, by changing the cooler current. The temperature was slowly varied (approximately 1  $^\circ\text{C}/\text{h}$  in order to ensure the match of air and sensor temperature) from +5  $^\circ\text{C}$  to +40  $^\circ\text{C}$ . Temperature profile over experiment time is presented in Fig.9, left. Thermometer (red line) and ultrasound (blue line) temperature readings differ because ultrasound-estimated temperature includes relative humidity effects.

Measurement was aimed at correct ultrasound velocity estimation. Velocity in air error (difference between velocity estimated from sensor readings of  $P$ ,  $t$  and RH) profile over experiment time is presented in Fig.9, right.

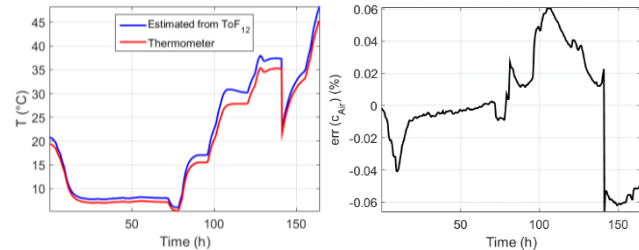


Fig. 9. Temperature profile (left) and estimated  $c_{\text{air}}$  error (right) vs. time.

A PC sheet of 2 mm thickness was used (supplied by Antalis, Poland, Warsaw). Transmission response for PC plate, obtained using the measured signals and provided by RUS inverse solution is presented Fig. 10.

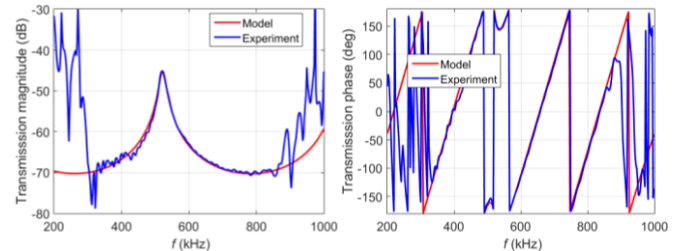


Fig. 10. Transmission response for 2 mm PC plate.

Comparison to Fig.2 can reveal that transmission is smaller by 25 dB in valley, but peak is just 5 dB lower. Signal are lower SNR than if it was leaf measurement. The resonance peak frequency is similar to that of the leaf.

#### B. Reference Values

The actual PC plate thickness, measured by micrometer was 2.045 mm. The PC plate density was estimated, by cutting the rectangular plate and measuring its dimensions with the digital caliper (69.92x70.03 mm) and weighting (11.964 g). Resulting 1193.6 kg/m<sup>3</sup> density is close to manufacturer specified density of 1200 kg/m<sup>3</sup>. CTE of PC ( $65 \cdot 10^{-6}$  m/m/K) was used for the thickness and density change with temperature calculation. Unfortunately, ultrasonic properties usually are measured at high frequencies, therefore had to be derived from available values. Ultrasound attenuation in PC, according to [38] is 638 dB/m/MHz or 43.5 Np/m at 650 kHz. Ultrasound velocity of PC, according to [39] is 2235 m/s at 4 MHz, 2225 m/s at 1 MHz at 25  $^\circ\text{C}$ . It can be deduced that velocity is 2222 m/s at 650 kHz (center frequency of the transducers used). According to [40], ultrasound velocity is 2280 m/s, frequency not specified, but usually 5 MHz or 10 MHz transducers are used

for such measurements. Source [41] reported 2245 m/s velocity for PC at 15 MHz, 25 °C. Slightly different, 2192 m/s and 2199 m/s values were reported in [42] at 600 kHz frequency, room temperature. A negative, -3.58 m/s/°C  $dV/dT$  value for velocity change with temperature for PC was reported in [40]. It was decided to use 2240 m/s velocity at 20 °C and -3.58 m/s/°C slope in order to match the aforementioned velocity values.

Results of the PC plate thickness and velocity estimation using RUS inverse solution (red lines are for uncompensated, black: for compensated measurement) along with expected values (blue line) over temperature range are presented in Fig.11. Linear regression was fit into results. Regression approximation is plotted as dashed lines, 95% confidence intervals are indicated by dotted lines.

