



VYTAUTAS KAVALIŪNAS

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**THE FORMATION AND  
INVESTIGATION OF  
TITANIUM DIOXIDE  
STRUCTURES FOR  
PHOTOCATALYTIC  
APPLICATIONS**

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DOCTORAL DISSERTATION

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KAUNAS UNIVERSITY OF TECHNOLOGY

VYTAUTAS KAVALIŪNAS

THE FORMATION AND INVESTIGATION OF  
TITANIUM DIOXIDE STRUCTURES FOR  
PHOTOCATALYTIC APPLICATIONS

Doctoral dissertation  
Technological Sciences, Materials Engineering (T 008)

2023, Kaunas

This doctoral dissertation was prepared at Kaunas University of Technology, Faculty of Mathematics and Natural Sciences, Department of Physics during the period of 2017–2022. The studies were supported by Kaunas University of Technology with a one-time scholarship granted for the achieved results (RD3030100) and by the scholarship provided by the Ministry of Education, Culture, Sports, Science, and Technology of Japan (MEXT-Japan).

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Edited by: English language editor Dr. Armandas Rumšas (Publishing House *Technologija*), Lithuanian language editor Rozita Znamenskaitė (Publishing House *Technologija*)

**Dissertation Defense Board of Materials Engineering Science Field:**

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The public defense of the dissertation will be held at 13:00 on the 14 February, 2023 at the public meeting of Dissertation Defense Board of Materials Engineering Science Field in M7 Hall at the Campus Library of Kaunas University of Technology.

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Doctoral dissertation was sent out on 13 January, 2023.

The doctoral dissertation is available on the internet <http://ktu.edu> and at the library of Kaunas University of Technology (Donelaičio 20, Kaunas, LT-44239, Lithuania).

KAUNO TECHNOLOGIJOS UNIVERSITETAS

VYTAUTAS KAVALIŪNAS

TITANO DIOKSIDO STRUKTŪRŲ  
FORMAVIMAS IR TYRIMAS  
FOTOKATALIZINIŲ PROCESŲ PRITAIKYMU

Daktaro disertacija  
Technologijos mokslai, medžiagų inžinerija (T 008)

2023, Kaunas



Disertacija rengta 2017–2022 metais Kauno technologijos universiteto Matematikos ir gamtos mokslų fakultete Fizikos katedroje. Moksliniai tyrimai buvo dalinai paremti gavus vienkartinę skatinamąją stipendiją iš Kauno Technologijos Universiteto (RD3030100 lėšomis) ir švietimo, kultūros, sporto, mokslo bei technologijų ministerijos (MEXT – Japonija) skirta stipendija.

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Redagavo: anglų kalbos redaktorius dr. Armandas Rumšas (leidykla „Technologija“), lietuvių kalbos redaktorė Rozita Znamenskaitė (leidykla „Technologija“)

### **Medžiagų inžinerijos mokslo krypties disertacijos gynimo taryba:**

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dr. Gediminas RACIUKAITIS (Fizinių ir technologijų mokslo centras, technologijos mokslai, medžiagų inžinerija, T 008).

Disertacija bus ginama viešame Medžiagų inžinerijos mokslo krypties disertacijos gynimo tarybos posėdyje 2023 m. vasario 14 d., 13 val. Kauno technologijos universiteto Studentų miestelio bibliotekoje, M7 salėje.

Adresas: Studentų g. 48, Kaunas, LT-51367, Lietuva

Tel: (+370) 608 28 527; el. paštas [doktorantura@ktu.lt](mailto:doktorantura@ktu.lt)

Disertacija išsiųsta 2023 m. sausio 13 d.

Su disertacija galima susipažinti internetinėje svetainėje <http://ktu.edu> ir Kauno technologijos universiteto bibliotekoje (K. Donelaičio g. 20, Kaunas, LT-44239, Lietuva).

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## ABBREVIATIONS

- AC – air conditioning system;  
AFM – atomic force microscopy;  
AM 1.5G – a filter for recreating sunlight irradiation in laboratory conditions;  
ALD – atomic layer deposition;  
AOP – advanced oxidation process;  
ASTM – American Society for Testing and Materials;  
BE – binding energy;  
BHF – buffered hydrofluoric acid;  
CBM – conduction band minimum;  
CBO – conduction band offset;  
CDs – carbon dots;  
CE – counter electrode;  
CS – cooling system;  
CVD – chemical vapor deposition;  
DC – direct current;  
EBE – electron beam evaporation;  
FE-SEM – field emission scanning electron microscopy;  
FTO – Fluorine-doped Tin Oxide;  
FWHM – full width half maximum;  
HMDS – hexamethyldisilane;  
HOMO – highest occupied molecular orbit;  
HPE – hybrid photoelectrode;  
IR – infrared;  
ISO – International Organization for Standardization;  
LA – lactic acid;  
LSPR – localized surface plasmon resonance;  
LUMO – lowest unoccupied molecular orbit;  
MB – methylene blue;  
MOCVD – metal-organic chemical vapor deposition;  
MOF – metal-organic framework;  
NC – nanoclusters;  
NMD – aqueous solution for the development of photoresist;  
NMNPs – noble metal nanoparticles;  
NP – nanoparticles;  
NS – nano spherical;  
NTa – nanotube arrays;  
OCD – aqueous solution for phosphorous diffusion;  
OFPR – photoresists;  
P25 – TiO<sub>2</sub> (anatase/rutile mix);  
PACVD – plasma-assisted chemical vapor deposition;  
PEC – photo electrochemistry;  
PECVD – plasma-enhanced chemical vapor deposition;  
PBF – poly-Boron film;  
PGc – palygorskite clay;  
PC – computer;  
PIN – p-type/insulator/n-type diode;  
PPh – polyphenylene;  
Pt-free – platinum-free counter electrode;  
PVD – physical vapor deposition;  
QD – quantum dots;  
QFL – quasi-Fermi level;  
RF – radio frequency;  
rGO – reduced graphene oxide;  
RhB – Rhodamine B;  
RMS – reactive magnetron sputtering;  
RPM – rotations per minute;  
SEI – secondary electron imaging;  
SIMS – secondary ion mass spectrometry;  
SS – sodium sulphide;  
SSD – solid state dewetting;  
STH – solar-to-hydrogen;  
STS-21 – photocatalytic TiO<sub>2</sub> hydrosol;  
TC – temperature controller;  
TNT – TiO<sub>2</sub> nanotubes;  
TOC – total organic carbon;  
TRPL – time-resolved photoluminescence;  
UV – ultraviolet light;  
VBM – valence band maximum;  
VBO – valence band offset;  
VOC – volatile organic compound;  
VTE – vacuum thermal evaporation;  
XPS – X-ray photoelectron spectroscopy;  
XRD – X-ray diffraction;  
XY – initial elements.

## Introduction

Photocatalysis covers a wide range of applications, but, in terms of what is most important these days, it contributes to environmental remediation. The application for water and/or air purification and solar-to-energy conversion brings the most attention as the pollutants in air and water have been increasing as a result of human activities over the years [1–4]. The main processes occurring in parallel during photocatalysis are photogeneration, recombination, trapping of the charge carrier, and redox reactions. While the first three of them occur in bulk and depend on the energy bandgap and the defect states [5–7], the efficiency of the last one depends on the charge carrier recombination/separation, the surface area of a/the semiconductor, and its redox potentials [8].

Such semiconductors as  $\text{TiO}_2$ ,  $\text{ZrO}_2$ ,  $\text{WO}_3$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{SnO}_2$ ,  $\text{Ga}_2\text{O}_3$ ,  $\text{GeO}_2$  (wide energy bandgap semiconductors) and  $\text{NbO}_2$ ,  $\text{In}_2\text{O}_3$ ,  $\text{Fe}_2\text{O}_3$ , etc. (narrow energy bandgap semiconductors) or their combinations are being widely studied for photocatalysis application [9, 10]. When considering a combination of two (or more) semiconductors (monolithic structures), it is crucial to design and engineer the energy band alignment throughout the layers of semiconductors. If any misalignment occurs between the semiconductors, a metal or another semiconductor interlayer can be implemented to solve the issue. A carefully engineered energy band alignment creates an internal electric field which might enhance the photogenerated charge carrier separation [11, 12]. As T. Munawar *et al.* reported, the combined structure of  $\text{TiO}_2/\text{WO}_3/\text{CeO}_2$  nanoclusters (NC) achieved a higher photocatalytic efficiency compared to  $\text{TiO}_2$  and  $\text{WO}_3$  alone in the course of photodegradation of dyes [13]. The explanation behind the enhanced photocatalytic efficiency was that synergy between the different semiconductors and the energy band alignment resulting in enhanced charge carrier separation and transition rates. Moreover, D. Chen *et al.* provided a detailed comparison between the photocatalytic efficiency of  $\text{TiO}_2$  vs. Pt,  $\text{TiO}_2/\text{rGO}$  vs. Pt, and  $\text{TiO}_2/\text{NS-rGO}$  (nano spherical – NS,) vs. Pt [14]. The first modification, when  $\text{TiO}_2$  was mixed with rGO, resulted in charge carrier separation at the  $\text{TiO}_2$  and rGO interface. However, it was suggested that recombination might still appear on the rGO sheets. Therefore, while further changing the structure of the photocatalyst (and the structure of rGO to NS-rGO in particular), the charge carriers transitioned from  $\text{TiO}_2$  to rGO might freely drift not only on the surface but even be trapped in the inner layers as well, thus eliminating the recombination in rGO. Even though it is difficult to measure the recombination of charge carriers, a design of the energy band alignment might give a good assumption of how charge carriers would move in the semiconductor or in their multi-layered structures. Thus, so far, very few studies have been carried out and provided the actual measurements on the charge carrier recombination. Additionally, at which process the photocatalysts are being used is also important. To be more specific, the photocatalyst (if the combination consists of at least two semiconductors with photocatalytic properties) can be used for either reduction (on the surface of the photocathode) or oxidation (on the surface of the photoanode) reactions. Therefore, as T. Marino *et al.* study shows, in a comparison between  $\text{TiO}_2/\text{Au}$  and  $\text{CeO}_2/\text{Au}$  as the photoanode and the photocathode, respectively

(and vice versa), a higher efficiency is reached when  $\text{TiO}_2/\text{Au}$  is used as the photoanode and  $\text{CeO}_2/\text{Au}$  as the photocathode, which results in almost 7-fold difference [15]. In many cases, the Pt electrode is being used as the cathode on which the reduction reaction occurs because of its superior reduction capabilities [16, 17]. However, with reference to the price of Pt, this raises another issue – cost-efficiency. Therefore, the search for a Pt-free (photo)cathode is as important as looking for an efficient photocatalyst (photoanode). Nevertheless, it was noticed that, in many cases,  $\text{TiO}_2$  is used as the main photocatalyst or as a protection layer for other photocatalysts [18, 19]. This is because  $\text{TiO}_2$  shows unique oxidation properties and offers high thermodynamic stability against photo-corrosion. The latter is based on the position of the valence band maximum (*VBM*) and the conduction band minimum (*CBM*), as well as the redox potentials [20]. Additionally, in order to accurately compare the different photocatalyst systems and their efficiency, it is crucial to maintain the same conditions (the light source and its power, the concentration of the electrolyte or organic dyes) during the measurements. On the grounds of the review of different studies for the photogeneration of hydrogen, a sacrificial agent is used to increase the hydrogen concentration in the aqueous solution, which results in higher hydrogen generation rates. Even though this is mainly done with the objective to better analyze the overall efficiency of the photocatalyst, the result might not be comparable to a real-life situation – i.e., hydrogen generation from pure water.

Setting aside the scientific approaches to a higher photocatalytic efficiency of  $\text{TiO}_2$ , it is crucial to mention the reason behind all the researches – the climate change. According to the agreements which were initiated in many conferences of parties (COP) and once more in COP26 (Glasgow, 2021) [21, 22], it is as important to decrease the usage of fossil fuels as it is to increase the support for renewable energy sources. To be more specific, ‘green hydrogen economy’ is being pushed to all the countries. Meanwhile, ‘green hydrogen’ stands for the production of hydrogen, during which, none of greenhouse gases is being produced. In this case, hydrogen generation through photocatalysis is probably the closest solution for such a problem. Even though, there are many studies which cover the photogeneration of hydrogen through photocatalysis, there are still no commercially available and energy efficient solutions currently available.

Therefore, this study is focused on  $\text{TiO}_2$  as a photocatalyst and its modifications to increase the photocatalytic efficiency. The study was carried out by trying to keep as similar conditions as possible when applying the different measurement techniques. Deep analysis was done on the  $\text{TiO}_2$  crystallization and morphology dependence on the deposition parameters and techniques. Additionally, several different approaches to enhance the photocatalytic efficiency were done by manufacturing a doped  $\text{TiO}_2$  (in bulk and on the surface) and  $\text{TiO}_2$  hybrid photoelectrode. Nevertheless, a design for an alternative platinum-free counter was proposed and analyzed. The photocatalytic efficiency (photodegradation, photoconductivity) was measured for pure the  $\text{TiO}_2$  crystal phases, doped  $\text{TiO}_2$ , and  $\text{TiO}_2$  hybrid photoelectrode. The analysis was carried out by X-ray diffraction, field-emission scanning electron microscopy, X-ray photoelectron spectroscopy, atomic force microscopy, current-

voltage characteristics and photoconductivity, and photodegradation in the dark and under *UV* irradiation.

### **The Aim of the Dissertation**

The aim of this work is to investigate different approaches of enhancing the photocatalytic efficiency for TiO<sub>2</sub> thin films.

When pursuing the aim, the following tasks were solved:

1. The investigation of the dependence of TiO<sub>2</sub> thin film properties on the deposition methods and parameters.
2. The formation of metal-doped TiO<sub>2</sub> thin films and their photocatalytic efficiency evaluation in comparison with plain TiO<sub>2</sub>.
3. The formation of metal nanoparticles on the surface of TiO<sub>2</sub> thin films and their photocatalytic efficiency evaluation in comparison with plain TiO<sub>2</sub>.
4. The formation of TiO<sub>2</sub> and Si heterojunction followed by the manufacturing of TiO<sub>2</sub>/Si hybrid photoelectrode and the evaluation of optical and electrical properties for the photocatalytic application.

### **The Novelty of the Presented Study**

The formation of Mg, Cu, and Ni-doped TiO<sub>2</sub> thin films by the *PVD RMS* (further on abbreviated as *RMS*) technique, and the optimization of the dopant concentration in TiO<sub>2</sub> thin films to enhance the photocatalytic efficiency. The evaluation of photocatalytic efficiency under the same conditions to investigate the influence of the dopant and its concentration on TiO<sub>2</sub> photocatalytic properties. Moreover, the formation of gold nanoparticles on TiO<sub>2</sub> thin films by the *RMS* technique, and the investigation of how the formation parameters together with TiO<sub>2</sub> morphology affect the *AuNP* parameters and the photocatalytic activity of the prepared TiO<sub>2</sub>/*AuNP*. Additionally, the TiO<sub>2</sub>/Si hybrid photoelectrode, as a photocatalyst, and a platinum-free photoelectrode, as a counter electrode and an alternative for the Pt electrode, were manufactured, and the photocatalytic efficiency was evaluated for the proposed system.

### **Author's Contribution**

The formation of TiO<sub>2</sub> thin films by *RMS* and the sol-gel spin-coating technique and the investigation of the formed structures by *XRD*, *FE-SEM*, *XPS*, *UV-Vis*, followed by I–V measurements for the energy band structure evaluation. The validation and curation of the data and the research of the dependence of the morphology and properties on the deposition parameters. Additionally, the formation of TiO<sub>2</sub> thin films on patterned structures by the *RMS* technique, and the formal data analysis of the photocatalytic efficiency for the prepared structures. Moreover, the formation of Mg, Cu, and Ni-doped TiO<sub>2</sub> thin films by the *RMS* technique and the investigation of the formed structures by *XRD*, *XPS*, *EDS*, *UV-Vis*, for the analysis of morphology, electronic, chemical, and optical properties and I–V measurements for the evaluation of the energy band structure. The methodology and investigation of the

photocatalytic efficiency of the formed structures followed by the data curation and analysis. The formal data analysis of  $\text{TiO}_2/\text{AuNP}$  photocatalytic efficiency. Also, the conceptualization and formation of the  $\text{TiO}_2/\text{Si}$  hybrid photoelectrode and the platinum-free photoelectrode structure. The engineering of the energy band alignment throughout the  $\text{TiO}_2/\text{Si}$  hybrid photoelectrode structure by *XPS* and I–V measurements. The investigation of the photocatalytic efficiency for the prepared  $\text{TiO}_2/\text{Si}$  hybrid photoelectrode and the platinum-free photoelectrode system followed by the validation and analysis of the gathered data.

### **Acknowledgments**

First and foremost, the gratitude for the contribution to this doctoral dissertation goes to my supervisors: Prof. G. Laukaitis and Prof. H. Mimura, for the provision of the research resources, the management and coordination of the research, and the critical revision of my articles and dissertation. An important mention is for Prof. Y. Hatanaka, for the conceptualization, consistent validation, and curation of the research and data. Furthermore, my gratitude goes to Prof. M. Shimomura for the lead on X-ray photoelectron spectroscopy measurements and data analysis; Prof. Y. Neo, for the supervision of experimental techniques; Mr. S. Takeuchi for the operation of the computer lithography process during the sample preparation; Dr. M. Sriubas for the investigation and formal analysis of  $\text{TiO}_2$  deposited by *RMS*; Dr. P. Palevičius and Prof. M. K. Ragulskis for the software, formal analysis, and data curation; Dr. R. Sidaravičiūtė for the methodology and investigation, and for anyone else involved in this research for their contribution to this doctoral dissertation.

### **Structure and Scope of the Dissertation**

The dissertation contains an introduction (in English and Lithuanian languages), literature review, experimental technique and methodology, results and discussion, conclusions, and reference list. The volume of the dissertation is 156 pages. The thesis features 65 graphical illustrations, 11 tables, and 358 references.



## Dissertation Approbation

The results reviewed in the dissertation were presented in the below listed international conferences and published in journals having a citation index.

### List of scientific publications which were published in peer-reviewed scientific journals indexed in the *Web of Science* with Impact Factor:

1. **Kavaliūnas, V.**, Hatanaka, Y., Neo, Y., Laukaitis, G., Mimura, H. *Structural design of TiO<sub>2</sub>/Si hybrid photoelectrode and Pt-free counter photoelectrodes for charge carrier separation in water-splitting reactions*. ECS Journal of solid state science and technology, 2021, vol. 10, iss. 10, art. No. 103015, p. 1-6.
2. Sriubas, M., **Kavaliūnas, V.**, Bočkutė, K., Palevičius, P., Kaminskas, M., Rinkevičius, Ž., Ragulskis, M., Laukaitis, G. *Formation of Au nanostructures on the surfaces of annealed TiO<sub>2</sub> thin films*. Surfaces and interfaces, 2021, vol. 25, art. No. 101239, p. 1-10.
3. **Kavaliūnas, V.**, Krugly, E., Sriubas, M., Mimura, H., Laukaitis, G., Hatanaka, Y. *Influence of Mg, Cu, and Ni dopants on amorphous TiO<sub>2</sub> thin films photocatalytic activity*. Materials, 2020, vol. 13, iss. 4, art. No. 886, p. 1-14.
4. **Kavaliūnas, V.**, Čeplikas, P., Sriubas, M., Laukaitis, G. *The Sensitization of TiO<sub>2</sub> Thin Film by Ag Nanoparticles for the Improvement of Photocatalytic Efficiency*. Applied Sciences, 2022, vol. 12, iss. 11, art No. 5725, p. 1-11.

### Other scientific publications published in peer-reviewed scientific journals indexed in the *Web of Science* with Impact Factor:

1. **Kavaliūnas, V.**, Hatanaka, Y., Neo, Y., Laukaitis, G., Mimura, H. *Conduction band discontinuity in n-type Si/TiO<sub>2</sub> heterojunction interfaces*. ECS Journal of solid state science and technology, 2021, vol. 10, iss. 1, art. No. 015005, p. 1-7.
2. Sidaraviciute, R., **Kavaliūnas, V.**, Puodziukynas, L., Guobiene, A., Martuzevicius, D., Andrulevicius, M. *Enhancement of photocatalytic pollutant decomposition efficiency of surface mounted TiO<sub>2</sub> via lithographic surface patterning*. Environmental technology and innovation, 2020, vol. 19, art. No. 100983, p. 1-9.



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1. **Kavaliūnas, V.**, Sestakauskaite, A., Sriubas, M., Laukaitis, G. *Influence of deposition parameters on the structure of TiO<sub>2</sub> thin films prepared by reactive magnetron sputtering technique*. Recent advances in technology research and education: proceedings of the 17<sup>th</sup> international conference on global research and education Inter-Academia – 2018, 2019, p. 49-57.

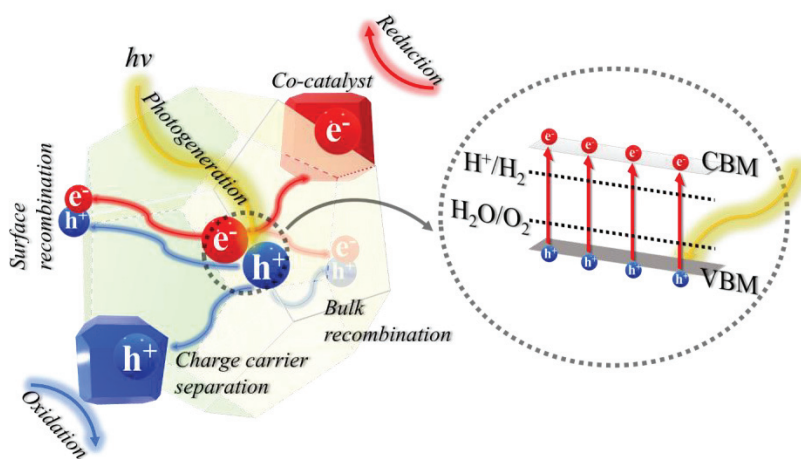
### Other conference abstracts and non-peer-reviewed conference papers:

1. Sriubas, M., **Kavaliūnas, V.**, Bočkutė, K., Palevičius, P., Kaminskas, M., Rinkevičius, Ž., Ragulskis, M., Mimura, H., Laukaitis, G. *Surface modification of thin TiO<sub>2</sub> films by Au and Ag nanoparticles*. 44<sup>th</sup> LNFK Lithuanian National Physics Conference, 2021, October 6-8, Vilnius, Lithuania. p. 245.
2. Sriubas, M., **Kavaliūnas, V.**, Bočkutė, K., Palevičius, P., Kaminskas, M., Rinkevičius, Ž., Ragulskis, M., Mimura, H., Laukaitis, G. *Surface modification of thin TiO<sub>2</sub> films by Au nanoparticles*. 43<sup>rd</sup> LNFK Lithuanian National Physics Conference, 2019, October 3-5, Kaunas, Lithuania. p. 230.
3. **Kavaliūnas, V.**, Šestakauskaitė, A., Sriubas, M., Laukaitis, G. *Influence of deposition parameters on the structure of TiO<sub>2</sub> thin films prepared by reactive magnetron sputtering technique*. Inter-Academia 2018: 17<sup>th</sup> international conference on global research and education, September 24-27, 2018 Kaunas, Lithuania. p. 61.
4. Galdikas, A., Kairaitis, G., Moskališienė, T., Bočkutė, K., Virbukas, D., Sriubas, M., **Kavaliūnas, V.**, Kaminskas, M., Laukaitis, G., Petruškevičius, K. *Modeling of properties of single chamber fuel cell components*. Inter-Academia 2018: 17<sup>th</sup> international conference on global research and education, September 24-27, 2018 Kaunas, Lithuania. p. 49.
5. **Kavaliūnas, V.**, Krugly, E., Laukaitis, G. *Photocatalytic performance of doped TiO<sub>2</sub> films under UV light*. 14<sup>th</sup> Kudowa summer school: towards fusion energy, 4 – 8 June 2018. p. 62.
6. **Kavaliūnas, V.**, Krugly, E., Laukaitis, G. *Photocatalytic performance of Mg-, Cu-, Ni-doped TiO<sub>2</sub> films under UV light*. 42<sup>nd</sup> LNFK Lithuanian National Physics Conference, 2017, October 4-6, Vilnius, Lithuania. p. 154.
7. **Kavaliūnas, V.**, Hatanaka, Y., Laukaitis, G., Mimura, H. *A design study of TiO<sub>2</sub>/n-Si energy band structure for charge carrier transportation in water splitting electrode*. 68<sup>th</sup> JSAP Japan Society of Applied Physics, Spring meeting, 2021, March 16-19, Japan.
8. **Kavaliūnas, V.**, Hatanaka, Y., Neo, Y., Laukaitis, G., Mimura, H. *Synthesis of Si/TiO<sub>2</sub> hybrid solar cell as water splitting electrode*. E-MRS European Materials Research Society, Spring meeting, 2021, May 31 – June 3.
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# 1. Review on Photocatalysis and Photocatalysts

## 1.1. Fundamentals of Photocatalysis

Photocatalysis is one of the catalysis types, during which, the rates of chemical reactions are being modified by light and additive substances (an organic or inorganic semiconductor with photocatalytic properties – further referred to as a photocatalyst) where the latter is not consumed in the reaction. In short, it is a type of chemical reaction that is driven by the light energy, and thus it is called a photoreaction. In parallel to this, four different processes occur in bulk or on the surface of a photocatalyst (**Fig. 1.1**): photogeneration of charge carriers (electrons and holes); recombination of charge carriers; charge carrier trapping inside the energy bandgap, and redox reactions [23]. All the presently mentioned processes shall be explained in detail below.

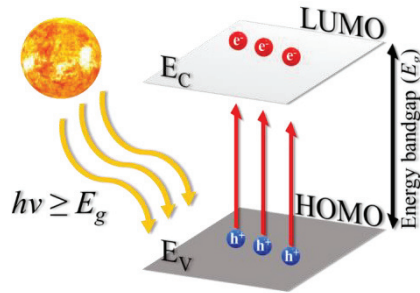


**Fig. 1.1.** Visual representation of processes occurring during photogeneration of charge carriers in a photocatalyst under incident light. *CBM* – conduction band minimum; *VBM* – valence band maximum;  $H^+/H_2$  – energy level for hydrogen reduction potential;  $H_2O/O_2$  – energy level for water oxidation potential;  $h\nu$  – photon energy;  $e^-$  – electrons;  $h^+$  – holes

### Photogeneration of charge carriers

The first process which occurs during photocatalysis is the photogeneration of charge carriers. This requires the photon energy to be equal to or higher than the width of the energy bandgap of the photocatalyst:  $h\nu \geq E_g$ . In general, the energy transfer between the photon and the electron occurs when photons interact with electrons in the valence band ( $E_V$ ) (for an inorganic semiconductor) or *HOMO* (the highest occupied molecular orbital) (for an organic semiconductor). If the photon energy is higher than the energy bandgap, the electron is excited to the conduction band ( $E_C$ ) or the *LUMO* (the lowest unoccupied molecular orbital) by leaving a hole behind itself in the  $E_V$ /*HOMO* (**Fig. 1.2**) [5]. The ability of materials which serves to describe the

photogeneration efficiency is photoconductivity. Such optical/electrical phenomena describe the change in the electrical conductivity of materials under the influence of either visible light, ultraviolet light (UV), infrared light (IR), or other types of radiation [24].



**Fig. 1.2.** Photogeneration of charge carriers (electrons and holes).  $E_V$  – valence band;  $E_C$  – conduction band;  $HOMO$  – highest occupied molecular orbitals;  $LUMO$  – lowest unoccupied molecular orbitals;  $E_g$  – energy bandgap;  $h\nu$  – photon energy;  $e^-$  – electrons;  $h^+$  – holes

Furthermore, the probability of an electron ‘escaping’ the energy bandgap depends on the direction in which the electron moves: towards another atom (the highest chance of ‘escaping’), or it channels through the same atom (the lowest chance of ‘escaping’). In addition, the chance of transition from  $E_V$  to  $E_C$  for an electron is higher for a semiconductor with a direct energy bandgap, compared to an indirect energy bandgap. However, when considering atom vibrations, the chance of transition could increase in the indirect energy bandgap as well [25–27]. For this reason, the energy bandgap can be considered as a function of momentum. Considering the fact that an electron reaches  $E_C$ , it can either recombine with a hole ( $E_C$ -to- $E_V$  transition), or be trapped inside the energy bandgap.

### Charge carrier recombination

The charge carrier recombination is a process, during which, a semiconductor reaches the equilibrium state by annihilating the excess charge carriers.

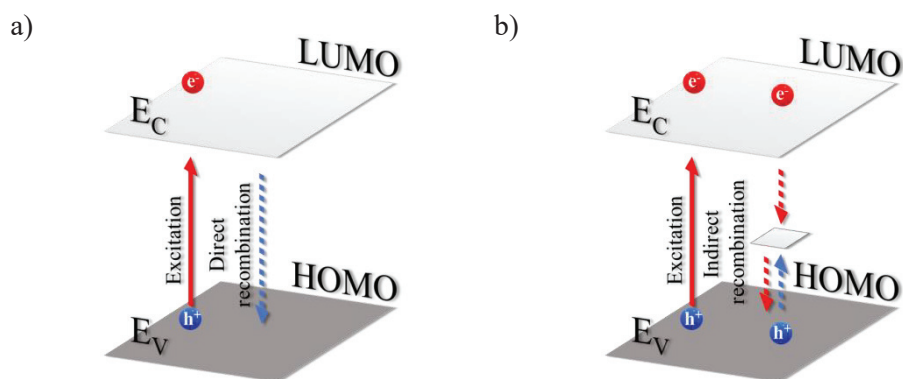
$$\text{Equilibrium state} \quad n_0 p_0 = n_i^2 \text{ or } \mu_n^0 + \mu_p^0 = -\varepsilon_g, \quad 1.1$$

$$\text{Non-equilibrium state} \quad np \neq n_i^2 \text{ or } \mu_n + \mu_p \neq -\varepsilon_g, \quad 1.2$$

Here,  $n_0$  and  $p_0$  is the initial concentration of electrons and holes, accordingly,  $n_i$  is the intrinsic carrier concentration,  $\mu_n^0$  and  $\mu_p^0$  is the equilibrium chemical potential of electrons and holes, accordingly,  $n$  and  $p$  is the non-equilibrium concentration of electrons and holes,  $\mu_n$  and  $\mu_p$  is the non-equilibrium chemical potentials of electrons and holes, and  $\varepsilon_g$  is the energy bandgap [6, 28]. The recombination rates are directly dependent on the charge carrier concentration. Thus, a higher concentration of charge

carriers (higher photogeneration rates) leads to higher recombination rates and vice versa.

Even though the theory of this process is well-understood and has already been known for a while, it is still complicated and requires additional study. However, the main key points shall be addressed in this paragraph. In short, when an electron is excited from an atom and drifts through the material, there is a chance of a hole appearing in its path. In this case, recombination of two charge carriers occurs [29, 30]. This can take place either on the surface or in a bulk. Furthermore, in the photocatalysis process, recombination can be either radiative or non-radiative. The difference here is the emitted particle: a particle of light – a photon (radiative recombination), or a particle of heat – a phonon (non-radiative recombination) is being emitted during the electron-hole recombination. During direct recombination (**Fig. 1.3 (a)**), a photon is emitted as a result of the energy loss for electrons. On the other hand, when indirect recombination (trap assisted recombination) takes place (**Fig. 1.3 (b)**), either a photon or a phonon can be emitted [31–33].



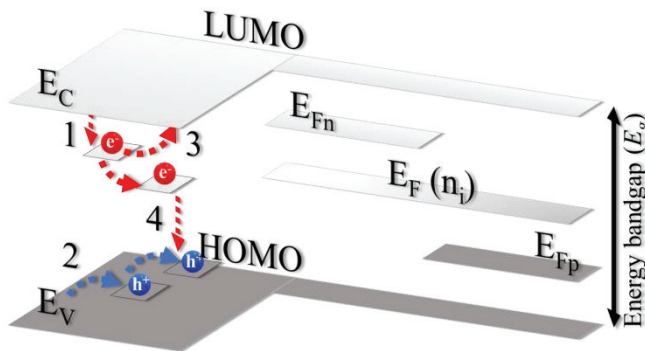
**Fig. 1.3.** Recombination of charge carriers: a) direct (radiative) recombination; b) indirect (radiative/non radiative) recombination.  $E_V$  – valence band;  $E_C$  – conduction band;  $HOMO$  – highest occupied molecular orbits;  $LUMO$  – lowest unoccupied molecular orbits;  $h\nu$  – photon energy;  $e^-$  – electrons;  $h^+$  – holes

The rate of the recombination process is merely a fraction of a second ( $\sim 10$  ns) [34]. Therefore, it is difficult to accurately measure the recombination of charge carriers. In addition to the fast recombination rates, such processes as recombination-assisted photogeneration or exothermic chemical reactions make it difficult to distinguish the latter from the recombination process [35]. Further on, any fluctuation in light can cause a different result in the recombination rates. Thus, measuring it in a laboratory (which requires high-frequency laser beams) might result in a different outcome from that obtained when measuring the case of sunlight irradiation [36]. Given these points, many researchers only assume that the recombination process is a/the reason behind the low photocatalytic efficiency, without providing any experimental data to prove it. The charge carrier recombination can be evaluated by using time-resolved photoluminescence (*TRPL*) [37, 38], which enables the analysis of fast recombination processes resulting in photon emission. Other measurement

techniques involve transient terahertz spectroscopy (*TTHzS*) [39], quasi-steady-state photoconductance [40], microwave photoconductivity [41], or temperature and illumination dependent current-voltage measurements [42].

### Trapping of charge carriers

During photocatalysis, a system is trying to reach the equilibrium state at all times by annihilating the excess non-equilibrium charge carriers (the recombination process described above). However, during recombination, a charge carrier can be captured by the localized state (the trapping site). In general, a trapping site is a location with an energy  $E_t$ , where any movement of the charge carrier is restricted. If an opposite charge carrier is captured by the same trapping site, a process called trap-assisted recombination takes place. On the other hand, if a charge carrier is released to the conduction band or the valence band, these sites are called traps. The trapping site can be either band tails, defects, or impurities (dopants) [7]. These sites are divided into shallow and deep traps according to their position in the energy bandgap [43]. Shallow traps appear near the conduction band minimum (*CBM*) and are called acceptor traps, whereas the ones located near the valence band maximum (*VBM*) are called donor traps (**Fig. 1.4**). The latter traps immobilize holes, while the former traps immobilize electrons. On the other hand, deep traps can work as electron or hole trapping sites as their position in the energy bandgap is close to  $\frac{E_g}{2}$ . Nevertheless, these traps can also exist inside the conduction or valence bands.



**Fig. 1.4.** Charge carrier trapping inside the energy bandgap. 1) electron capture in shallow traps; 2) hole capture in shallow traps; 3) electron release from a shallow trap to conduction band; 4) trap-assisted recombination.  $E_V$  – valence band;  $E_C$  – conduction band; *HOMO* – highest occupied molecular orbit; *LUMO* – lowest unoccupied molecular orbit;  $E_{Fn}$  – Fermi energy level for *n*-type semiconductor;  $E_{Fp}$  – Fermi energy level for *p*-type semiconductor;  $E_F(n_i)$  – Fermi energy level for intrinsic semiconductor

The Fermi energy level plays an important role in the semiconductor energy bandgap as it describes the type of the semiconductor. An intrinsic semiconductor (a pure semiconductor) has the Fermi energy level at  $E_F \sim \frac{E_g}{2}$ , while, for the *n*-type,  $E_F >$

$\frac{E_g}{2}$ , and for the  $p$ -type  $E_F < \frac{E_g}{2}$  [44, 45]. A perfect example for the latter two is  $n$ -type and  $p$ -type Si. The Fermi energy level represents a location in the energy bandgap ( $E_V$  to  $E_F$ ) which is filled with electrons at 0 K temperature ( $T_0$ ). At this point, electrons do not have enough energy to be excited to the conduction band. When the temperature is increased ( $T > T_0$ ), a probability for the electron to occupy a state in the Fermi energy level is at 50% [46]. Moreover, if the temperature is even higher ( $T \gg T_0$ ), the Fermi energy level can occupy a position inside the conduction band [46, 47]. The Fermi energy level is best described by the Fermi-Dirac distribution [48, 49]:

$$f(E) = \frac{1}{e^{(E_g - E_F)/kT} + 1} \quad 1.3$$

Here,  $f(E)$  results in a probability for a particle to have an energy equal to  $E$ ,  $k$  is Boltzmann's constant ( $1.38064852 \cdot 10^{-23} \frac{m^2 kg}{s^2 K}$ ), and  $T$  is the absolute temperature in Kelvin. According to the equation, at low temperatures, the energy states which are below  $E_F$  result in the probability of  $\sim 1$ , and, for the cases above  $E_F$ , the probability is close to 0. At high temperatures, when particles are given excess energy, the probability to fill the states above the  $E_F$  increases.

What concerns the trapping sites created by impurities, they can shift the Fermi energy level closer to  $CBM$  or closer to  $VBM$ , thus creating a quasi-Fermi level ( $QFL$ ). The traps inside the Fermi energy level can be defined as [50, 51]:

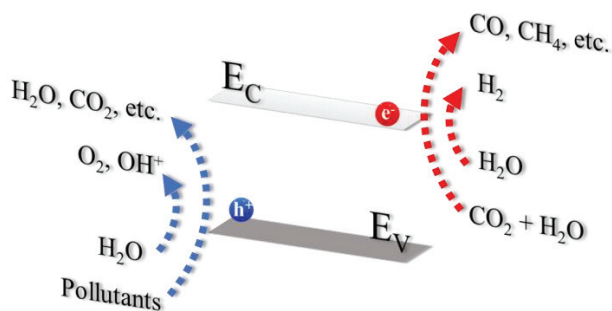
$$\text{for electrons:} \quad E_{Fn} = E_F + kT \ln \frac{1 + \Delta n}{n_0}, \quad 1.4$$

$$\text{for holes:} \quad E_{Fp} = E_F + kT \ln \frac{1 + \Delta p}{p_0}, \quad 1.5$$

Here,  $E_{Fn}$  is the quasi-Fermi energy level for electrons,  $E_{Fp}$  is the quasi-Fermi energy levels for holes,  $\Delta n$  and  $\Delta p$  define the excess concentration of electrons and holes, whereas  $n_0$  is the initial concentration of electrons, and  $p_0$  is the initial concentration of holes.

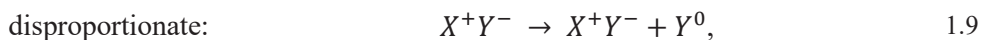
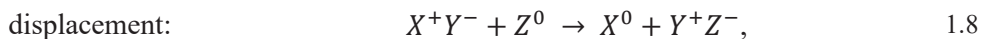
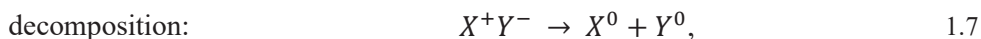
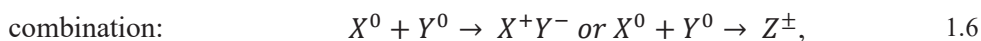
### Redox reactions

Granted that a charge carrier successfully reaches the surface (liquid (electrolyte) – solid (semiconductor) interface) by overcoming the recombination process, redox (reduction-oxidation) reactions take place (**Fig. 1.5**).



**Fig. 1.5.** Visual representation of redox reactions in the electrolyte–semiconductor interface.  $E_C$  – conduction band;  $E_V$  – valence band;  $e^-$  – electrons;  $h^+$  – holes

There are several types of redox reactions: combination, decomposition, displacement, and disproportionation reactions. Let us see the schematic representations for the above mentioned reactions below, in Eqs. 1.6 to 1.9 [52]:



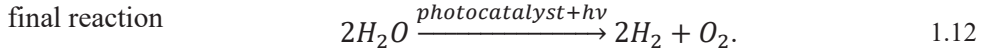
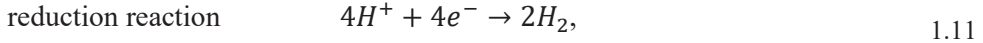
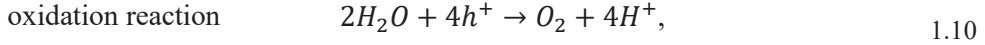
where  $X^0$  and  $Y^0$  is the initial element produced in the chemical reaction,  $X^+Y^-$  and  $Z^\pm$  is the chemical product after  $X^0$  and  $Y^0$  reaction, or the new product, respectively.

During a combination reaction, the elemental form of  $X$  or  $Y$  is required to form a combination of  $XY$  or a new element  $Z$ . The simple example of this is  $C^0 + O_2^0 \rightarrow C^+O_2^-$ . This is the opposite reaction compared to the decomposition reaction for which a simple example is the decomposition of a water molecule:  $2H_2^+O_2^- \rightarrow 2H_2^0 + O_2^0$ . However, in contrast to the combination reaction, a decomposition reaction requires external heat, light, etc. to drive the reaction. The latter is the main reaction describing the photocatalytic degradation of an electrolyte. When considering the displacement reaction, a simple example would be by adding  $Na$  to water:  $2Na^0 + 2H_2^+O_2^- \rightarrow 2Na^+O_2^- + H_2^0$ . A displacement reaction can also occur between two solids where a metal with a higher reduction potential replaces another metal in a compound, i.e.:  $Ti^{4+}Cl_4^+ + 2Mg^0 \rightarrow Ti^0 + 2Mg^{2+}Cl_2^-$ . A disproportionation reaction takes place when the same chemical substance undergoes an oxidation and reduction reaction. For example, the redox reaction of hydrogen peroxide is as follows:  $2H_2^+O_2^{1-} \rightarrow 2H_2^+O_2^- + O_2^0$  [53–55].

What concerns photocatalysis, the decomposition reaction takes place on the surface (at the electrolyte–semiconductor interface) of a photocatalyst (Fig. 1.1). The electrons from the conduction band take a role (they act as a donor) in reduction reactions, while holes from the valence band (acting as an acceptor) take a role in



oxidation reactions. A simplified decomposition reaction of a water molecule during photocatalysis is shown below [8]:



Theoretically, during water decomposition, an oxidation reaction requires a potential of  $E^O = 1.23$  eV in order to split the water molecule. However, this applies only in ideal conditions. Thus, in reality, a slightly higher potential might be required based on the conditions, and it can reach from 1.3 up to 1.8 eV [56]. However, this is not the only condition to drive the water-splitting reaction. When considering the properties of a photocatalyst (organic or inorganic), the hydrogen evolution reaction requires a position of *CBM* or *LUMO* at a more negative potential than the hydrogen reduction potential ( $H^+/H_2$ ). On the contrary, the oxygen evolution reaction requires a position of *VBM* or *HOMO* at more positive potential than water oxidation potential ( $O_2/H_2O$ ). Consequently, a photocatalyst must be thermodynamically stable against photo-corrosion [20]. Thus, the above mentioned properties of a photocatalyst also describe its stability during redox reactions. In general, a photocatalyst is stable against oxidation by photogenerated holes if the position of its oxidation potential is lower than the oxidation potential of water ( $H_2O/O_2$ ) or *VBM* [20]. Likewise, a photocatalyst is stable against the reduction by photogenerated electrons if its reduction potential is higher than the reduction potential of hydrogen ( $H^+/H_2$ ) or *CBM* [20].

Given these points, not many semiconductors pass the above mentioned requirements for redox reactions, and even though it meets the requirements, the thermodynamical stability has to be taken into account [57, 58].