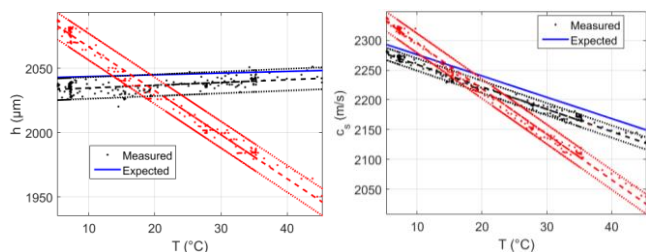


Fig. 11. Measured thickness (left) and ultrasound velocity (right) of PC plate. Red lines: uncompensated, black: compensated case, blue line: expected values.

It can be noted that even the slope of the uncompensated measurements does not follow the physics: thermal expansion is negative. At 45 °C thickness  $h$  is underestimated by 102 µm or 5%. At 45 °C velocity  $c_s$  is underestimated by 124 m/s or 5.8%.

In case of ambient parameters compensation, slope matches the expected. There is a slight bias error:  $h$  is underestimated by approximately 6 µm or 0.3% at 45 °C, bias error is reduced 17 times. At 45 °C velocity  $c_s$  is underestimated by 23 m/s or 1.1%, bias error is reduced 5 times.

Estimated PC plate density and attenuation (red lines are for uncompensated, black: for compensated measurement) along with expected values (blue line) over temperature range are presented in Fig.12.

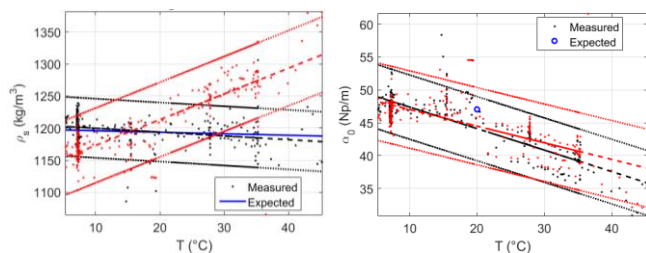


Fig. 12. Measured density (left) and ultrasound attenuation (right) of PC plate. Red lines: uncompensated, black: compensated case, blue line: expected values.

It can be concluded that even the slope of the uncompensated measurements does not follow the physics: density is increasing with temperature. At 45 °C air density  $\rho_{air}$  is overestimated by 126 kg/m<sup>3</sup> or 11%. If ambient parameters are compensated, slope matches the expected. There is a slight bias error:  $\rho_{air}$  is underestimated by approximately 9 kg/m<sup>3</sup> or 0.76% at 45 °C, bias error is reduced 15 times.

Attenuation  $\alpha_0$  can only be evaluated at 20 °C: results are quite close for compensated and uncompensated case: approximately 2 Np/m or 4.2% was achieved.

It can be concluded that the validation experiments confirm the compensation efficiency: bias errors are reduced 17 to 5 times, depending on parameter.

## VI. CONCLUSION

It was demonstrated that plate parameters (thickness, density, ultrasound velocity and attenuation) estimation using air-coupled ultrasound resonance spectroscopy can benefit if actual air parameters (ultrasound velocity and density) are used when obtaining the inverse solution. Velocity in air and air density estimation using ultrasound and pressure sensor is proposed. It was proposed to estimate the ultrasound velocity using the time-of-flight of probing signal's multiple reflections between transducers' surfaces. Cross-correlation peak is used for ToF estimation with cosine subsample estimation. Presented sensitivity and uncertainty analysis proves that measuring the current air parameters and applying compensation in RUS should enable to noticeably improve the accuracy of estimation. Errors can be reduced approximately 22 times. Experimental validation results confirmed that compensation is possible, thickness estimation bias errors were reduced 17 times, density bias errors were reduced 15 times and velocity estimation bias errors were reduced 5 times. Attenuation estimation errors did not change significantly and remained at 4.2%.

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