## 1.2. Photocatalysis Applications

The continuous increase in the average  $CO_2$  emission from human activities (deforestation, burning fossil fuels, etc.) over the years [1] has had a significant impact on environmental pollution. Such an increase in human-caused  $CO_2$  emission resulted in an increased annual average temperature [2] followed by the decrease of the ice coverage in the Arctic Sea and Iceland [3] as well as in the Antarctic [4]. Thus, renewable energy sources have become considerably appealing for environmental remediation as an eco-friendly technology. This can be seen from the increase in the number of publications based on photocatalysis, which increased by up to 60 times from 2000 to 2020 [23].

Photocatalysis covers a wide range of applications: water and air purification, energy production, anti-bacterial (self-sterilizing) coatings, self-cleaning and anti-fogging surfaces, chemical synthesis, and even photocatalytic paints or tiles [59]. However, only the ones which play the most important role in environmental

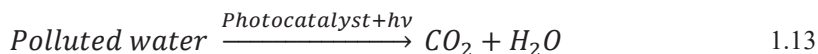


remediation shall be reviewed below, and an extra focus shall be given to TiO<sub>2</sub> as a unique photocatalyst with superior oxidation properties.

### Water purification

The increase in the global average temperature brings a huge challenge for the accessible pure water (mainly in the third-world countries). Thus, a study on a cost-effective and accessible technology for water purification is considered to be crucial. To this matter, advanced oxidation processes (AOP) are being investigated. AOP is chemical water treatment, during which, organic and/or inorganic compounds are removed from water or wastewater by oxidation processes [60]. Even though many AOPs are comparably cheap to create, the operational costs (chemicals required energy, etc.) are too high for more extensive application [61, 62]. Therefore, AOPs based on photocatalysis have been gathering most attention so far [63].

In short, water purification by photocatalysis application is somewhat similar to water-splitting reactions presented above (Eqs. 1.10–1.12). Pollutants in wastewater are surrounded by strong oxidising agents (OH<sup>\*</sup>) and disintegrated over time. A simplified reaction is shown in Eq. 1.13 [64]:

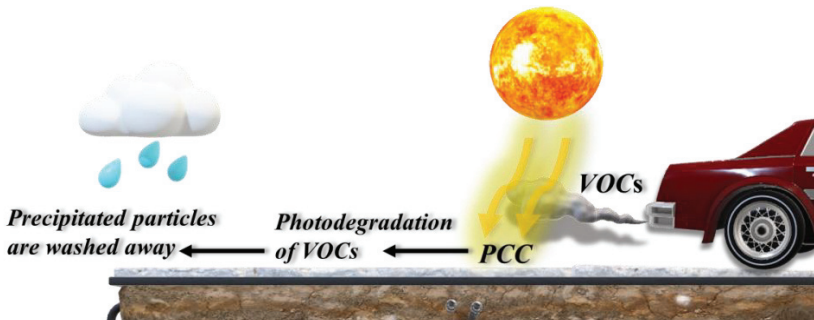


The most popular photocatalysts, including Fe<sub>2</sub>O<sub>3</sub>, ZnO, WO<sub>3</sub>, TiO<sub>2</sub>, SnO<sub>2</sub>, are characterized as eco-friendly, low-cost, and chemically stable semiconductors [65–68]. However, considering the latter, it is debatable if the presently mentioned semiconductors (except for TiO<sub>2</sub>) exhibit chemical stability which can withstand photo-corrosion [69, 70]. Additionally, many other semiconductor structures contain TiO<sub>2</sub> as a substrate, an interlayer, etc. Apart from the already exaggerated chemical stability, the unique oxidation properties bring TiO<sub>2</sub> on top of other photocatalysts. A recent analysis by T.H. Huy *et al.* [71] reveals that as-prepared SnO<sub>2</sub> has a lower photocatalytic efficiency compared to TiO<sub>2</sub> nanotubes (TNT), and an even higher efficiency is reached by preparing a SnO<sub>2</sub>/TNT heterojunction. Based on their findings, recombination rates were dramatically decreased. According to the energy band alignment and the internal electric field, photogenerated electrons and holes were directed in the opposite ways: electrons from the TiO<sub>2</sub> to SnO<sub>2</sub> conduction band, and holes from the SnO<sub>2</sub> to TiO<sub>2</sub> valence band. Similarly, T. Munawar *et al.* [13] observed a significant increase in the photocatalytic efficiency when TiO<sub>2</sub>/WO<sub>3</sub>/CeO<sub>2</sub> (NC) was used for the photodegradation of various dyes, compared to stand-alone TiO<sub>2</sub> and WO<sub>3</sub>. The synergy between the layers significantly lowered the recombination rates by increasing the charger carriers' transition/separation rates. A study by O. F. S. Khasawneh *et al.* [72] suggests a combination of Fe<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> for water treatment application by presenting a high (from ~90 up to 100%) photodegradation efficiency. Their findings are mainly attributed to a drastic decrease in the energy bandgap: from 3.18 eV (TiO<sub>2</sub>) to 1.6 eV ((5 wt.%) Fe<sub>2</sub>O<sub>3</sub>/TiO<sub>2</sub>).

To conclude, many combined photocatalysts achieve higher photocatalytic efficiencies when combined with  $\text{TiO}_2$ . Thus, the uniqueness of  $\text{TiO}_2$  has been proven once more.

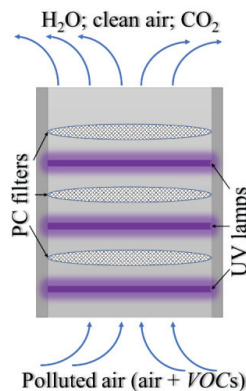
### Air purification

In parallel with the industrial revolution, the concentration of volatile organic compounds (*VOCs*) increased in the outdoor and indoor air [73]. As a consequence, long exposure to polluted air leads to diseases. Therefore, air purification through a cost-effective and eco-friendly technology started gaining interest. Especially, air purification through photocatalysis as photocatalysts has proven its sustainability and versatility. One of the many ways to improve air purification outdoors is a pavement with a photocatalytic coating. Results reported by E. Boonen *et al.* [74] and Z. Hu *et al.* [75] suggest that the use of a combination of a photocatalyst and cement-based products offers a potentially high efficiency reduction of air pollution in traffic. Z. Hu *et al.* studied the efficiency of Fe-doped  $\text{TiO}_2$  (this team had formerly worked only with  $\text{TiO}_2$ ) on photocatalytic purification of HC and  $\text{NO}_x$ . To this matter, the obtained by-products were  $\text{CO}_2$  and  $\text{H}_2\text{O}$  HC, and nitrate with  $\text{H}_2\text{O}$  for  $\text{NO}_x$  decomposition. However, low efficiency in  $\text{CO}_2$  reduction was observed, and thus the problem of  $\text{CO}_2$  pollution was not resolved. Likewise, M. Hassan *et al.* [76, 77] studied the benefits of  $\text{TiO}_2$  addition to pavements. The author came to similar findings of the increased photodegradation of *VOCs*, especially with the increased UV irradiation. However, it was observed that the relative humidity might negatively affect the efficiency, thus limiting the potential of the application. In terms of considering photocatalytic pavements, many authors agree on the same outcome – high photoreduction of many *VOCs*, except for  $\text{CO}_2$  (trees can do this particular job). Besides cement-based products, asphalt, ceramics or other external construction material can be coated with a photocatalyst. Although the presented results are far from perfect, it is a small step towards having a ‘green’ pavement technology. A simplified representation of photodegradation of *VOCs* on the pavement with a photocatalytic coating is shown in **Fig. 1.6**. Again, the chemical process does not differ much from the above mentioned ones, only the decomposed particles and the by-products may differ.



**Fig. 1.6.** Visual representation of photocatalytic pavement catalysis. *VOCs* – volatile organic compounds; *PCC* – photocatalytic coating

On the other hand, indoor air pollution is more important as humans spend most of the time (70% up to 90%) inside. The advantages (at some point) in indoor air purification are a controllable light source, air flow rate, moisture level, and temperature (within the scope of the available technology). Moreover, the variety of objects which can be coated with a photocatalyst is much higher indoors, compared to outdoors. In his dissertation, B. Stefanov proposed the idea of  $\text{TiO}_2$  being coated with UV transparent glass [78]. In such a way, coating UV transparent window glass with a  $\text{TiO}_2$  thin film would result in high-efficiency photodegradation of *VOCs*. The easiest and already commercially available air purification methods are air conditioning systems (ACs); humidifiers; ventilators, etc.). By simply adding a stand-alone or an array of UV lamps together with photocatalytic layers/filters (nanofibers) (**Fig. 1.7**), the photodegradation of *VOCs* takes place [79]. In short, when polluted air enters the system, then *VOCs* are being oxidized on the filters of the UV-activated photocatalyst and precipitates in the system (yet, additional cleaning of the system is required to maintain the purification efficiency), and, as a result, purified air exits the system. In addition, systems that could be applicable for both indoors and outdoors, have also been presented. A recent analysis by A. Mavrikos *et al.* [80] suggested a versatile photocatalyst for indoor and outdoor air purification applications. They synthesized a modified palygorskite clay (*PGc*) structure by adding  $\text{TiO}_2$  nanocomposites together with Zn/Cu metal ions. However, as the results suggest, modifying the *PGc* structure with Zn increased the efficiency under UV and visible light irradiation, which was the opposite for Cu. The explanation behind this is the deactivation of  $\text{TiO}_2$  nanocomposites by additive Cu ions [80]. Likewise to the water purification method, air purification reaches high efficiency when a photocatalyst containing  $\text{TiO}_2$  is used.



**Fig. 1.7.** Visual representation of the system used for indoor air purification. *PC filters* – filters with photocatalytic coating

### *Anti-bacterial (self-sterilizing) coatings*

Alongside the previously mentioned methods, anti-bacterial coatings could be applied in the same way as the photocatalytic reactions are similar. However, the

improvement of the photocatalytic efficiency might differ. To this matter, mainly noble metal nanoparticles (*NMNPs*) are used with  $\text{TiO}_2$  as the main photocatalyst [81]. *NMNPs* show unique localized surface plasmon resonance (*LSPR*) properties which are based on the nanometric structure of *NMNPs* [82]. In general, *LSPR* is an optical phenomenon when light interacts with *NPs* at a size smaller than that of the wavelength. Thus, the optical properties of *NPs* differ from bulk material. The process behind this is that the plane-wave excitation of *NPs* with a size of  $\frac{R}{\lambda} < 0.1$  (where  $R$  is the radius of the *NPs*, and  $\lambda$  is the wavelength) causes coherent oscillation of the electrons by the oscillating electric field [83]. This results in an increase of polarization charges on the surface of *NPs* [84]. Noble metals, such as silver (Ag) and gold (Au), show unique *LSPR* properties under visible light irradiation, which makes them a viable choice in the photocatalytic application for anti-bacterial coatings. S. Karagoz *et al.* discussed Ag/ $\text{TiO}_2$  application for anti-bacterial coatings [85]. Beside the positive results in bacterial removal, their findings in photocatalytic degradation of methylene blue (*MB*) yielded other interesting results. They observed that the photocatalytic efficiency of Ag/ $\text{TiO}_2$  was enhanced compared to plain  $\text{TiO}_2$ . This suggests that *LSPR* caused by the *NMNPs* might increase the photocatalytic efficiency in other applications as well. M. A. Subhan *et al.*'s [86] findings are in line with those of T. H. Huy *et al.* [71], as both groups of authors suggested an effective combination of  $\text{TiO}_2$  and  $\text{SnO}_2$ . Thus, the former suggested an improvement of the  $\text{TiO}_2/\text{SnO}_2$  structure by adding  $\text{Ag}_2\text{O}$  for enhanced anti-bacterial application. As a result, they suggested a versatile and efficient method for water purification and bacteria removal.

### Energy generation

Probably the first thought that comes to mind when people are thinking about photocatalysis and energy production is hydrogen (more specifically – green hydrogen). Even though hydrogen generation through water splitting reactions has been known for several decades, it started gathering most interest after the latest Conference of the Parties (COP26, 2021). Government leaders decided to ‘push’ the green hydrogen economy agenda even further as a renewable energy source for environmental remediation [21, 22]. On top of that, lots of research has already been done on energy generation through photocatalytic applications, and requirements for sustainable and cost-efficient photocatalyst have already been presented.

Controversially, photocatalysis can be used not only for solar-to-energy conversion but for  $\text{CO}_2$ -to-energy conversion as well. Thus, two birds get killed with one stone <sup>1</sup> [87]. However, the term ‘ $\text{CO}_2$ -to-energy conversion’ is an oversimplification as the process is actually more complex. In short, the  $\text{CO}_2$ -to-energy conversion works as storage of sunlight energy in the form of chemical bonds by hydrogenation of  $\text{CO}_2$ . This way, solar fuels, such as methane ( $\text{CH}_4$ ) and methanol ( $\text{CH}_3\text{OH}$ ), are generated [88, 89]. However, the reduction of  $\text{CO}_2$  by the photo/electrochemical process requires more energy compared to the reduction of

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<sup>1</sup> To succeed on solving two problems in a single action [87].

hydrogen (in hydrogen generation). Therefore, it is mainly done by an electrochemical process than by photocatalysis [90]. However, a debate has arisen whether CO<sub>2</sub>-to-energy conversion is more favorable than solar-to-energy conversion, especially when considering the energy losses and environmental issues. Alternative ways of CO<sub>2</sub> utilization by reduction/conversion have been widely reviewed in other studies [91].

Considering the solar-to-hydrogen (*STH*) conversion, several requirements must be met: the energy must be higher than 1.23 eV (which is equivalent to the energy of a photon with a wavelength of ~1000 nm); a thermodynamically stable photocatalyst is required [20, 92] (the requirements for *CBM* and *VBM* are described above in *Redox reactions*) for the efficient decomposition of a water molecule. In this context, visible light (approximately 400 to 700 nm) photocatalysis is more than sufficient, with a photon energy of ~3.1 to 1.8 eV, respectively [93, 94]. Moreover, a system that separates and captures photogenerated H<sub>2</sub> is required.

On the contrary to the previously discussed photocatalysis applications, energy production through photocatalysis is much more difficult. Despite the fact that TiO<sub>2</sub> shows superior efficiency in water or air purification, a high energy bandgap limits its application for *STH* conversion [95]. By using plain TiO<sub>2</sub>, only a fraction (~5%) of sunlight energy (mostly UV) can be converted to the energy required for water splitting reactions [96]. Considering the other above mentioned photocatalysts, either thermodynamical stability or photosensitivity issues arise. Therefore, the process of energy generation through photocatalysis requires careful manufacturing of modified semiconductor, a structure containing several semiconductors (monolithic structures), or dual-semiconductor (anode and cathode) systems to achieve efficient solar-to-hydrogen conversion levels.

### 1.3. Photocatalysts

Semiconductors having photocatalytic properties and the ability to split water molecules can be divided into two groups: metal oxides with a metal electronic structure of d<sup>0</sup> and d<sup>10</sup>. The former metal oxides are TiO<sub>2</sub>, ZrO<sub>2</sub>, WO<sub>3</sub>, NbO<sub>2</sub> or Nb<sub>2</sub>O<sub>5</sub>, etc., while the latter are SnO<sub>2</sub>, In<sub>2</sub>O<sub>3</sub>, Ga<sub>2</sub>O<sub>3</sub>, GeO<sub>2</sub>, etc. [9, 10]. In general, a semiconductor having a *CBM* higher than (or close to) the hydrogen reduction potential and a *VBM* value lower than the oxidation potential is suitable. However, most semiconductors have either a large energy bandgap, utilizing only UV light (<400 nm), or have a low redox potential, which results in high recombination rates [97, 98]. Thus, a combination of two or more semiconductors (monolithic structures) is being implemented for hydrogen production.

Taking in mind a combination of several semiconductors, heterojunction interface must be carefully designed for the efficient transfer of charge carriers. In general, the heterojunction interface can be divided into three categories (types): a straddling gap, a staggered gap, and a broken gap [99]. In short, the straddling gap at the heterojunction forms when the *VBM* and *CBM* of one semiconductor are lower and higher, respectively, than those of the second semiconductor (**Fig. 1.8 (a)**). This way, based on the internal electric field, charge carriers (either electrons or holes) accumulate at the semiconductor having a lower energy bandgap [100]. The staggered gap forms between two semiconductors when *VBM* and *CBM* are at higher energy

levels than those of the second semiconductor, accordingly (**Fig. 1.8 (b)**) [11, 12]. This results in a high probability of the charge carrier separation. On the other hand, a broken gap forms at the heterojunction where no overlapping of energy bands occurs (**Fig. 1.8 (c)**). The *VBM* of one semiconductor is at a higher energy level than the *CBM* of the second semiconductor [101, 102]. Considering a photocatalytic application, mainly a staggered or a straddling gap is formed between the two semiconductors photocatalysts, and a broken gap never forms.

Additionally, a depletion layer (a space charge region), which forms at the heterojunction between the two semiconductors or between the solution and the photocatalyst, has to be taken into the equation [103–105]. Under equilibrium, a depletion layer forms at the junction of *n*-type and *p*-type semiconductors. However, this layer can form at the junction of two same-type semiconductors as well. At the *pn* junction, the depletion layer forms due to the diffusion of electrons into the *p*-type semiconductor and holes on the opposite side. At the heterojunction of the same type of semiconductors, the depletion layer mainly spreads into the one with a lower conductivity (a lower concentration of free charge carriers) [106]. The width of such a depletion layer can be calculated by the following equation (simplified) [107–109]:

$$W = \sqrt{\frac{2\varepsilon_s V_{bi}}{qN_D}}, \quad 1.14$$

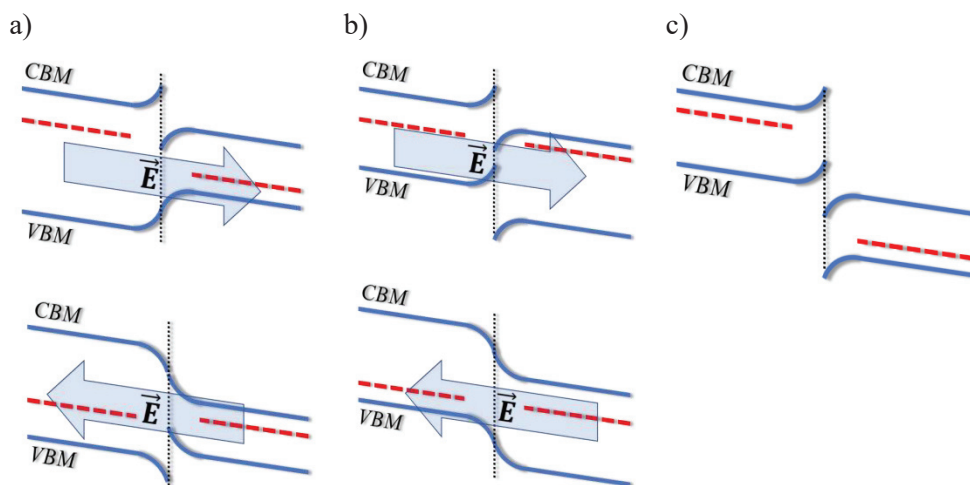
where  $W$  is the width of the depletion layer,  $\varepsilon_s$  is the dielectric permittivity of a semiconductor,  $V_{bi}$  is the built-in potential,  $q$  is the electron charge ( $1.6 \cdot 10^{-19}$  C) and  $N_D$  is the dopant concentration in the particular semiconductor. Whereas,  $V_{bi}$  can be evaluated by:

$$V_{bi} = \phi_B - E_A, \quad 1.15$$

$$\phi_B = \phi_m - \chi, \quad 1.16$$

where  $\phi_B$  is the barrier height formed at the semiconductor,  $E_A$  is the activation energy (the distance between *CBM* and  $E_F$ ),  $\phi_m$  is the work function of a metal, and  $\chi$  is the electron affinity. For the semiconductor, i.e.,  $\text{TiO}_2$ , the depletion layer depends on the crystallinity and morphology, and it ranges from several to tens of nanometres [110]. Band bending is another criterion that has to be considered before designing a combined photocatalyst [111]. This phenomenon appears under thermal equilibrium when the Fermi energy level of both semiconductors equalizes, thus a band bending appears. The band bends either upwards or downwards, and this mainly depends on the electron affinity of the semiconductor: upwards due to a higher electron affinity; downwards due to a lower electron affinity [111].





**Fig. 1.8.** Visual representation of types of heterojunction between two semiconductors: a) a straddling gap; b) a staggered gap; c) a broken gap.  $\vec{E}$  represents the internal electric field; *CBM* is the conduction band minimum; *VBM* is the valence band maximum

Considering the photocatalytic water splitting in particular, the depletion layer spreads into the photocatalyst as the conductivity of the electrolyte (in all cases) is higher (similar to the metal-semiconductor heterojunction) [109]. Therefore, according to the theory of the depletion layer formation, electrons would drift from the electrolyte to the photocatalyst, whereas holes would drift in the opposite direction, thus trying to reach equilibrium between the electrolyte and the photocatalyst [103]. However, a problem arises at this point, as the charge carrier recombination occurs at the depletion layer. Thus, again, it is required to suggest a careful design of combined photocatalysts in order to overcome the recombination at the electrolyte–photocatalyst heterojunction. In addition, the depletion layer may also appear in the semiconductor – between different crystal phases or between different facets [101]. Different crystal structures have slightly different energy bands, thus creating a depletion layer at the interface [112, 113]. Taking in mind the crystalline structure of  $\text{TiO}_2$ , different facets have different electronic structures [16]. This gives a slightly wider perspective on manufacturing efficient  $\text{TiO}_2$  as a photocatalyst. Additionally, the redox potential has to be taken into account when designing a structure containing two semiconductors. According to the redox reactions described above, the oxidation reaction has a higher chance to occur on the surface of the semiconductor with a higher oxidation potential. Likewise, the reduction reaction will take place on the surface of a semiconductor with a higher reduction potential [114]. To this matter, it is difficult to analyze the redox potentials of the material, thus many articles use some already measured and calculated values from the Pourbaix diagram [115–117]. Given these points, the mechanisms behind photocatalysis, or, in other words, behind the generation of charge carriers, are more complicated than it seems at the first glance.

During the last few decades, a wide variety of studies has been made to manufacture and optimize a combined or modified photocatalyst with the objective to achieve cost-effective and efficient hydrogen generation. Therefore, a list of several photocatalysts and their combinations is presented in **Table 1.1**. As D. Chen *et al.*'s study shows, TiO<sub>2</sub> with a considerably low energy bandgap of 3.16 eV and Pt (as co-catalyst) results in 4053  $\mu\text{mol/h}$  hydrogen production under UV-vis light irradiation (300 W, Xenon arc lamp). In addition, a slightly higher efficiency was observed with TiO<sub>2</sub> doped by reduced graphene oxide (rGO) (as a photocatalyst) vs. Pt (as a counter electrode) as hydrogen production rates increased to 4588  $\mu\text{mol/h}$ . Moreover, when nano-spherical rGO was used, the hydrogen production rates increased 3 times (up to 13996  $\mu\text{mol/h}$ ) [14]. In the first case, 2D rGO (sheets) was mixed with TiO<sub>2</sub> and Pt NP. Therefore, an excited electron transferred from TiO<sub>2</sub> to rGO could freely drift down the rGO sheets, which was enough for recombination to occur between holes in TiO<sub>2</sub> and between electrons on rGO. However, when the rGO structure was changed to 3D (nano-spherical), an electron could drift not only on the surface but to the inner layers of NS-rGO as well. Thus, it acts as a trapping center for charge carriers. Another analysis was made with similar TiO<sub>2</sub> having an energy bandgap of 3.19 eV, but without Pt as a co-catalyst, and only under UV irradiation (18 W). Thus, only  $\sim 9$   $\mu\text{mol/h}$  of hydrogen was generated [118]. The same study observed photogeneration of hydrogen when CuS ( $E_g = 1.35$  eV) was used as a photocatalyst, with a result of  $\sim 20$   $\mu\text{mol/h}$ . Further study shows that mixing two photocatalysts with a much higher efficiency was reached with a result of up to 190  $\mu\text{mol/h}$  of hydrogen when CuS was mixed with TiO<sub>2</sub> at a ratio of 1:4, respectively. However, no data was presented if and how the energy bandgap changes for CuS/TiO<sub>2</sub>.

Nonetheless, the explanation behind the increased hydrogen generation is similar to the one stated by D. Chen *et al.* – the slower recombination process between the charge carriers. Thus, so far, no experimental proof has been presented on this matter. A different analysis by co-doping TiO<sub>2</sub> with Ag was done by comparing the photocatalytic efficiency under UV and simulated sunlight irradiation [119]. The study on photocatalytic efficiency between as-deposited TiO<sub>2</sub> and Ag co-doped TiO<sub>2</sub> under UV irradiation shows an increase of photogenerated hydrogen at almost 3 times (from  $\sim 500$  up to 1500  $\mu\text{mol/h}$ ). Even more significant difference was observed under simulated solar light irradiation with a difference of photogenerated hydrogen from  $\sim 10$ – $20$   $\mu\text{mol/h}$  (with as-deposited TiO<sub>2</sub>) to 450  $\mu\text{mol/h}$  (with TiO<sub>2</sub>/Ag). Thus, as the results show, a higher efficiency was reached under UV irradiation for both cases: TiO<sub>2</sub> and TiO<sub>2</sub>/Ag, compared to the same samples set under the simulated solar light. This suggests that a decrease in the energy bandgap, which was 3.2 eV (for as-deposited TiO<sub>2</sub>) and 2.77 eV (for TiO<sub>2</sub>/Ag), was not enough to increase the photosensitivity under visible light irradiation.



**Table 1.1.** List of semiconductors and their combinations as photocatalysts with equivalent  $H_2$  production rates observed in other studies. Co-catalyst – a co-doped or a separate catalyst; sacrificial agent – the electron donor or hole acceptor which reduces the recombination process between the two and increases  $H_2$  generation rates;  $Xe$  – xenon lamp;  $Hg$  – mercury lamp. Some authors do not present or do not measure the required data, thus it is referred to as ‘no data’

Photocatalyst	$E_g, eV$	Co-catalyst	Sacrificial agent	Light source	$H_2, \mu mol/h$	Ref.
TiO <sub>2</sub>	3.16	Pt	Methanol	UV-vis (300 W, Xe)	4053	[14]
TiO <sub>2</sub> /Au	No data	Pt	Propanol	Vis (300 W, Xe)	0.5	[120]
TiO <sub>2</sub> /Ag	2.77	None	No data	UV (8 W, Hg)	~1500	[119]
NTa <sup>1</sup> TiO <sub>2</sub> /Ag	3.20	None	No data	Vis (35 mW/cm <sup>2</sup> )	1.56	[121]
TiO <sub>2</sub> /Ag	2.77	None	No data	Sunlight <sup>2</sup>	~450	[119]
TiO <sub>2</sub> /rGO	3.12	Pt	Methanol	UV-vis (300 W, Xe)	4588	[14]
TiO <sub>2</sub> /NS-rGO <sup>3</sup>	2.88	Pt	Methanol	UV-vis (300 W, Xe)	13996	[14]
P25 <sup>4</sup> TiO <sub>2</sub> /Au	No data	CeO <sub>2</sub> /Au	No data	UV-vis (125 W, Hg)	~70	[15]
CeO <sub>2</sub> /Au	No data	P25 TiO <sub>2</sub> /Au	No data	UV-vis (125 W, Hg)	~10	[15]
CuS	1.35	None	Methanol	UV (18 W)	20	[118]
TiO <sub>2</sub>	3.19	None	Methanol	UV (18 W)	9	[118]
CuS/TiO <sub>2</sub> (1:1)	No data	None	Methanol	UV (18 W)	75	[118]
CuS/TiO <sub>2</sub> (1:4)	No data	None	Methanol	UV (18 W)	190	[118]
CuS/TiO <sub>2</sub> (1:5)	No data	None	Methanol	UV (18 W)	150	[118]
CdS	2.30	CDs <sup>5</sup>	LA <sup>9</sup>	Vis (300 W, Xe)	4640	[122]
WO <sub>2</sub> /Na <sub>x</sub> WO <sub>3</sub>	No data	None	Sea water	Solar <sup>6</sup> (1000 W, Xe)	5.76	[123]
CdS/ZnS	No data	None	SS <sup>7</sup>	Vis (300 W, Xe)	239	[124]
H:ZnO NRa <sup>8</sup>	3.27	None	SS	Vis (300 W, Xe)	122500	[125]
Fe <sub>2</sub> O <sub>3</sub> /TiO <sub>2</sub>	3.20	None	SS	Vis (300 W, Xe)	7253	[126]
ZnO/CdS	No data	None	SS	Vis (500 W, Xe)	851	[127]
ZnO/CdS	No data	Pt	SS	Vis (300 W, Xe)	2960	[128]
ZnO/CdS	~2.34	None	SS	Vis (500 W, Xe)	354	[129]
P25 TiO <sub>2</sub> /CdS	No data	Pt	SS	Vis (450 W, Xe arc)	6720	[130]
P25 TiO <sub>2</sub> /CdS	No data	Pt	SS	Vis (450 W, Xe arc)	4848	[131]
TiO <sub>2</sub> /CdS	No data	Au	SS	Vis (300 W, Xe)	1970	[132]
WO <sub>3</sub> /Au	No data	Pt	Glycerol	Vis (300 W, Xe)	132	[133]
Ga <sub>2</sub> O <sub>3</sub>	~4.80	Pt	LA	Vis (300 W, Xe)	0.12	[134]
Ga <sub>2</sub> O <sub>3</sub> /CdSQD <sup>10</sup>	~2.25	Pt	LA	Vis (300 W, Xe)	901.6	[134]
In <sub>2</sub> O <sub>3</sub>	~2.76	Pt	LA	Vis (300 W, Xe)	0.05	[134]
In <sub>2</sub> O <sub>3</sub> /CdSQD	~2.25	Pt	LA	Vis (300 W, Xe)	506.9	[134]
In <sub>2</sub> O <sub>3</sub> /ZnO	2.47	None	Methanol	Vis (300 W, Xe)	1784	[135]

<sup>1</sup>NTa – nanotube arrays

<sup>2</sup>Simulated sunlight (Science-Tech, SF300B with AM 1.5G filter)

<sup>3</sup>NS-rGO – nano-spherical reduced graphene oxide

<sup>4</sup>P25 TiO<sub>2</sub> – Anatase/Rutile mixture

<sup>5</sup>CDs – carbon dots

<sup>6</sup>Simulated solar light

<sup>7</sup>SS – Sodium sulphide + sodium sulphite (Na<sub>2</sub>S + Na<sub>2</sub>SO<sub>3</sub>)

<sup>8</sup>H:ZnO NRa – hydrogenated ZnO nanorod arrays

<sup>9</sup>LA – Lactic acid (C<sub>3</sub>H<sub>6</sub>O<sub>3</sub>)

<sup>10</sup>QD – quantum dots

Further on, in their 2008 paper, H. Park *et al.* reported a high photocatalytic efficiency of CdS/TiO<sub>2</sub> as a photocatalyst with a Pt as co-catalyst for hydrogen production with a result of 6720  $\mu mol/h$  [130]. Later on, the same authors modified

the CdS/TiO<sub>2</sub> structure, which resulted in a lower photocatalytic efficiency with a hydrogen production rate reaching 4848  $\mu\text{mol/h}$  [131]. Both studies analyzed the photocatalytic efficiency dependence on the preparation technique and the final structure of the photocatalyst. The findings show that an efficient charge carrier separation is achieved when the Pt co-catalyst is deposited on TiO<sub>2</sub> instead of CdS. Moreover, the changes in the CdS stoichiometry may also negatively affect the overall photocatalytic efficiency. According to this study, it is clear that a careful design of a system of semiconductors and the deep analysis of the photocatalytic efficiency dependence on the structure is crucial in order to determine the optimal parameters. Similar to H. Park *et al.*, J. Fang *et al.* reported a photocatalytic efficiency of CdS/TiO<sub>2</sub> under similar conditions, but with Au as a co-catalyst (instead of Pt) [132]. The hydrogen generation measurements resulted in 1970  $\mu\text{mol/h}$ , which, in comparison, is lower than the values presented by H. Park *et al.* Since the only difference between the two studies was the a co-catalyst, the outcome can be attributed to the nature of Au and Pt, as the latter is known for its superior reduction capabilities, compared to Au [16, 17]. Despite that, the high price of Pt makes it commercially inconvenient, and cheaper alternatives are being analyzed.

On the contrary, X. Zou *et al.* [127] and X. Wang *et al.* [128] suggested a combination of ZnO and CdS as a photocatalyst. Both studies used similar conditions for hydrogen generation analysis to what had been previously described in H. Park *et al.* Therefore, differences in the photocatalytic efficiency between TiO<sub>2</sub> and ZnO as photocatalysts can be observed. As X. Zou *et al.* presented, ZnO/CdS without a co-catalyst achieved an 851  $\mu\text{mol/h}$  of hydrogen generation. Higher efficiency with a result of 2960  $\mu\text{mol/h}$  hydrogen generation was observed in X. Wang *et al.*'s study, where Pt was used as a co-catalyst. The importance of the co-catalyst and its effect on the total photocatalytic efficiency is indisputable. Moreover, the lower efficiency of ZnO, compared to TiO<sub>2</sub>, may be attributed to a higher oxidation potential of TiO<sub>2</sub>. The importance of this factor was reviewed in the previous chapters.

C. Zhu *et al.* suggested a system of CdS as a photocatalyst and carbon dots (CDs) as a co-catalyst, resulting in 4640  $\mu\text{mol/h}$  of hydrogen generation under similar light irradiation as in the studies discussed above, but it employed a different sacrificial agent (Lactic acid) [122]. Beside the low energy bandgap of CdS (~2.3 eV), the CDs co-catalyst improved the photogenerated charge carrier separation and stabilization. It is worth mentioning that the photocatalytic efficiency in this particular case was higher when the analysis was being done in seawater than in pure water. Thus, a different approach was suggested to hydrogen generation by decomposing seawater.

A more complex structure of Ga<sub>2</sub>O<sub>3</sub> and In<sub>2</sub>O<sub>3</sub> containing CdS quantum dots (QD) and Pt as a co-catalyst was presented by Y. Pan *et al.* [134]. The measured energy bandgaps for stand-alone Ga<sub>2</sub>O<sub>3</sub> and In<sub>2</sub>O<sub>3</sub> were around 4.8 eV and 2.76 eV, respectively. Even though the energy bandgap of Ga<sub>2</sub>O<sub>3</sub> is much higher than that of In<sub>2</sub>O<sub>3</sub>, the former achieved a better photocatalytic efficiency under visible light irradiation with a result of 0.12  $\mu\text{mol/h}$  (compared to 0.05  $\mu\text{mol/h}$  for In<sub>2</sub>O<sub>3</sub>). The authors explain that a small amount of UV light might still pass through the filter, thus activating Ga<sub>2</sub>O<sub>3</sub>, and the low activity of In<sub>2</sub>O<sub>3</sub> is attributed to the limited light absorbance after 420 nm wavelength and a higher chance of recombination due to

defects in bulk or grain boundaries. For comparison, in this study, CdS vs. Pt achieved hydrogen generation of 108.1  $\mu\text{mol/h}$ . Further implementing CdSQD into the  $\text{Ga}_2\text{O}_3$  and  $\text{In}_2\text{O}_3$  resulted in 901.6 and 506.9  $\mu\text{mol/h}$  of hydrogen generation, respectively (603  $\mu\text{mol/h}$  for CdS/ $\text{TiO}_2$  vs. Pt). The study results are consistent with those obtained by H. Park *et al.* as both studies suggested that the highest efficiency is achieved when the co-catalyst is deposited on the metal oxide instead of CdS. In short, the increased photocatalytic efficiency is explained by the efficient charge carrier transfer from the photocatalyst to the co-catalyst and reduced recombination.

In their ground-breaking paper, X. Lu *et al.* presented a superior ZnO photocatalyst for hydrogen generation from water. The hydrogenated ZnO nanorods array (NRa) achieved a hydrogen production of 122500  $\mu\text{mol/h}$  [125]. Such impressive results might be attributed to the concentration of defects which was increased during the hydrogenation process. Moreover, the color of the ZnO nanorods array changed from transparent to black, which resulted in higher optical absorption. Furthermore, hydrogenated ZnO NRa resulted in lower light reflectance compared to ZnO NRa. The higher probability of charge carrier separation is affected by the morphology of ZnO NRa. Even though the results stand out from the others presented in **Table 1.1** and might look debatable, other studies also showed a similar approach with the results being comparable to this one [136–138].

The study by L. Li *et al.* shed more light on designing a photocatalyst having a more complex structure [139]. To be more specific, they manufactured an  $\text{H}_3\text{PW}_{12}\text{O}_{40}/\text{TiO}_2\text{-g-C}_3\text{N}_4$  photocatalyst by the ultrasonic method. According to the energy band alignment throughout the structure, the path of the photogenerated electron would be  $\text{g-C}_3\text{N}_4 \rightarrow \text{TiO}_2 \rightarrow \text{H}_3\text{PW}_{12}\text{O}_{40}$ . Therefore, it increased the probability of charge carrier separation. In the end, the photogenerated holes at  $\text{g-C}_3\text{N}_4$  would be utilized in oxidation reactions, whereas electrons at  $\text{H}_3\text{PW}_{12}\text{O}_{40}$  would be consumed in reduction reactions. However, the authors suggested such a structure for water purification application by analysing the decomposition of organic compounds under visible light irradiation. Additionally, based on the results, such a structure can be utilized up to 4 times with an excellent result, thus raising a problem of reusability. Since the decomposition of organic compounds is, at some point, similar to the decomposition of a water molecule, such a structure, or a slightly modified version of it, might reach superior capabilities in hydrogen generation application.

C. Lu *et al.* drew attention to a staggered gap (type II) heterojunction by manufacturing  $\text{ZnIn}_2\text{S}_4/\text{BiPO}_4$  photocatalyst for enhanced photocatalytic efficiency under solar light irradiation [140]. The works of both L. Li *et al.* and C. Lu *et al.* focused on designing type II heterojunction in order to increase the separation efficiency of photogenerated charge carriers. Moreover, such a design and energy bandgap engineering throughout the layers may lead to higher photosensitivity under visible light irradiation, compared to stand-alone photocatalysts.

In short, an efficient charge carrier separation can be achieved by carefully engineering a monolithic structure to create an internal electric field. This electric field would act as an additional force driving the separation of charge carriers: electrons and holes would drift into separate directions [109]. A simple example of such engineering would be the *n*-type Si and *p*-type Si junction.

It is difficult to compare the photocatalytic efficiencies reported by different studies as different conditions were maintained during the process, yet, a general view of the data presented in **Table 1.1** shows some key points:

- The presence of a co-catalyst is crucial for efficient hydrogen production;
- A low energy bandgap is not the only factor that is important in photocatalysis;
- A careful design and deep analysis of combined semiconductors as a photocatalyst must be done in order to find an/the optimal structure;
- In order to accurately compare the photocatalytic efficiency, the same conditions must be maintained as any fluctuation might end up in totally different results.

On the other hand, not every study analyzes hydrogen generation due to lack of equipment. Therefore, photodegradation of methylene blue (*MB*), Rhodamine B (*RhB*), or other electrolytes requiring similar activation energy for the decomposition of molecules as that for water are used [141].

Even though, in its pure phase, TiO<sub>2</sub> has a wide energy bandgap (~3.0 to 3.7 eV) [142], it ‘checks’ all other requirements for photocatalysts: thermodynamical stability against photo-corrosion by photogenerated electrons and holes; redox potentials are suitable for water splitting applications; it is eco-friendly and non-toxic [143]. Moreover, on the grounds of the previously reviewed studies, TiO<sub>2</sub> is used as a protective layer for other photocatalysts to eliminate the photodegradation of the latter [19]. Therefore, further review and study shall be focused on TiO<sub>2</sub> as a photocatalyst, its application, and how to solve the issue of the wide energy bandgap, which is probably the only parameter affecting the photocatalytic efficiency.

#### 1.4. TiO<sub>2</sub> as Photocatalyst

Probably the first to observe the strange phenomenon that occurs on the surface of TiO<sub>2</sub> when it is immersed in water and is irradiated with light was Prof. Fujishima [144]. He noticed that small bubbles of oxygen appear on the surface of TiO<sub>2</sub> when it is set in water under sunlight irradiation. Later on, an experiment was set with TiO<sub>2</sub> vs. Pt resulting in hydrogen generation on the surface of Pt. During that time, the strange phenomenon was called the Honda-Fujishima effect, and it was later described as photocatalysis. The interest in TiO<sub>2</sub> application as a photocatalyst started to develop with the number of articles increasing exponentially over the decades [145]. The application of TiO<sub>2</sub> for water and air purification [146–150], decomposition of CO<sub>2</sub> [151, 152], and water splitting reactions [153–155] gathered the most interest. Despite the interest, TiO<sub>2</sub> as a stand-alone semiconductor is not able to achieve high photocatalytic efficiency because of its relatively wide energy bandgap which varies in the range of ~3.0 to 3.7 eV, based on the crystal structure and other morphological properties [156–160]. The wider the energy bandgap is, the narrower wavelength of the solar spectrum reaching the Earth’s surface can be utilized. Therefore, photosensitivity under visible light irradiation is one of the main goals to achieve high photocatalytic efficiency.

### Properties of TiO<sub>2</sub> crystal phases

Naturally, TiO<sub>2</sub> has three different crystal phases: anatase, brookite, and rutile. The rutile phase TiO<sub>2</sub> is denoted by the highest thermodynamic stability, thus exceeding the value of anatase, and brookite is mainly a transition phase between anatase and rutile. In general, crystallization from anatase to rutile occurs at an average temperature of 600 to 800 °C [161–163]. As for the properties of different crystal structures, the main differences are presented in **Table 1.2**. Here, 2 Ti-O and 4 Ti-O represent long (covalent) and short (dative) Ti-O bonds, together with the angle  $\alpha$  and  $\beta$ , respectively. Even though these TiO<sub>2</sub> phases consist of the same TiO<sub>6</sub> octahedrons, the difference in bond lengths and the angle between them results in a slightly different crystal structure.

**Table 1.2.** Characteristics of different TiO<sub>2</sub> crystal phases [156, 164–171]. 2 Ti-O is attributed to the long (covalent) bond; 4 Ti-O is attributed to the short (dative) bond;  $\alpha$  is the angle between the covalent bonds;  $\beta$  is the angle between the dative bonds

Crystal structure of TiO <sub>2</sub>	Crystal system	Density, g/cm <sup>3</sup>	2 Ti-O ( $\alpha$ )	$\alpha$ , °	4 Ti-O ( $\beta$ )	$\beta$ , °	Energy bandgap, eV	Refractive index, n
Anatase	Tetragonal ( <i>ditetragonal dipyramidal</i> )	3.83	~1.964	102.3	~1.937	92.6	~3.2–3.7	2.568
Brookite	Orthorhombic ( <i>dipyramidal</i> )	4.17	~1.978		~1.941		~3.1–3.5	2.809
Rutile	Tetragonal ( <i>ditetragonal dipyramidal</i> )	4.24	~1.984	90.0	~1.946	98.9	~3.0–3.3	2.947

When considering the photocatalytic application, further analysis of the given data will be done for anatase and rutile, as brookite has already been proven to be inefficient in comparison with the two other varieties [172, 173]. The two TiO<sub>2</sub> phases, anatase and rutile, share the same tetragonal crystal system and the same ditetragonal dipyramidal crystal type. However, in terms of their crystal habit, the TiO<sub>2</sub> rutile phase is equivalent to the ‘squished’ TiO<sub>2</sub> anatase phase. Therefore, the density of the TiO<sub>2</sub> rutile phase (4.24 g/cm<sup>3</sup>) is higher than that of the TiO<sub>2</sub> anatase phase (3.83 g/cm<sup>3</sup>). On the other hand, the short and long Ti-O bonds are longer in the TiO<sub>2</sub> rutile phase than in the TiO<sub>2</sub> anatase phase. It is worth mentioning that longer Ti-O bonds result in a lower activation energy which is required for the excitation of the charge carrier. Thus, the TiO<sub>2</sub> rutile phase has a lower energy bandgap (~3.0 up to 3.3 eV) than the TiO<sub>2</sub> anatase phase (~3.2 up to 3.7 eV).

Given these points, the TiO<sub>2</sub> rutile phase might achieve a higher photocatalytic efficiency compared to the TiO<sub>2</sub> anatase phase. However, when considering the density of crystal phases, the TiO<sub>2</sub> anatase phase has a higher surface area, which has been proven to increase the photocatalytic efficiency, as more surface area is in contact with the electrolyte. Nevertheless, the reduction potential of the TiO<sub>2</sub> anatase phase is at a higher energy level than that of the TiO<sub>2</sub> rutile phase [174, 175]. Moreover, the concentration of defects is higher in the TiO<sub>2</sub> anatase phase than in the

TiO<sub>2</sub> rutile phase, which results in a lower activation energy of ~0.4 and ~1 eV, accordingly [176–178]. Thus, there are more variables in the equation than only the energy bandgap.

Alongside the TiO<sub>2</sub> crystal phases, amorphous TiO<sub>2</sub> (further referred to as a-TiO<sub>2</sub>) is worth mentioning as it is known as a reliable and low-cost alternative for the TiO<sub>2</sub> anatase and rutile phases [179]. The high photocatalytic efficiency of a-TiO<sub>2</sub> is attributed to the concentration of defects, which results in a higher probability of charge carrier separation. However, the defects are positioned mainly in the bulk rather than on the surface [18]. Thus, it can be considered as a cheaper alternative to the TiO<sub>2</sub> anatase or rutile phase.

### Manufacturing of TiO<sub>2</sub> thin films

There is a variety of deposition techniques to perform TiO<sub>2</sub> thin films deposition. These techniques can be divided into two categories: *PVD* vs. *CVD*. The latter consists of atomic layer deposition (*ALD*) [180], sol-gel [181], or a range of chemical vapor deposition (*CVD*) techniques: metal-organic chemical vapor deposition (*MOCVD*), plasma-enhanced chemical vapor deposition (*PECVD*), or plasma-assisted chemical vapor deposition (*PACVD*) [182–184]. However, chemical deposition techniques are not eco-friendly, as toxic precursors of the TiO<sub>2</sub> monomer are used during the deposition. This is not the case for the physical deposition techniques. These can be divided into two categories based on the nature of deposition: evaporation or sputtering. Evaporation techniques consist of vacuum thermal evaporation (*VTE*), electron beam evaporation (*EBE*), molecular beam epitaxy (*MBE*), etc. Meanwhile, sputtering can be either direct current (*DC* sputtering) or radiofrequency (*RF* sputtering) [185], and both of them are known as magnetron sputtering. It is difficult to put the mentioned techniques in comparison when it comes to photocatalytic efficiency; however, taking in mind deposition on patterned structures, the *PVD* and *CVD* techniques are more suitable than sol-gel spin-coating [186]. In general, it all comes to what type of equipment is available, and neither one nor the other has any advantages or disadvantages over the alternative option.

Granted that, the *RMS* technique and the sol-gel spin-coating technique shall be described in more detail as these two are the main deposition techniques used in this dissertation.

The common properties, such as the morphology and crystal structure of TiO<sub>2</sub> deposited via the sol-gel spin-coating technique, mainly depend on the initial chemical structure of the pre-prepared<sup>2</sup> TiO<sub>2</sub> precursor, and, secondly, on the spin-coating deposition parameters, such as the spinning rate (*RPM* as rotations-per-minute) and the spinning time [156, 181, 187, 188]. Therefore, when having one precursor, only the thickness (followed by the energy bandgap), morphology, and crystallinity (based on the annealing temperature) can be modified. Whereas, during *PVD* deposition by sputtering the Ti or TiO<sub>2</sub> cathode, such parameters as the type of sputtering (*DC* or *RF*), the magnetron power, argon and oxygen pressure, and the ratio of the

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<sup>2</sup> By using a commercially available TiO<sub>2</sub> precursor



oxygen/total pressure, the distance between the substrate and the cathode, the deposition temperature, etc. [189]. Moreover, photocatalytic efficiency highly depends on the surface area of the photocatalyst, thus the sol-gel spin-coating technique is probably the only one that enables the deposition of highly porous thin films. Provided that, the versatility of TiO<sub>2</sub> deposition techniques is indisputable. After all, there is one parameter that highly affects the morphology and the crystal structure of the deposited TiO<sub>2</sub>, despite the deposition technique is the material of a substrate on which TiO<sub>2</sub> is being deposited. As the study by P. Singh *et al.* presented, using LaAlO<sub>3</sub> and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> as substrates for TiO<sub>2</sub> deposition affects the crystal orientation and the phase transition of TiO<sub>2</sub> due to the lattice mismatch [190]. The deposition of TiO<sub>2</sub> at 650 °C temperature resulted in the rutile phase formation when  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was used as a substrate, and, in the case of LaAlO<sub>3</sub>, anatase phase formation was observed according to the XRD data. Moreover, different morphology in terms of the roughness and grain size was observed when TiO<sub>2</sub> was deposited on different substrates under the same conditions. The reason behind this, as the authors explain, is the lattice mismatch between TiO<sub>2</sub> and LaAlO<sub>3</sub> or  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. Another study by S. Miszczak and B. Pietrzyk suggested that TiO<sub>2</sub> anatase-to-rutile transition strongly depends on the substrate material [191]. Their study shows that the average annealing temperature at which the TiO<sub>2</sub> anatase phase (powder) forms is ~400 °C, whereas the TiO<sub>2</sub> anatase-to-rutile phase transition ends at 800 °C. On the contrary, the temperature which is required for the TiO<sub>2</sub> anatase-to-rutile phase transition increases to 800, 850, and even 1000 °C when TiO<sub>2</sub> is deposited on stainless steel (304L), Co-Cr-Mo alloy, and Si substrates, respectively. Nevertheless, it was observed that a TiO<sub>2</sub> thin film deposited on the Si substrate and annealed at 1000 °C contains a mixture of anatase/rutile, with anatase being the dominant phase. They suggest that the TiO<sub>2</sub> anatase-to-rutile phase transition might be affected by the diffusion of additives from the substrate material. E. Blanco *et al.*'s data confirms S. Miszczak's and B. Pietrzyk's point that the diffusion process occurs between the substrate and TiO<sub>2</sub> [192]. They deposited TiO<sub>2</sub> thin films (of a thickness of around 40 to 70 nm) on Si substrates and annealed them at different temperatures. XPS analysis showed a considerably high amount of Si detected in TiO<sub>2</sub>. A significant increase in the Si concentration was observed when the annealing temperature increased from 150 °C (with 4.3% of Si detected) to 800 °C (with 31% of Si detected). Taking into account that the detection depth of XPS analysis is around 10 nm, and TiO<sub>2</sub> thickness is of 40 to 70 nm, it is clear that Si diffusion into the TiO<sub>2</sub> lattice occurred. E. Blanco *et al.*'s results are comparable to those previously obtained by J. Yu *et al.* [170] as it shows an increase of Si concentration in TiO<sub>2</sub> with an increased annealing temperature. According to E. Blanco *et al.* and C. Anderson *et al.* [193], the reason behind the slower TiO<sub>2</sub> anatase-to-rutile phase transition is that Si locks the Ti-O species at the interface. The Si diffusion into the TiO<sub>2</sub> lattice prevents the nucleation process which is necessary for the phase transition. Therefore, a higher annealing temperature is required to activate the nucleation process. Such a phenomenon of Si diffusion into the TiO<sub>2</sub> lattice could also affect the photocatalytic efficiency as presented by L. Lopez *et al.* [194]. According to their study, TiO<sub>2</sub> deposited on the glass substrate achieved higher photocatalytic efficiency compared to the ones deposited on 6061Al alloy or a glazed

ceramic tile. However, the only explanation behind this was the higher surface area of  $\text{TiO}_2$  deposited on the glass substrate, compared to 6061Al alloy and a glazed ceramic tile. Nonetheless, the diffusion of Si into  $\text{TiO}_2$  may increase the defect concentration, which can act as trap centers for photogenerated charge carriers. This results in reduced recombination rates. Thus, it ultimately increases the photocatalytic efficiency.

When considering doping  $\text{TiO}_2$  with metal or non-metal ions, *PVD* and *CVD* techniques are probably the best solution for that. During  $\text{TiO}_2$  deposition by the *PVD* technique, simply another magnetron (with the dopant cathode) can be set in parallel to the one with a Ti or  $\text{TiO}_x$  cathode [195]. The dopant concentration can be controlled by controlling the magnetron shutter or power. Whereas, during *CVD* deposition, a dopant material can be injected from another precursor, and the concentration is controlled by the amount of the precursor injected into the system [157, 196]. Thus, for the sol-gel spin-coating technique,  $\text{TiO}_2$  and dopant precursors have to be mixed, which requires chemical stability between the precursors [157]. On the other hand, by depositing  $\text{TiO}_2$  by the sol-gel spin-coating technique, dopants can be deposited on the surface by *PVD* later on.

#### *Influence of doping on photocatalytic properties of $\text{TiO}_2$*

To enhance the photocatalytic efficiency of  $\text{TiO}_2$ , the latter can be doped with other metals, such as Mg, Cu or Ni [195] in bulk or by depositing precious metals, such as Ag, Au or Pt on the surface [197, 198] so that to increase the spectral selectivity and the rates of the charge carrier separation. This has been widely studied over the last decades, and some examples are already presented in the previous chapter. In general, with an increased dopant concentration, the defects and the trap state concentration increase as well. Thus, the recombination process is cancelled or enhanced as a result [199]. The latter is also a common issue in other photocatalysts.

Considering  $\text{TiO}_2$  as a photocatalyst, its photocatalytic efficiency can be enhanced by doping it with other metal or non-metal ions. Doping can be done by depositing a metal (Au or Ag) *NP* on the surface of thin films [200], or by doping  $\text{TiO}_2$  in bulk with other metal ions [195] by employing a deposition-precipitation method [15] when depositing is done from an aqueous solution on  $\text{TiO}_2$  samples, or with various chemical methods of doping an aqueous  $\text{TiO}_2$  solution (which contains  $\text{TiO}_2$  *NP* or powders) by mixing with a dopant solution, *NP* or other nano-structures [14, 121, 193, 201–203]. There are two main reasons behind the modification of  $\text{TiO}_2$ : sensitization under visible light irradiation and enhancing the charge carrier separation. The former describes the ability of  $\text{TiO}_2$  to become photoactive under a wider range of the solar spectrum. In other words, the utilization of  $\text{TiO}_2$  as a photocatalyst would be possible under sunlight. Whereas, the latter increases the chance to efficiently utilize the photogenerated charge carriers before the recombination occurs. The recombination of photogenerated charge carriers could occur either in bulk or on the surface of  $\text{TiO}_2$ , thus reducing the rates of redox reactions [204]. Therefore, when doping  $\text{TiO}_2$  with other materials, the latter works as a trapping



center for either photogenerated holes or electrons [199]. In this case, there are two paths for the electron to be trapped in these sites (besides recombination) [205]:

1.  $VBM_{TiO_2} \xrightarrow{e^-} \text{trapping site} \xrightarrow{e^-} \text{reduction reaction}$ ;
2.  $VBM_{TiO_2} \xrightarrow{e^-} CBM_{TiO_2}$   
 $\xrightarrow{\text{relaxation of } e^-} \text{trapping site} \xrightarrow{e^-} \text{reduction reaction}$ .

It is worth noting that this kind of trapping is possible if the energy band alignment is done in such a way that the trapping center is positioned inside the energy bandgap of  $TiO_2$ . Additionally, if  $TiO_2$  is doped with another semiconductor, the  $CBM$  of the dopant semiconductor must be at lower energy values than that of  $TiO_2$ .

Doping introduces the impurity levels (of the acceptor or donor) in the  $TiO_2$  energy bandgap known as shallow or deep traps [206]. Here, the donor is a negatively charged ion (with an additional one or more electrons), and the acceptor is a positively charged ion (with an additional one or more vacancies). The position of the shallow donor level is near  $CBM$ , whereas the shallow acceptor level is near  $VBM$ , in the energy bandgap. On the contrary, the position of the deep donor level is near  $VBM$ , and deep acceptor level is near  $CBM$  [207]. These impurities might increase the photosensitivity under visible light irradiation for  $TiO_2$ . In this case, the electron can be excited from  $VBM$  and be caught by the acceptor level, where the transition from  $VBM$  to shallow acceptor trap requires a lower energy than the transition from  $VBM$  to  $CBM$ . Similarly, it is for the shallow donor trap, whereas the electron can be excited from the donor level to the  $CBM$ , and shallow transition occurs, which requires a lower photon energy, and this results in enhanced photoconductivity under visible light irradiation. The shallow traps of the donor level increase the concentration of free charge carriers instead of working as a trapping centre, whereas the shallow traps of the acceptor level act more as a trapping center for electrons excited from  $VBM$ . The deep traps work the same way, but higher energy (which is still lower than the energy bandgap of  $TiO_2$ ) is required for the deep transition than for the shallow transition. Therefore, photocatalytic efficiency can be enhanced by the addition of the shallow donor or acceptor level in the  $TiO_2$  energy bandgap, rather than the deep donor or the acceptor level.

The dopants or defects in the  $TiO_2$  lattice create the  $Ti^{3+}$  species in  $Ti^{4+}$  and oxygen vacancies ( $O_V$ ). As F. Amano *et al.* suggested, the increase in the  $Ti^{3+}$  species increases the photocatalytic efficiency, while the increase in the concentration of  $O_V$  decreases it [208]. The concentration of  $O_V$ s is mainly attributed to the concentration of the acceptor dopants which act as a recombination centre. On the other hand, the  $Ti^{3+}$  species is attributed to the addition of donor sites, which increases the concentration of electrons followed by an enhanced photocatalytic activity. Thus we suggest that doping  $TiO_2$  with higher valence cations leads to enhanced photocatalytic efficiency.

As previously mentioned, crystalline  $TiO_2$  achieves a higher photocatalytic efficiency compared to a- $TiO_2$  even though the electronic structure in bulk is somewhat similar [209]. Therefore, it is worth noting that doping crystalline  $TiO_2$

might lead to the amorphization of the TiO<sub>2</sub> structure. E. Khramov *et al.* drew the conclusion that the TiO<sub>2</sub> rutile phase is more susceptible to amorphization due to the modification by dopants than anatase [210]. This can be attributed to the denser structure of the TiO<sub>2</sub> rutile phase and its weaker Ti-O bonds compared to the TiO<sub>2</sub> anatase phase, which results in easier destruction of crystallites. Meanwhile, the TiO<sub>2</sub> anatase phase is less dense and is capable of forming interstitial defects inside the lattice. Thus, it is crucial to assess the effect of dopants on the TiO<sub>2</sub> crystalline structure [157, 211, 212].

Nevertheless, a-TiO<sub>2</sub> might also achieve a considerably high photocatalytic efficiency after the doping. The photoconductivity in bulk is similar to that in TiO<sub>2</sub> anatase and rutile phases [209]. Thus, doping the surface of a-TiO<sub>2</sub> might increase the surface conductivity, which would result in a higher photocatalytic efficiency. Moreover, choosing a donor-level dopant would result in additional electrons getting injected in the conduction band.

In their carefully designed study, S. Hu *et al.* found that covering Si, GaAs, and GaP with a-TiO<sub>2</sub> protects them from photo-corrosion and increases the diffusion of holes [18]. The presently discussed semiconductors have an energy bandgap suitable for water splitting under visible light irradiation, however, according to their redox potential, either photo-corrosion or photo-passivation occurs. Thus, covering them with a-TiO<sub>2</sub> eliminates the possibility of photo-corrosion or photo-passivation. This suggests a different kind of approach for TiO<sub>2</sub> application in photocatalytic water splitting. S. Hu *et al.*'s view is supported by S. Sun *et al.* who also suggest the utilization of a-TiO<sub>2</sub> as a protective layer for other photocatalysts [19]. They also suggest that a-TiO<sub>2</sub> used as a substrate increases the surface-enhanced Raman scattering (*SERS*) when a thin film is doped with Au *NP*. In other words, a-TiO<sub>2</sub> enhances the localized surface plasmon resonance (*LSPR*) [213] compared to crystalline TiO<sub>2</sub> because of its special energy band structure.

Interestingly, it was also observed that a mixture of TiO<sub>2</sub> anatase and rutile phases achieves a higher photocatalytic efficiency compared to single-phase TiO<sub>2</sub> [214]. S. Bakardjieva *et al.*'s findings support those of Z. Rui *et al.*, as their study shows a correlation between the ratio of anatase/rutile in mixed-phase TiO<sub>2</sub> and the photocatalytic efficiency [215]. Their findings show that photocatalytic efficiency decreased with an increasing annealing temperature, but only until the anatase-to-rutile transition began. Without additional annealing, the sample of TiO<sub>2</sub> (100% of anatase phase) with a crystallite size of 3.5 nm achieved a rate constant  $k = 0.0145 \text{ min}^{-1}$ , whereas, with the same sample annealed at 400 °C (crystallite size 20.2 nm and 100% anatase), the rate constant was  $0.0037 \text{ min}^{-1}$ . This shows a decrease in efficiency of more than 4 times. Furthermore, by increasing the annealing temperature to 600 °C, the phase transition to rutile phase begins, with 0.4% of rutile detected in the sample, and the rate constant slightly increases to  $0.0045 \text{ min}^{-1}$ . With a further increase of the annealing temperature to 825 °C, the rate constant reaches its peak value of  $0.0195 \text{ min}^{-1}$ . In this case, the concentration of anatase (77.4%) and rutile (22.6%) almost matches Degussa P25 (83% anatase and 17% rutile) which achieved a rate constant of  $0.0203 \text{ min}^{-1}$ . Moreover, they showed that the highest photocatalytic efficiency is reached when the particle size of anatase matches that of rutile (~59.8

nm for both). When the anatase-to-rutile transition ends (pure TiO<sub>2</sub> rutile), the photocatalytic efficiency drops below the mixed-phase TiO<sub>2</sub> efficiency ( $k = 0.0057 \text{ min}^{-1}$ ). This was explained by the efficient electron transition through the phases (from anatase to rutile). Moreover, the energy band alignment between the TiO<sub>2</sub> anatase phase and the TiO<sub>2</sub> rutile phase enables more efficient charge carrier separation. Additionally, a lower energy bandgap of the TiO<sub>2</sub> rutile phase widens the spectral selectivity of the sample, thus increasing the photosensitivity under visible light irradiation [216].

Given these points, the photocatalytic efficiency by doping crystalline TiO<sub>2</sub> or amorphous TiO<sub>2</sub> results in enhanced charge carrier separation or higher photosensitivity under visible light irradiation.

### TiO<sub>2</sub> combined photocatalysts (hybrid photocatalysts)

Even though the application of TiO<sub>2</sub> is limited due to its wide energy bandgap, it still meets all the requirements: chemical stability against the photo corrosion, a great oxidation and reduction potential, and a wide energy bandgap generating an electron with sufficient energy to split water molecules. Thus, the issue of the wide energy bandgap and how it can be solved by combining it with other semiconductors shall be discussed in detail.

Here, H. Morisaki *et al.* drew attention to the structure of a hybrid TiO<sub>2</sub> photocatalyst (as a photoanode) by covering a solar cell (*pn* junction of Si) with a TiO<sub>2</sub> thin film [217]. In their study, a single junction solar cell was covered with a TiO<sub>2</sub> thin film where the latter was deposited on a *p*-type Si layer with a final structure being TiO<sub>2</sub>/*p*-type Si/*n*-type Si/metal electrode. Such a structure would be able to widen the spectral selectivity to visible light irradiation. Here, the photons with an energy of 3.2 eV or higher would photo-generate charge carriers at the TiO<sub>2</sub> thin film, and photons with an energy of 1.2 up to 3.2 would be absorbed in the solar cell, photo-generating the charge carriers at the *pn* junction. Even though they reported that there is no potential barrier between the solar cell and TiO<sub>2</sub>, it is debatable as the study made by A. J. Nozik [218] has shown otherwise. The author extensively analyzed the charge carrier separation and transfer throughout the system in metal-semiconductor and semiconductor-semiconductor interfaces. They presented that in order to eliminate the Schottky barrier at the *n*-type and *p*-type semiconductor interface, a metal electrode as an interlayer must be formed. Therefore, it may be suggested that the Schottky barrier might have formed at the TiO<sub>2</sub> and *p*-type Si heterojunction interface. T. Bak *et al.* agrees with J. Nozik *et al.*'s study stipulating that the structure proposed by H. Morisaki *et al.* did not show the full potential because of the barrier forming between the two semiconductors [219]. Additionally, S. Avasthi *et al.* presented clear *I-V* characteristics of TiO<sub>2</sub>/*p*-type Si and TiO<sub>2</sub>/*n*-type Si heterojunctions, thus showing that a Schottky barrier forms at the former, whereas an ohmic contact was formed at the latter [220]. G. Man *et al.*'s observations further corroborate S. Avasthi *et al.*'s findings by showing the energy band alignment dependence on the dopant density of either *p*-type Si or *n*-type Si [221]. Despite their study, the first one to utilize the data gathered from the XPS to engineer an energy band alignment at the heterojunction

was M. Perego *et al.* [222]. Their study shows an energy band alignment between TiO<sub>2</sub> and Si with three different interlayers of Si<sub>3</sub>N<sub>4</sub>, Al<sub>2</sub>O<sub>3</sub> and Ti-rich SiO<sub>x</sub>. However, no study on proving whether an ohmic contact was formed was presented, thus only suggesting that an interlayer between TiO<sub>2</sub> and Si might help align the band structures. Considering their study, as well as the structure presented by H. Morisaki *et al.*, it should be noted that an interlayer must be transparent in the visible light region, and must not contribute to the light scattering, which could negatively affect the overall photocatalytic efficiency.

Besides, in their recent study, M. Koca *et al.* suggested deposition of polyphenylene (*PPh*) as an interlayer between *p*-type Si and TiO<sub>2</sub> with the objective to eliminate the Schottky barrier and enhance the transfer of charge carriers [223]. Regarding this issue, M. V. Mishin *et al.* suggested deposition of AuNP as an interlayer between TiO<sub>2</sub> and Si with the aim to improve the charge carrier transition between the two semiconductors [224]. Three different structures: TiO<sub>2</sub>/AuNP/*n*-Si; TiO<sub>2</sub>/AuNP/SiO<sub>2</sub>/*n*-Si, and TiO<sub>2</sub>/AuNP(SiO<sub>2</sub>)/*n*-Si (where SiO<sub>2</sub> was an interlayer between AuNP and *n*-Si) were analyzed, resulting in either strong or weak rectifying behavior seen from I-V characteristics. Even though the structure showed better charge carrier separation and transfer compared to TiO<sub>2</sub>/*n*-Si, it was still insufficient as an ohmic contact if it were preferable in such heterojunction. The above discussed studies have one thing in common: no deep analysis on the energy band alignment throughout the system was done. Considering the implementation of AuNP, T. Marino *et al.* proposed the idea of using TiO<sub>2</sub>/Au as a photoanode and CeO<sub>2</sub>/Au as a photocathode and vice versa [15]. They analyzed how different configurations affected the photocatalytic efficiency. The study suggests that TiO<sub>2</sub>/Au is more efficient for reduction reactions (hydrogen generation), whereas CeO<sub>2</sub>/Au is more efficient for oxidation reactions (oxygen generation). This can be attributed to the difference in the band edges of CeO<sub>2</sub>. In vacuum, *CBM* and *VBM* of CeO<sub>2</sub> are at a more negative potential than the reduction and oxidation potentials of water. It follows that the discussed material is more suitable for reduction reactions. However, in water, *CBM* and *VBM* change and are at a more positive potential with *VBM* having a higher oxidation potential than that of TiO<sub>2</sub> [225]. In this case, the *CBM* and *VBM* of TiO<sub>2</sub> do not change much when measured in vacuum and in water, which proves that it is more reliable and stable as a photocatalyst.

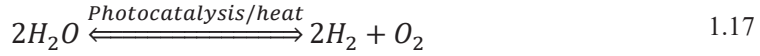
Taking in mind the *pn* junction of Si, and the charge carrier transition based on the internal electric field, a somewhat similar structure can be manufactured by combining a *p*-type semiconductor with TiO<sub>2</sub> (which is an *n*-type semiconductor). X. Deng *et al.* demonstrated that a combination of *p*-type NiO and *n*-type TiO<sub>2</sub> nanotube arrays (*NTa*) enhances the charge carrier separation based on the internal electric field [226]. This structure achieved a higher photocatalytic efficiency in degradation of 4-chlorophenol with a result of 50% degradation in ~60 min, whereas the same degradation was achieved in ~130 min by using only TiO<sub>2</sub> *NTa* as a photocatalyst. Similarly, I. Yoo *et al.* studied the structure of TiO<sub>2</sub> *NRa*/CdS/NiO<sub>x</sub>, where an interlayer of CdS improved the holes transition from TiO<sub>2</sub> to *p*-type NiO<sub>x</sub> and suppressed the charge carrier recombination [227]. According to these studies, the formation of *pn* junction does actually enhance the charge carrier separation, which

eliminates or lowers the rates of recombination and results in a higher photocatalytic efficiency.

Nevertheless, combining two semiconductors that work as a photocatalyst when employed separately might also be an option. The work by J. Jia *et al.* suggests the combination of  $\text{WO}_3$  and  $\text{TiO}_2$  as a photocatalyst which is photo-active under visible light irradiation [228]. The low energy bandgap of  $\text{WO}_3$  ( $\sim 2.65$  eV) and wide energy bandgap of  $\text{TiO}_2$  ( $\sim 3.44$  eV) enable the photogeneration of charge carriers under UV-vis light irradiation. The authors went one step further by adding a Pt NP as an interlayer between the  $\text{TiO}_2$  and  $\text{WO}_3$ , resulting in even higher photocatalytic efficiency. T. Munawar *et al.* study extend the work done by J. Jia *et al.* by adding an extra layer of  $\text{CeO}_2$  in the  $\text{TiO}_2/\text{WO}_3$  combination [13]. According to the energy band engineering of such structure, the photogenerated holes would drift from  $\text{WO}_3$  to either  $\text{TiO}_2$  or  $\text{CeO}_2$ , whereas photogenerated electrons would drift to  $\text{WO}_3$  from  $\text{TiO}_2$  and  $\text{CeO}_2$ . This way enhances the photoconversion efficiency. However, as C. W. Lai *et al.* study shows, it is important to evaluate the possible passivation of  $\text{WO}_3$  on  $\text{TiO}_2$  surface which might negatively affect the photocatalytic efficiency [229]. Such a layer might do the opposite and increase the recombination rates of the photogenerated charge carriers.

In terms of considering even more complex combinations, known as monolithic structures, in their 2018 paper, W. Cheng *et al.* reported a hybrid (monolithic) photoelectrochemical device with an efficiency of 19% in a water splitting application [230]. The monolithic structure of Rh doped  $\text{TiO}_2/\text{GaInP}/\text{GaInAs}/\text{GaAs}/\text{RuO}_x$  with interlayers of  $\text{AlInPO}_x$  and  $\text{AlInP}$  was presented as an efficient photocatalyst where  $\text{TiO}_2$  works as a cathode for hydrogen reduction reactions, and  $\text{RuO}_x$  serves as an anode for water oxidation reactions. By carefully engineering the energy band alignment throughout the system, an internal electric field was created where electrons were driven to the surface of  $\text{TiO}_2$ , and holes were driven in the opposite direction (to  $\text{RuO}_x$ ). Thus an efficient charge carrier separation was achieved with the result of efficient water splitting reactions. Another study by W. Cul *et al.* shows efficient oxidation properties of a  $\text{NiFe-MOF}/\text{TiO}_2$  structure where  $\text{TiO}_2$  nanorods ( $\text{TiO}_2$  NR) were deposited on FTO and doped by NiFe on the walls of NR [231]. Such energy band engineering enables the electron transfer in the path  $\text{NiFe-MOF} \rightarrow \text{TiO}_2 \rightarrow \text{FTO} \rightarrow \text{Pt}$  when the  $\text{NiFe-MOF}/\text{TiO}_2$  HPE and the Pt counter electrode are connected via an external power source. Meanwhile, the photogenerated holes would drift from  $\text{TiO}_2$  to  $\text{NiFe-MOF}$ , which, based on the effect between the Ni and Fe active states, accelerates water oxidation.

Many different co-doped  $\text{TiO}_2$  systems were already presented in the previous chapter. Whilst some of these suggest co-doping with another semiconductor, others promote co-doping with nanoparticles of precious metals, such as Au, Ag, or Pt [81, 119–121, 133]. There is one thing shared by most studies – a combined structure as one photocatalyst. In other words, a photocatalyst and a co-catalyst are in close proximity to one another considering the structure at the atomic level. Taking in mind the purification of wastewater, decomposition of organic compounds is a one-way reaction, thus water splitting is a two-way reaction. In other words, the photogenerated oxygen ( $\text{O}_2$ ) and hydrogen ( $\text{H}_2$ ) can recombine by emitting heat as a by-product:



Therefore, during photocatalytic water-splitting reactions, it is important to ensure the separation of photogenerated  $O_2$  and  $H_2$ . In reality, it is convenient to measure and capture photogenerated hydrogen when the experiment is done in laboratory conditions. However, the design should be applicable for commercial use (at a larger scale of photocatalyst utilization). Thus, a photocatalyst (photoanode) and a co-catalyst (photocathode or cathode) should be separated. At some point, this might seem like a debatable idea, and it brings a challenge for charge carrier separation and the transfer between the (photo)anode and (photo)cathode (if required), but this gives more room for the engineering of a more efficient photocatalyst system. In other words, the (photo)anode could be engineered in such a way that it would provide only an oxidation reaction by splitting charge carriers, with the holes drifting to the surface of the (photo)anode. Whereas, the (photo)cathode might get engineered in such a way that it provides only reduction reactions by splitting charge carriers with electrons drifting to the surface of the (photo)cathode.

Taking in mind a photocatalyst system that contains a (photo)anode and a (photo)cathode as separate (photo)electrodes, it is worth noting that they should be connected through the external grid, which might result in the loss of the current. Therefore, at some point, monolithic structures where the (photo)anode and the (photo)cathode are in contact might be an alternative to that. However, the issues of the commercial use of such complex structures, their stability, and the cost of producing larger-scale photocatalysts are still in question. Moreover, the use of Pt as a counter electrode or Pt as a dopant with the objective to enhance the photocatalytic efficiency does not solve the problem of the cost. Thus, search for a cost-effective Pt-free counter electrode is fundamentally important.

## 1.5. Literature Review Summary

Photocatalysis is a simple yet complex type of catalysis. The efficiency of photocatalysis depends on the four processes occurring in parallel to each other: photogeneration, recombination, trapping of the photogenerated charge carriers, and redox reactions at the interface of the photocatalyst and the gas or the aqueous solution. The first parameter which describes the efficiency of a photocatalyst is the energy bandgap. In other words, the value of the energy bandgap is equal to the photon energy which is required to excite electrons from *VBM* to *CBM* [5]. During the excitation, the photogenerated charge carriers might be caught by the defect state inside the energy bandgap. This way, according to the position of the defect site in the energy bandgap, it can act as a trapping center or a recombination center (trap-assisted recombination) [29, 30]. If taking the recombination process from the equation, redox reactions take place on the surface of the photocatalyst [52]. In this context, electrons (as donors) and holes (as acceptors) participate in the reduction and oxidation reactions, respectively [8]. Therefore, a photon-activated chemical reaction occurs in the system called photocatalysis. The latter can be used in various applications, but



the most important ones nowadays are the ones which participate in environmental remediation: water and air purification, solar-to-energy conversion, or anti-bacterial coatings. Even though the applications are different, the chemical process behind them is similar – photodegradation of organic or inorganic compounds [58]. However, considering the solar-to-energy conversion, there are some limitations and issues to deal with: energy loss, cost-efficiency, and scalability. Whereas for water and air purification, *UV* irradiation can be used (in terms of the technological capability), for solar-to-energy conversion, visible-light-driven photocatalysis would be preferable. Therefore, another issue arises – the efficient photocatalyst with an energy bandgap of  $\sim 1.8$  to  $3.1$  eV which would manifest photoactivity under visible light irradiation [93, 94]. In addition, there are other requirements for an efficient photocatalyst: thermodynamical stability against photo-corrosion, redox potentials at the required energy level (based on the application), non-toxicity, and eco-friendliness [57, 58]. Thus, several semiconductors can be considered as photocatalysts:  $\text{TiO}_2$ ,  $\text{ZrO}_2$ ,  $\text{WO}_3$ ,  $\text{NbO}_2$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{SnO}_2$ ,  $\text{In}_2\text{O}_3$ ,  $\text{Ga}_2\text{O}_3$ ,  $\text{GeO}_2$  [9, 10]. However, most of them are denoted by a high energy bandgap or low thermodynamical stability [97, 98]. Thus, it is suggested that the application of a combined semiconductor (a monolithic structure) would be a better approach for solar-to-energy conversion. A number of photocatalysts listed in this chapter, their modifications, and combinations (**Table 1.1**) involve a range of different approaches towards manufacturing an efficient stand-alone photocatalyst or their combined systems. To conclude the results presented in **Table 1.1**, it is difficult to compare the results as different light sources, sacrificial agents, and photocatalysts with counter electrodes were used. The most important part here is the sacrificial agent as it increases the hydrogen concentration in the aqueous solution, which directly results in higher hydrogen generation if it were compared to hydrogen generation from water. Even though it is a sensible approach to manufacture an efficient and sustainable photocatalyst, thus, the best comparison between the introduced photocatalysts would be done by analyzing the efficiency under the same conditions. On the other hand, it was observed that one of the presently mentioned semiconductors stands out in many cases. Specifically,  $\text{TiO}_2$  is used either as the main photocatalyst for oxidation or reduction reactions [15], or as a protective layer for other photocatalysts [19]. In terms of a short review of  $\text{TiO}_2$  properties, it stands out as being thermodynamically stable against the photo-corrosion of both electrons and holes, and its redox potentials are at the preferable energy values: the reduction potential is more negative than that of  $\text{H}^+/\text{H}_2$ , and its oxidation potential is more positive than that of  $\text{H}_2\text{O}/\text{O}_2$  [20]. Granted that, the search for cost-efficient photocatalysts is still ongoing, and the field is open for a discussion.

Therefore, in accordance with the previously outlined challenges of  $\text{TiO}_2$  application for photocatalysis, such as the wide energy bandgap followed by photosensitivity only under *UV* irradiation and fast charge carrier recombination, this research focuses on various approaches towards enhancing the photocatalytic efficiency, and thus it overcomes the presently mentioned limitations. In this case, anatase phase  $\text{TiO}_2$  was chosen as an efficient photocatalyst over the rutile phase  $\text{TiO}_2$ . This was proven by numerous research based on the energy band structure of rutile and anatase phase  $\text{TiO}_2$  [95, 177, 204, 232]. Therefore, modifications of  $\text{TiO}_2$  thin



films by doping it in bulk or on the surface which are intended either to reduce the recombination rates, or to increase the hot electron concentration, were carried out. Moreover, a different approach was done by combining two semiconductors ( $\text{TiO}_2$  and Si) to enhance the photosensitivity under visible light irradiation and to reduce recombination rates. Such a combination was done by manufacturing a hybrid photoelectrode which, based on the internal electric field, should decrease the recombination rates at the  $\text{TiO}_2$  layer, and enhance the photosensitivity under visible light irradiation.

## 2. Experimental Technique and Methodology

Even though  $\text{TiO}_2$  thin films can be deposited by various types of techniques (as mentioned above in Section 1.4), only two of them – *RMS* and sol-gel spin-coating techniques – were chosen for this research. The latter two are different deposition techniques which enable comparative analysis of the properties of the deposited  $\text{TiO}_2$  thin films. Furthermore, the *RMS* technique allows the deposition of amorphous or crystalline phase  $\text{TiO}_2$  thin films on heat-sensitive or patterned substrates. Moreover, it enables an easy control of the process parameters, which results in the desirable dopant concentration or the desirable thin film thickness compared to the chemical deposition techniques. Whilst *RMS* stands out as a viable deposition technique, sol-gel spin-coating enables the deposition of highly porous  $\text{TiO}_2$  thin films compared to *RMS*. Thus, a comparison of the two deposition techniques and the outcomes of the  $\text{TiO}_2$  properties has been made together with the photocatalytic efficiency evaluation. Considering the properties of  $\text{TiO}_2$  thin films, such analytical methods as *XRD*, *FE-SEM*, *XPS* and some others were used for the morphological, energy band and crystalline structure analysis, etc. Despite all that, the translation of *XPS* data proved as a viable technique for the energy band evaluation and the energy band alignment between the two semiconductors.

### 2.1. Reactive Magnetron Sputtering

#### *TiO<sub>2</sub> thin films*

The reactive magnetron sputtering technique is a viable method for depositing thin films on any substrate or surface. Additionally, this method allows the deposition on the patterned surface without damaging the pattern. In this study, Kurt J. Lesker *PVD-75* system (**Fig. 2.1**) was used for the deposition of  $\text{TiO}_2$  thin films. The vacuum system contains a 2-stage rotary vane fore-vacuum pump and a turbomolecular pump allowing to achieve the initial pressure of up to  $10^{-5}$  Pa. Inside the chamber, four separate magnetrons are positioned, thus enabling parallel deposition of different materials. A substrate holder is fixated above the magnetrons, thereby enabling the sputtering-up deposition. Moreover, the ability to rotate the substrate holder allows the deposition of evenly distributed thin films. Nevertheless, the heating elements (which are above the substrate holder) allow the deposition at temperatures up to 300 °C.

**Table 2.1.** Specification sheet of *PVD-75* system [233]

Vacuum system	2-stage: rotary pump and turbomolecular pump
Initial pressure	Up to $10^{-5}$ Pa
Deposition system	4 magnetrons controlled by the shutter
Power source	<i>DC</i> and <i>RF</i>
Gas flow	2 channels
Substrate fixation	Platen with a size up to 305 mm
Rotation speed	Up to 20 rpm
Heating	Up to 300 °C



**Fig. 2.1.** Physical vapor deposition system *Kurt J. Lesker PVD-75*

The deposition parameters are given in **Table 2.2**. During plasma activation and thin films deposition, argon (Ar) and oxygen (O<sub>2</sub>) gases (99.999% purity) were used. TiO<sub>2</sub> thin films were deposited by using two titanium targets (99.995% purity) with a diameter of 50.8 mm and thickness of 6.35 mm. The vacuum chamber was filled with the Ar and O<sub>2</sub> gases with a ratio of 8/2, accordingly, reaching  $6.6 \cdot 10^{-1}$  Pa. Additionally, the substrates were rotated at 8 *RPM* speed to achieve better uniformity of the deposited thin films. The deposition was done without additional heating, thus the deposited TiO<sub>2</sub> thin films were amorphous. The thickness of the deposited TiO<sub>2</sub> thin films was ~200 nm. To obtain a crystalline structure, either anatase or rutile, the samples were annealed under atmospheric pressure at high temperatures (500–900

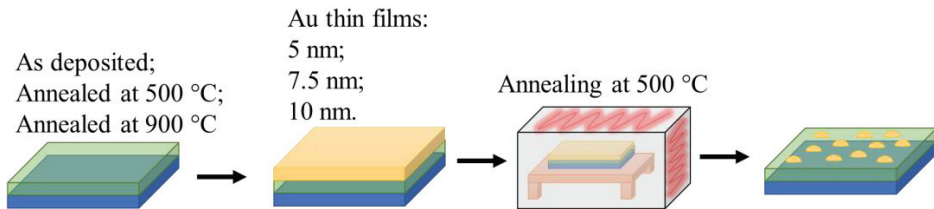
°C). The annealing process consisted of three steps<sup>3</sup>: temperature increase (4 °C/min), soaking (10 h), and cooling (2.5 °C).

**Table 2.2.** Deposition parameters of TiO<sub>2</sub> thin films<sup>4</sup>

Vacuum system	2-stage: rotary pump and the turbomolecular pump
Initial pressure	Up to 10 <sup>-3</sup> Pa
Working pressure	6.6 · 10 <sup>-1</sup> Pa
Deposition system	2 magnetrons with titanium targets
Power source	DC (250 W)
Gas flow	2 channels (Ar and O <sub>2</sub> at ratio of 8:2)
Substrate fixation	Platen with a size up to 305 mm
Rotation speed	8 RPM
Heating	Without additional heating
Substrate <sup>5</sup>	Glass, quartz glass, alloy (304L), n-Si (100)

### Metallic nanoparticles

The formation of AuNP on the surface of TiO<sub>2</sub> thin films was carried out in a similar way (Fig. 2.2). At first, a high vacuum of 4·10<sup>-3</sup> Pa was reached, and the chamber was filled with high purity (99.999%) Ar gas, which yielded 2·10<sup>-1</sup> Pa pressure. Au thin films were deposited on the surface of TiO<sub>2</sub> thin films by using a high purity (99.995%) Au cathode with a diameter of 50.8 mm. A growth rate of 9.66 nm/min was achieved during Au deposition by using a DC power supply at 50 W sputtering power. Thin films of 5; 7.5 and 10 nm thicknesses were formed on the surface of TiO<sub>2</sub>. The solid-state dewetting (SSD) process was used for the formation of Au NP on the surface of the TiO<sub>2</sub> thin films. Therefore, the TiO<sub>2</sub>/Au samples were annealed at 500 °C temperature in vacuum. The SSD of Au was done on as-deposited TiO<sub>2</sub> annealed at 500 °C and 900 °C temperature to analyze the NP formation based on the TiO<sub>2</sub> surface morphology and crystallinity.



**Fig. 2.2.** Formation of AuNP on TiO<sub>2</sub> thin film surface by SSD method

<sup>3</sup> The annealing process was the same for all the TiO<sub>2</sub> thin films deposited by RMS, unless specified otherwise in the discussion about the specific results.

<sup>4</sup> The deposition parameters were the same for all the depositions done by RMS unless indicated otherwise in the discussion about the specific results.

<sup>5</sup> The substrate material used for the deposition of TiO<sub>2</sub> thin films differs based on the further analysis.

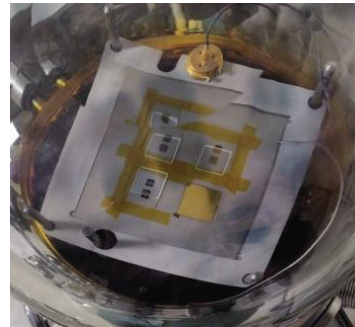
## 2.2. Thermal Evaporation System

A thermal evaporation system (**Fig. 2.3**) was used for coplanar gold electrode and aluminum electrode deposition on the samples. The required masks (**Fig. 2.20**) were set on the sample holder and the sample on top of it. A tungsten tub was used for the evaporation of a gold wire (~1.5 cm length gold wire for 70–80 nm thickness of a gold coplanar electrode). At first, the chamber was pumped up to 5–10 Pa by using a rotary pump, and, afterwards, by using a diffusion pump, the vacuum level of  $10^{-3}$  Pa was reached. After that, by using a power supply, the current which flows through the tungsten tub was increased to 50–55 A (with a step of 0.1 A/s) to evaporate the gold wire. The same process was used for the deposition of Al electrodes on the back of the samples.

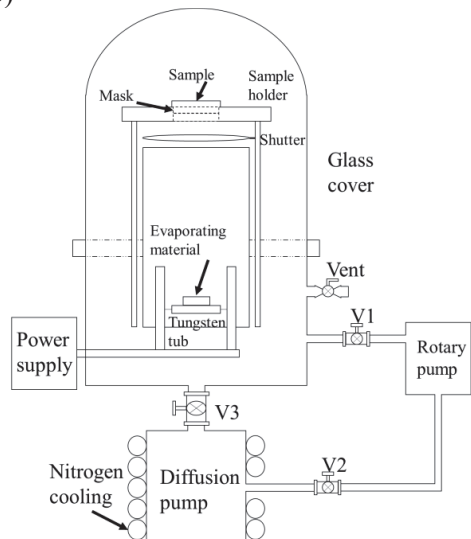
a)



b)



c)



**Fig. 2.3.** Thermal evaporation system (a), sample holder with masks inside the chamber (b) and system schematics (c) where  $V1$  – a valve between the chamber and the rotary pump,  $V2$  – a valve between the rotary pump and the diffusion pump,  $V3$  – a valve between the diffusion pump and the chamber

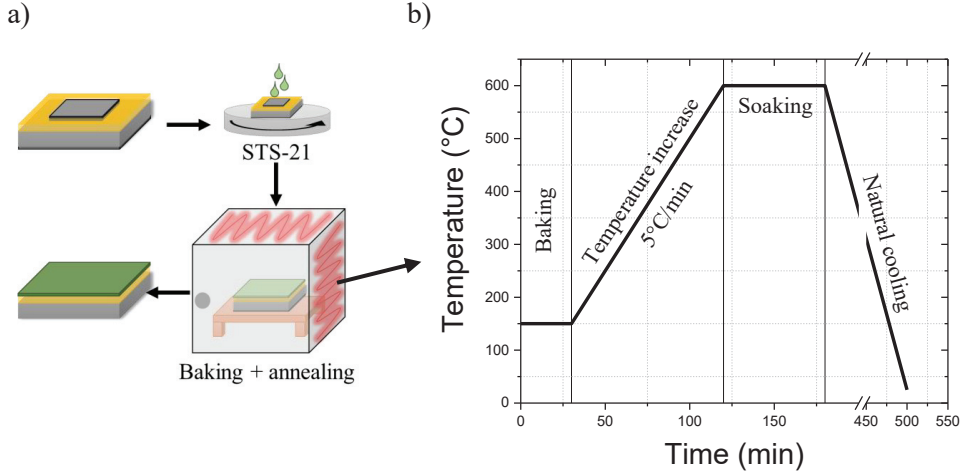
### 2.3. Sol-gel Spin-coating

Sol-gel spin-coating enables the deposition of porous TiO<sub>2</sub> thin films from the solution. For this study, a photocatalytic hydrosol *STS-21* was bought from *Ishihara Sangyo Co., Ltd.* and spin-coated on Si substrates. According to the specification sheet (see data in **Table 2.3**), the photocatalytic hydrosol *STS-21* contains a TiO<sub>2</sub> anatase phase with a particle size of 20 nm and 40 wt.% concentration in the solution (pH 8.5).

**Table 2.3.** Commercially available photocatalytic hydrosols from STS series [234]

Hydrosol grade	TiO <sub>2</sub> concentration, wt.%	Particle size, nm	pH
STS-01	30	7	1.5
STS-02	30	7	1.5
<b>STS-21</b>	<b>40</b>	<b>20</b>	<b>8.5</b>

TiO<sub>2</sub> thin films were deposited on the Si substrate by the following parameters to achieve a thickness of around 650 to 750 nm: 2000 rotations per minute (rpm) speed, with a 10 s slope and the total spinning time of 60 s. After the deposition, the samples were moved to a furnace where the baking process at 150 °C for 30 min was set to minimize the stress induced by the further annealing process which was done under 600 °C for 60 min (**Fig. 2.4. (b)**). The difference in thickness is caused by the spin-coating deposition kinetics, which resulted in a slightly uneven distribution of the sol-gel on the surface of the Si substrate (the sides of the substrate were slightly thicker than the centre). However, this does not affect the final structure, as only the center part of the deposited TiO<sub>2</sub> was used as the active area.

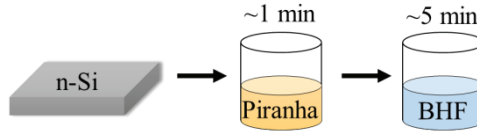


**Fig. 2.4.** TiO<sub>2</sub> deposition by spin-coating technique from STS-21 photocatalytic hydrosol: a) TiO<sub>2</sub> thin films deposition procedure, b) annealing program

### 2.4. Si Photocell Manufacturing Description

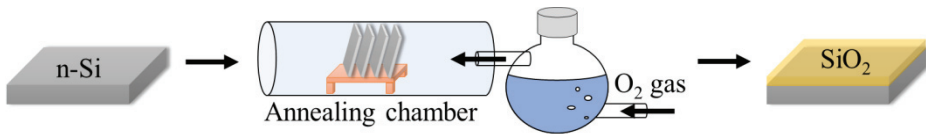
The manufacturing of the Si photocell, which shall be used as a substrate for TiO<sub>2</sub> deposition and metal cluster deposition, was carefully done by adhering to the following procedure:

1. At first, in order to maintain clean preparation of the Si photocells, *n*-type Si substrates ( $N_D = 10^{17-18} \text{ cm}^{-3}$ ) were cleaned by immersing them in an acidic solution (*Piranha* –  $\text{H}_2\text{SO}_4:\text{H}_2\text{O}_2$ , ratio 4 to 1, respectively). The native oxide layer which forms on the surface of Si under atmospheric pressure was removed by using buffered hydrofluoric acid (*BHF*).



**Fig. 2.5.** Cleaning and oxide etching procedure of Si substrate

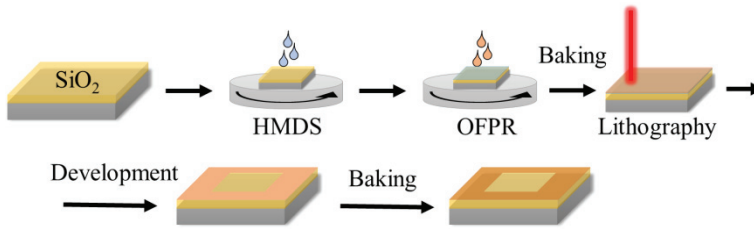
2. After that, in order to minimize the manufacturing errors which may have been caused by the boron and phosphorous diffusion process, the surface area around the active center must be covered in  $\text{SiO}_2$ . Therefore, a controlled wet oxidation process was performed for cleaned Si substrates. The samples were annealed at  $1000 \text{ }^\circ\text{C}$  for 90 min, which resulted in  $\sim 500 \text{ nm}$   $\text{SiO}_2$  layer on the surface.



**Fig. 2.6.** Wet oxidation process to form a  $\text{SiO}_2$  layer on Si substrate

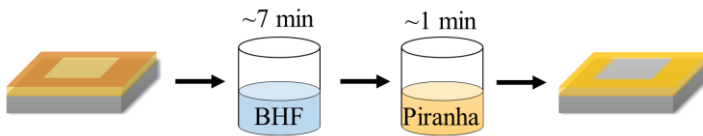
3. In order to keep  $\text{SiO}_2$  around the active centre, the lithography process by using a *DWL2000 – OAF* lithography system (*Heidelberg Instruments*, Germany) was performed. At first, hexamethyldisilane (*HMDS*) was spin-coated on the samples to increase the adhesion for the deposition of the photoresist (*OFPR*). The hardening of *OFPR* was done by baking the samples at  $110 \text{ }^\circ\text{C}$  for 90 s. Then, the lithography procedure was operated by using a prepared mask ( $10 \times 10 \text{ mm}$  square). The development of the photoresist was done by immersing the samples into the *NMD* solution and distilled water for 1 min each (*NMD* for 1 minute  $\rightarrow$   $\text{H}_2\text{O}$  for 1 minute  $\rightarrow$   $\text{H}_2\text{O}$  for 1 minute). To finish the procedure, the samples were baked at  $135 \text{ }^\circ\text{C}$  for 5 minutes.





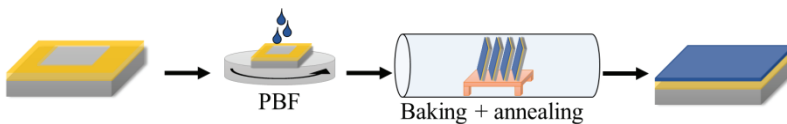
**Fig. 2.7.** Photoresist coating and lithography process on Si substrate

- As a result of the lithography process, the area around the active center was covered in photoresist which acts as a shield during oxide etching. Therefore, the prepared samples were immersed in BHF for approximately 7 minutes to etch  $\text{SiO}_2$  from the surface ( $\text{SiO}_2$  etch rate  $\sim 700 \text{ \AA}/\text{min}$ ). After that, *piranha* cleaning was done to remove the photoresist.



**Fig. 2.8.** Oxide etching and cleaning procedure after lithography process

- Boron diffusion was done to form a *p*-type Si layer on the surface of the *n*-type Si substrate. To do this, a poly-boron film (*PBF*) was deposited on the surface by the spin-coating technique, and it was set into the annealing chamber where the baking process had been done beforehand at  $600 \text{ }^\circ\text{C}$  for 30 minutes, and annealing was performed at  $1000 \text{ }^\circ\text{C}$  for 60 minutes to achieve the dopant density of  $N_A = 10^{20-21} \text{ cm}^{-3}$ . The thickness of the doped  $\text{p}^+$ -Si layer was approximately 110 nm.



**Fig. 2.9.** Boron diffusion process

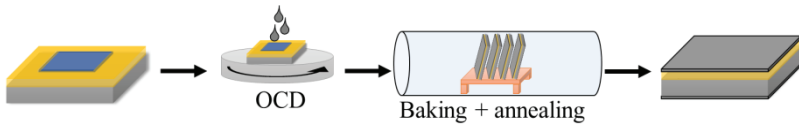
- After boron diffusion, a lithography process (similar to the one described in step 3) and a cleaning/oxide etching process (similar to the one defined in step 4) were done.



**Fig. 2.10.** Lithography process and cleaning/oxide etching procedure

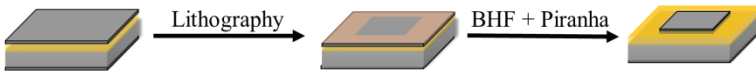


7. Phosphorous diffusion was done to form a highly doped  $n$ -type Si layer on the top of the previously formed  $p$ -type Si and on the back of an  $n$ -type Si substrate. To perform this,  $OCD$  was spin-coated on the surface and on the back, while baking the samples at  $150\text{ }^{\circ}\text{C}$  for 3 min in between. Then, samples were transferred to the annealing chamber and baked at  $600\text{ }^{\circ}\text{C}$  for 30 min, followed by annealing at  $900\text{ }^{\circ}\text{C}$  for 10 min. The achieved dopant density in this step was  $N_D = 10^{20-21}\text{ cm}^{-3}$  with an  $n$ -type Si layer thickness of approximately 50 nm.



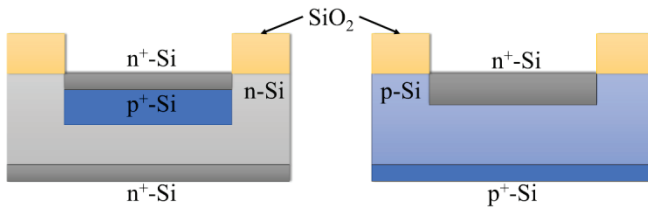
**Fig. 2.11.** Phosphorous diffusion process

8. The lithography and cleaning processes were done similarly to the strategy described in step 3 and step 4.



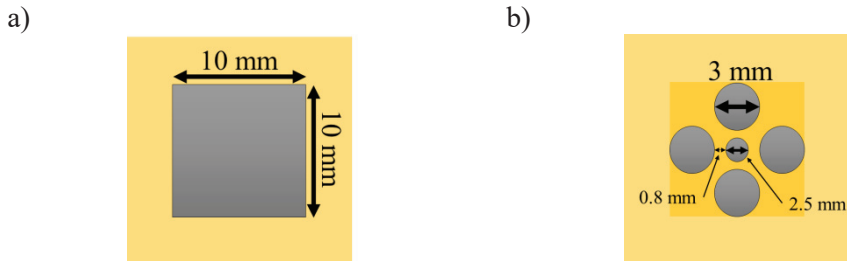
**Fig. 2.12.** Lithography process and cleaning/oxide etching procedure

The manufacturing of the Si photocell for a Pt-free photoelectrode was done similarly, but, instead of using  $n^+$ -Si as a substrate,  $p^+$ -Si with a dopant density of  $N_A = 10^{17-18}\text{ cm}^{-3}$  was chosen for the production. Phosphorous diffusion (similar to the one described in step 7) was done on the surface, and boron diffusion (similar to the one defined in step 5) was done on the back of the substrate. The final structure of the Si photocell for the photoanode and the Si photocell for the photocathode is shown in **Fig. 2.13**.



**Fig. 2.13.** Graphical representation of Si photocell cross-section for photoanode (left) and photocathode (right).  $n$ -Si –  $N_D = 10^{17-18}\text{ cm}^{-3}$ ,  $n^+$ -Si –  $N_D = 10^{20-21}\text{ cm}^{-3}$ ,  $p$ -Si –  $N_A = 10^{17-18}\text{ cm}^{-3}$ ,  $p^+$ -Si –  $N_A = 10^{20-21}\text{ cm}^{-3}$

In order to analyze the I-V characteristics of the prepared Si photocell under light irradiation, a different mask was used during the lithography process.



**Fig. 2.14.** Masks used during lithography process: a) for TiO<sub>2</sub> HPE system, b) for Si photocell analysis

## 2.5. Field Emission Scanning Electron Microscopy (*FE-SEM*)

The surface morphology and the cross-section of TiO<sub>2</sub> thin films were analyzed with a field emission electron microscope (*FE-SEM*, JEOL, Model: *JSM-7600F*) shown in **Fig. 2.15**. *FE-SEM* enables the analysis of the surface area and the cross-section of TiO<sub>2</sub> thin films at a resolution up to 1 nm and magnification up to 10<sup>6</sup> times (thus yielding an image size of 120 x 90 mm). *FE-SEM* is widely used in a range of scientific studies aiming to investigate and analyze nanoscale objects. Compared to the conventional *SEM*, *FE-SEM* enables to capture less electrostatically distorted, higher-quality images. A remarkable difference between *FE-SEM* and *SEM* is the electron generation system. *FE-SEM* uses a field emission gun which provides highly focused electron beams at a wide energy range and enables the analysis to be carried out at low potentials. Thus, the minimizing of the charging effect on dielectric materials is achieved; this also reduces the damage to the surface of a sensitive sample.



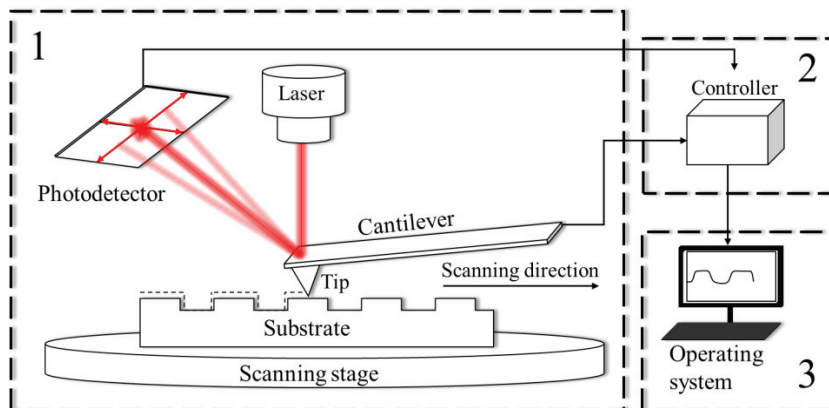
SEI resolution	1 nm (15 kV) 1.5 nm (1 kV)
Magnification	25 up to 10 <sup>6</sup>
Accelerating voltage	0.1 to 30 kV
Probe current	1 pA to 200 nA
Digital image size	1280x960 2560x1920 5120x3840

**Fig. 2.15.** *FE-SEM* JSM-7600F system [235]

The surface area was scanned at an acceleration voltage of 5 kV and magnification of 10 to 20 · 10<sup>3</sup>. The images captured by using *FE-SEM* were analyzed with the *ImageJ* program to investigate the porosity, thickness, and the surface area. The porosity evaluation by the *ImageJ* program is preliminary as only the surface area is analyzed. The analysis is done by applying the thresholding procedure for *FE-SEM* images and carefully assigning the areas of pores and grains. The thickness was analyzed from the cross-sectional images based on the *FE-SEM* scanning parameters.

## 2.6. Atomic Force Microscopy (AFM)

Atomic force microscopy (Multifunction Probe Station *AFM5000 II* (Hitachi High-Tech Co.) and *AFM NanoWizard<sup>®</sup> 3* (JPK Instruments)) were used for the analysis of the TiO<sub>2</sub> surface. This equipment was used for various types of analysis: Au clusters formation on the TiO<sub>2</sub> surface and TiO<sub>2</sub> deposited on the patterned surface. In general, *AFM* consists of three interconnected components: scanning equipment (1), a controller (2), and the operating system (3) (**Fig. 2.16**). In principle, the *AFM* operation is based on the tip-sample interaction force. The cantilever bends according to the surface area of the sample, and the bending is detected by using a laser diode and a photodetector. Primarily, there are two groups of operating modes: the contact and the dynamic (tapping) mode. In the contact mode, a tip is pressed onto the surface, therefore sweeping along the surface. On the other hand, in the dynamic mode, a cantilever vibrates, therefore tapping the surface as it moves along the line. Generally, the mode selection depends on the mechanical properties of the analyte: for hard solids, the contact mode is typically the optimal choice, however, for soft solids or organic compounds, the dynamic mode may be a better alternative. The following parameters were measured: surface roughness ( $R_q$ ), average roughness ( $R_a$ ) maximum height of peaks ( $h_{max}$ ), average height ( $h_{mean}$ ), skewness ( $R_{sk}$  shows whether the material is porous ( $R_{sk} < 0$ ) or grainy ( $R_{sk} > 0$ )). In addition, the nominal area, the full area, and the ratio nominal/full were calculated for patterned structures.



**Fig. 2.16.** Principal scheme of atomic force microscope. 1 – scanning equipment, 2 – control unit, 3 – computer with operating software

## 2.7. X-ray Diffraction (XRD)

X-ray diffractometry (*XRD*, *Rigaku RINT Ultima-III XR*) was used for the analysis of TiO<sub>2</sub> crystallography (**Fig. 2.17**). This equipment enables us to investigate the crystallite size and the degree of crystallization, density, roughness, structure refinement, multilayer thicknesses, depth-controlled or normal geometry phase identification, and to perform quantitative analysis. Along with the standard Bragg geometries, the *XRD* system enables us to do thin film analysis as well as grazing incidence diffraction or pole figure analysis, Rietveld analysis, the determination of

residual tensions, reflective and transmissive small-angle X-ray scattering, and reciprocal space mapping. The maximum rated output for this X-ray generator is 3 kW with a rated tube voltage and current from 20 to 60 kV and from 2 to 60 mA, accordingly. The goniometer radius is 285 mm, which allows measuring  $2\theta$  within a range of  $-3^\circ$  to  $162^\circ$  with a minimum step size of  $0.0001^\circ$ . Scanning can be done by coupling  $\theta_s$  and  $\theta_d$ , or by switching to independent  $\theta_s$ ,  $\theta_d$  scanning [236, 237].



**Fig. 2.17.** X-ray diffraction (XRD, Rigaku RINT Ultima-III XR)

The  $\text{TiO}_2$  thin film analysis was done at  $2\theta$  angle within the range of  $20^\circ$  to  $60^\circ$  by using  $\text{Cu K}\alpha$  radiation of  $1.541836 \text{ \AA}$ , at  $2^\circ/\text{min}$  speed and a  $0.02^\circ$  step. The selected scan range is optimal for the analysis of the  $\text{TiO}_2$  crystal structure as it shows the main peaks for the  $\text{TiO}_2$  anatase phase. The gathered data was analyzed by using the *Match!3* crystallographic analysis software using the *COD20210614* database. The crystallite size was evaluated from Scherrer's equation [238–240]:

$$D = \frac{K\lambda}{\beta \cos \theta}; \quad 2.1$$

Here,  $D$  is the crystallite size,  $K$  is Scherrer constant,  $\lambda$  is the wavelength of the X-ray beam,  $\beta$  is the full width at half maximum (*FWHM*), and  $\theta$  is the Bragg's scattering angle.

## 2.8. X-ray Photoelectron Spectroscopy (XPS)

The electronic structure of the deposited  $\text{TiO}_2$  thin films was analyzed by X-ray photoelectron spectroscopy (XPS, Shimadzu, ESCA-3400). The XPS spectra give valuable information about the chemical and electronic structure of  $\text{TiO}_2$  thin films. The gathered data was fitted and analyzed via *MultiPak* data reduction software for

*XPS*. The spectra were calibrated according to the standard value of carbon C 1s peak (according to ASTM and ISO Standards [241, 242], which is at 284.8 eV). Ion sputtering was used in the case of the Si substrate analysis to decrease the amount of the native SiO<sub>2</sub> on the surface which forms in a matter of minutes after the cleaning procedure and could potentially affect the results. The valence band maximum (*VBM*) and the concentration of oxygen vacancies (*O<sub>V</sub>*) were evaluated by translating the *XPS* data. The valence band offset (*VBO*), the conduction band minimum (*CBM*), and the conduction band offset (*CBO*) were calculated by using the following equations [108, 243, 244]:

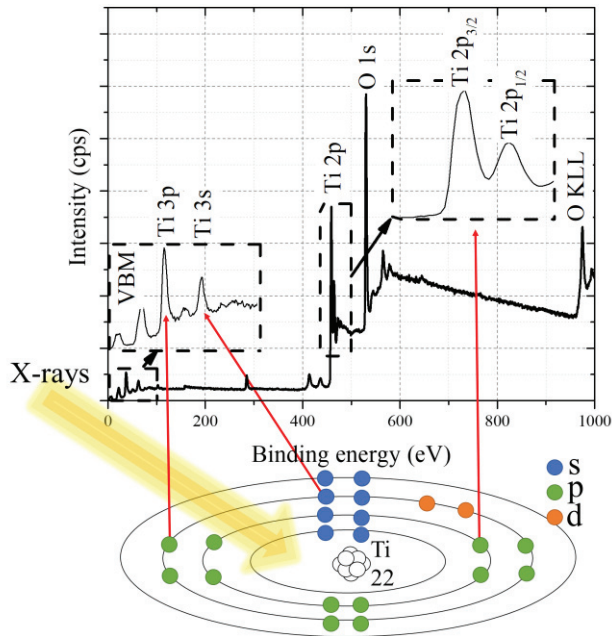
$$VBO_{A/B} = (E_{CL(A)} - E_{CL(B)})_{A/B} - [(E_{CL} - E_{VBM})_A - (E_{CL} - E_{VBM})_B]; \quad 2.2$$

Here, *A* and *B* stand for two semiconductors whose heterojunction is being analyzed, *E<sub>CL</sub>* is the binding energy of the core-level peak of material *A* or *B*. Therefore, *CBO* between materials *A* and *B* can be determined as follows:

$$CBO_{A/B} = E_g(A) - VBO_{A/B} - E_g(B); \quad 2.3$$

Here, *E<sub>g</sub>* is the energy bandgap of *A* or *B* material.

These calculations enable us to investigate the conduction band discontinuity between the two semiconductors at their heterojunction.



**Fig. 2.18.** Principal scheme of *XPS* measurement and *XPS* spectra of TiO<sub>2</sub> anatase phase with *VBM* and main TiO<sub>2</sub> peaks (Ti 2p)

**Fig. 2.18** shows the core level peaks of TiO<sub>2</sub>: Ti 2p<sub>3/2</sub> at 458.9 eV (ref. 458.59 eV) and Ti 2p<sub>1/2</sub> at 464.6 eV (ref. 464.31 eV) [245], together with O 1s at 530 eV.

$VBM$  was measured at a high resolution (0.01 eV) for more accurate evaluation and further calculation of  $VBO$  and  $CBO$ .

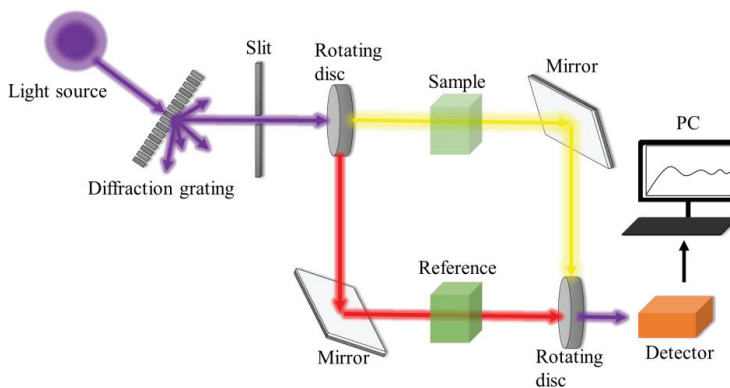
## 2.9. UV-VIS Spectroscopy

The UV-vis spectrophotometer *JASCO V-630* was used for the measurement of the optical properties of  $TiO_2$  thin films. The main hardware specifications are listed in **Table 2.4**:

**Table 2.4.** Technical sheet of spectrophotometer *JASCO V-630* [246]

Light source	Deuterium lamp: 190–350 nm Halogen lamp: 330–1100 nm
Detector	Silicon photodiode (S1337)
Wavelength range	190–1100 nm
Wavelength accuracy	$\pm 0.2$ nm
Wavelength repeatability	$\pm 0.1$ nm
Scanning speed	10–8000 nm/min
Spectral bandwidth	1.5 nm (fixed)

The optical system of the spectrophotometer *JASCO V-63* is shown in **Fig. 2.19**. The light source, which consists of two lamps (a deuterium lamp (190–350 nm) and a halogen lamp (330–1100 nm)), is directed into the diffraction grating (monochromator). The diffraction grating disperses light into its component wavelengths. By controlling the angle between the light source and the monochromator, different parts of the light spectrum are then directed through the exit slit to the rotating disc. Here, the light splits into two beams: one flows through the sample (the sample beam), and the second one flows through the reference sample (the reference beam). With a help of mirrors, those beams are again directed to the rotating disc and to the detector where the intensity of the reference beam is considered as 100%, and the intensity of the sample beam is equated to the reference beam. For the final part, the gathered data is processed by specialized software in a computer (*PC*).



**Fig. 2.19.** Schematic representation of spectrophotometer *JASCO V-630*

The optical transmission was measured for TiO<sub>2</sub> deposited on a glass or quartz glass substrate at wavelengths between 300 and 800 nm. Such a range was chosen according to the possible application of TiO<sub>2</sub> thin films: the photogeneration of hydrogen under UV-Vis light irradiation. Thus, measurements below 300 or above 800 nm wavelength do not give valuable information. According to the gathered data, the thickness of thin films ( $d$ ) can be calculated by the following equation [247, 248]:

$$d = \frac{\lambda_1 \lambda_2}{2(\lambda_1 n_2 - \lambda_2 n_1)}; \quad 2.4$$

Here,  $\lambda_{1,2}$  is the wavelength at the first and second maxima, and  $n_{1,2}$  is the refractive index which is calculated by the following equation:

$$n = \sqrt{N + \sqrt{N^2 - n_s^2}}; \quad 2.5$$

Here,  $n_s$  is the refractive index of the substrate, and  $N$  is the complex refractive index which can be determined as follows:

$$N = 2n_s \left( \frac{T_M - T_m}{T_M T_m} \right) + \frac{n_s^2 + 1}{2}; \quad 2.6$$

Here,  $T_M$  and  $T_m$  are the upper and lower tangent envelopes of the transmission spectra. The optical bandgap ( $E_g$ ) evaluation was done by using the Tauc relation [249, 250]:

$$\alpha h\nu^{\frac{1}{n}} = \beta(h\nu - E_g); \quad 2.7$$

Here,  $\alpha$  is the absorption coefficient,  $h\nu$  is the photon energy,  $n$  is a power factor of the transition mode which depends on the nature of the material, which, for the TiO<sub>2</sub> anatase phase, is 2<sup>6</sup>,  $\beta$  is the band tailing parameter,  $E_g$  is the optical energy bandgap.

Therefore, from the plot of  $(\alpha h\nu)^{(1/n)}$  versus  $(h\nu)$ , the  $E_g$  can be evaluated by extrapolating the straight line in a specific region, which intercepts the  $h\nu$ -axis and gives the value of  $E_g$ . Moreover, by assuming that the refractive index of non-porous anatase phase TiO<sub>2</sub> is  $n_d = 2.52$  [251], the porosity of the deposited TiO<sub>2</sub> thin films can be calculated with the following equation:

$$P = \left( 1 - \frac{n^2 - 1}{n_d^2 - 1} \right) \cdot 100(\%); \quad 2.8$$

## 2.10. Dark Current Measurements

A position of the Fermi energy level in a semiconductor can be found by experimental measurements of the dark current dependence on the reciprocal

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<sup>6</sup> For direct bandgap – 1/2; indirect – 2; direct with the forbidden transition – 3/2, indirect – 3

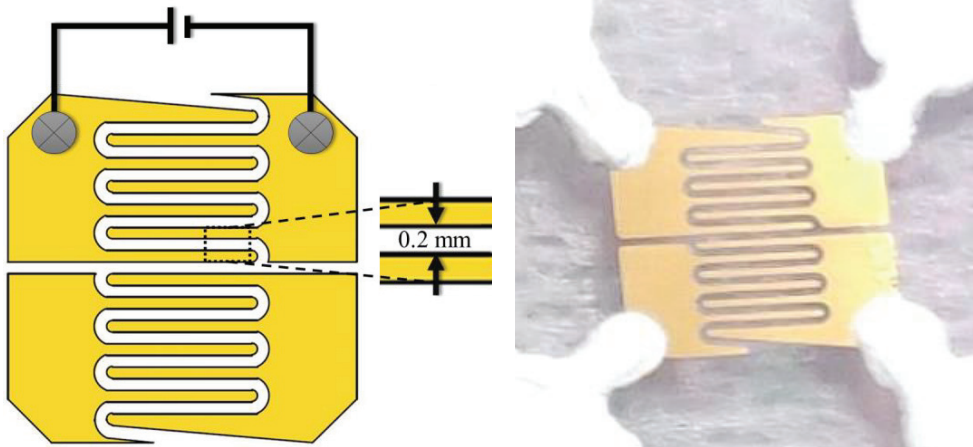


temperature. This way, the activation energy ( $E_A$ ) which, in general, is the distance between the Fermi energy level and *CBM* can be calculated by the Arrhenius equation [238, 252]:

$$\Delta E = \frac{k}{q} \left( \frac{T_1 T_2}{T_1 - T_2} \right) \ln \left( \frac{I_1}{I_2} \right), \quad 2.9$$

Here,  $\Delta E$  is the difference between  $E_F$  and *CBM* (activation energy  $E_A$ ),  $T_1$  and  $T_2$  are the temperatures at which current  $I_1$  and  $I_2$  were measured,  $k$  is the Boltzmann constant ( $1.38 \cdot 10^{-23} \text{ m}^2 \text{ kg/s}^2 \text{ K}^{-1}$ ), and  $q$  is the electron charge ( $1.60 \cdot 10^{-19} \text{ C}$ ).

In order to calculate the activation energy ( $E_A$ ), the dark current dependence on the temperature was measured. Therefore, the coplanar gold (Au) electrode was deposited on the surface of  $\text{TiO}_2$  thin film by using a thermal evaporation system and a mask (Fig. 2.20). The unique structure of the coplanar electrode results in a higher surface area for the electron flow. Then, the coplanar electrode was connected to the external power source to measure the current flow. The sample was set on a heater in the chamber (similarly to that shown in Fig. 2.21) filled with nitrogen gas, and the temperature was increased slowly by using a temperature controller. I-V characteristics were measured by using a *Keithley* picoammeter/voltage source. From the data in the current dependence on the reciprocal temperature plot,  $E_A$  can be calculated by using Eq. 2.9.

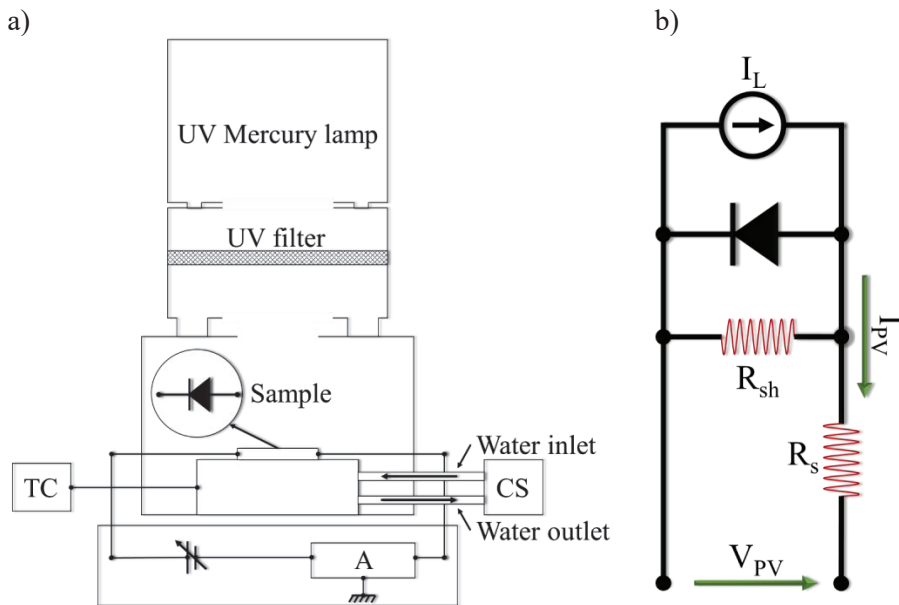


**Fig. 2.20.** Graphical illustration of coplanar electrode (left) and deposited coplanar electrode (right) and its connection to an external power source via silver paste. Electrode length is 18.5 mm; gap between the electrodes is 0.2 mm

### 2.11. I-V Characteristics of Si Photocells

I-V characteristics of the prepared multi-layered Si substrate were measured in a controlled environment by using the measurement setup shown in Fig. 2.21. For the sake of comparison, a commercially available photodiode Si *PIN S6775* (manufactured by *Hamamatsu Photonics K. K.*) was employed under the same conditions. I-V measurements were performed under two conditions: dark and under

UV irradiation (Mercury lamp, *Toshiba Light-Tech. Co. Ltd.*, Toscore). The UV light intensity was controlled by using UV light filters and measured with a UV power meter (*LT Ilutron YK-35UV*). Continuous irradiation with UV light slightly increases the temperature; therefore, a sample was set on the cooler which was connected to a temperature controller (TC) and a water supply system (CS) to maintain room temperature (25 °C). For this particular measurement, a multi-layered Si substrate was prepared by using a different mask during the lithography process (**Fig. 2.14 (b)**). The measurements under two different conditions (dark and light) are important as measurements in the dark give information about shunt resistance ( $R_{sh}$ ), series resistance ( $R_s$ ), and diode saturation current ( $I_s$ ). Meanwhile, under light irradiation, such parameters as open-circuit voltage ( $V_{oc}$ ) and short-circuit current ( $I_{sc}$ ) can be measured (**Fig. 2.21 (b)**). Moreover, it is hard to control the concentration of the photogenerated charge carriers, and any fluctuation in light may give noise in the photogenerated current, which is crucial in the microampere and nano ampere range.



**Fig. 2.21.** Schematics of I-V measurement equipment (a), circuit diagram (b). TC – temperature controller, CS – cooling system, A – ammeter (*Keithley Picoammeter/Voltage source 6487*)

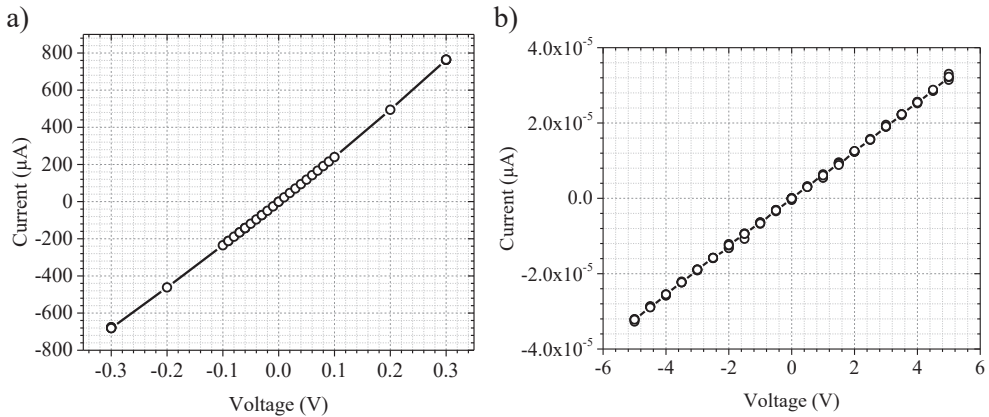
## 2.12. I-V Characteristics of TiO<sub>2</sub>/Si Heterojunction

To ensure the accuracy for further measurements of the prepared TiO<sub>2</sub>/Si heterojunction and TiO<sub>2</sub>/Si HPE, two key points must be ensured:

- The metal electrode which is being deposited on the back of the Si substrate must show ohmic contact at the junction, thus minimally contributing to the series resistance ( $R_s$ ) of TiO<sub>2</sub> HPE. To this matter, an Al electrode was deposited on the back as it shows the ohmic behavior at the junction with *n*-type Si (**Fig. 2.22 (a)**) [253].

- The junction of the metal electrode, which is being deposited on the TiO<sub>2</sub> surface, and TiO<sub>2</sub> should affect R<sub>s</sub> as minimally as possible. To this matter, either of two metals can be used: Au or Al, featuring approximately R<sub>s(Au)</sub> = 1.2 kΩ and R<sub>s(Al)</sub> = 0.9 kΩ, accordingly [254]. Therefore, an Au electrode was deposited on the surface of a TiO<sub>2</sub> thin film as it shows the ohmic behavior (**Fig. 2.22 (b)**).

Contacts exhibiting the rectifying behavior can negatively affect I-V measurements and result in false statements as the current flow would be limited by the Schottky barrier, quantum tunnelling, or current leakage.



**Fig. 2.22.** I-V characteristics of contact between a) TiO<sub>2</sub> and Au electrode and b) *n*-type Si and Al electrode

The conduction bands between the two semiconductors – TiO<sub>2</sub> and *n*-Si – must be aligned in order to have minimal contribution to R<sub>s</sub>. However, it is difficult to measure the resistance at the heterojunction interface. Therefore, the heterojunction was modified by doping the *n*-type Si surface with phosphorus (thus increasing the free charge carrier concentration). Moreover, regarding the ability of Si to oxidize in a matter of minutes even under atmospheric pressure, it is important to carefully clean the Si surface from any contaminants and SiO/SiO<sub>2</sub> so that to avoid a SiO/SiO<sub>2</sub> interlayer between TiO<sub>2</sub> and *n*-Si. Each parameter specifies the requirement to minimize the overall series resistance of TiO<sub>2</sub> HPE.

### 2.13. (Photo)electrochemical Analysis

The photodegradation of oxalic acid (H<sub>2</sub>C<sub>2</sub>O<sub>4</sub>) was measured by using Mg, Cu, and Ni-doped *a*-TiO<sub>2</sub> as photocatalysts. The changes in the concentration of carbon in the solution were measured by the total organic compound (TOC) analyzer (Shimadzu, TOC-L, Shimadzu Corp., Japan) according to the EN 1484:2002 procedure. In order to eliminate the possible errors, the average concentration of carbon was evaluated from three measurements. The withdrawn samples of oxalic acid were not returned based on the TOC analysis, as the sample solution was evaporated. Therefore, photodegradation might be slightly affected by the decrease in the total volume of oxalic acid in the measurement setup. However, since the volume of the taken sample

was low, the effect is considered to be negligibly low, and consequently it was not analyzed.

The photodegradation of organic dyes was measured under *UV* irradiation by using  $\text{TiO}_2/\text{AuNP}$  as a photocatalyst. Here, Rhodamine B (RhB) solution of  $1 \cdot 10^{-5}$  mol/l concentration was used, and photodegradation was evaluated from *UV-vis* measurements by implying a decrease in absorbance at a specific wavelength is equivalent to the degradation of dyes. Since the deposited  $\text{TiO}_2$  is denoted by a wide energy bandgap, additionally, oxygen gas was set to flow in RhB solution with a flow rate of 60 ml/min to enhance the photocatalytic degradation. The increase in photocatalytic degradation gives a better perspective on the photocatalytic properties of the formed structures. After *UV-vis* analysis, the withdrawn sample solution was returned to the measurement in order to eliminate the possible influence of the decreased total volume of RhB to photodegradation.

Electrochemical analysis for  $\text{TiO}_2/\text{Si}$  *HPE* and Pt or Pt-free *CE* was set in three different electrolyte solutions with various pH levels:

- A mixture of 0.05 mol/l concentration citric acid monohydrate ( $\text{C}_6\text{H}_8\text{O}_7 \cdot \text{H}_2\text{O}$ ) and 0.5 mol/l concentration ammonium acetate ( $\text{C}_2\text{H}_7\text{NO}_2$ ) was used as an acidic solution with a pH of 4.8.
- A mixture of 0.5 mol/l concentration potassium chloride (KCl) and 0.5 mol/l concentration ammonium acetate ( $\text{C}_2\text{H}_7\text{NO}_2$ ) was used as a neutral solution with a pH of 6.2.
- A mixture of 0.05 mol/l concentration sodium hydroxide (NaOH) and 0.5 mol/l concentration ammonium acetate ( $\text{C}_2\text{H}_7\text{NO}_2$ ) was used as an alkaline solution with a pH of 8.8.

The electrochemical measurements with  $\text{TiO}_2/\text{Si}$  *HPE* as the photoanode and Pt as the counter electrode or Pt-free as the counter photoelectrode were immersed into the solutions and connected to the external power source (*Keithley* Picoammeter/voltage source) to measure the photogenerated current. These measurements, similarly to I-V measurements of multi-layered Si, were conducted under two conditions: dark and under *UV* irradiation.

### 3. Results and Discussion

#### 3.1. Investigation of the Structure and Morphology of the formed TiO<sub>2</sub> Thin Films

##### 3.1.1. Morphology Investigation of the Formed TiO<sub>2</sub> Thin Films

Morphology analysis of the deposited TiO<sub>2</sub> thin films was done by analyzing the *FE-SEM* images presented in **Fig. 3.1**. (TiO<sub>2</sub> thin films deposited by the sol-gel spin-coating technique) and **Fig. 3.2** (TiO<sub>2</sub> thin films deposited by the *RMS* technique). TiO<sub>2</sub> thin films were baked at 150 °C for 30 minutes and annealed at various temperatures (800, 850, 875, 900, 950, 1000 °C) with the objective to investigate the changes in the microstructure. According to the surface images in **Fig. 3.1**, the grain size increases from ~80 nm to almost 350 nm as the annealing temperature increases. The calculations were done by analyzing the surface images with the *ImageJ* software. Even though the densification over all the film occurs with an increased annealing temperature, from the cross-section images (at temperatures of 950 and 1000 °C), it can be seen that a very thin (~20–25 nm) and even layer forms at the surface of a substrate. Considering the previously mentioned Si diffusion into the TiO<sub>2</sub> lattice, it can be stated that this thin layer might be a mixture of TiO<sub>x</sub> (possibly anatase phase TiO<sub>2</sub>) and Si/SiO<sub>2</sub> [192]. Unfortunately, as this layer is too thin in comparison with the full thickness of the films, it is hard to investigate it further with *XRD* analysis. In this case, either *XPS* or *SIMS* (Secondary Ion Mass Spectrometry) should be used for depth profiling. On the other hand, this would be an important study if a composite material of rutile phase TiO<sub>2</sub> and Si could be manufactured for photocatalytic application, and this is not the case in the present study. Furthermore, the effect of densification on the microstructure of TiO<sub>2</sub> thin films can be observed from the images in **Fig. 3.1**.

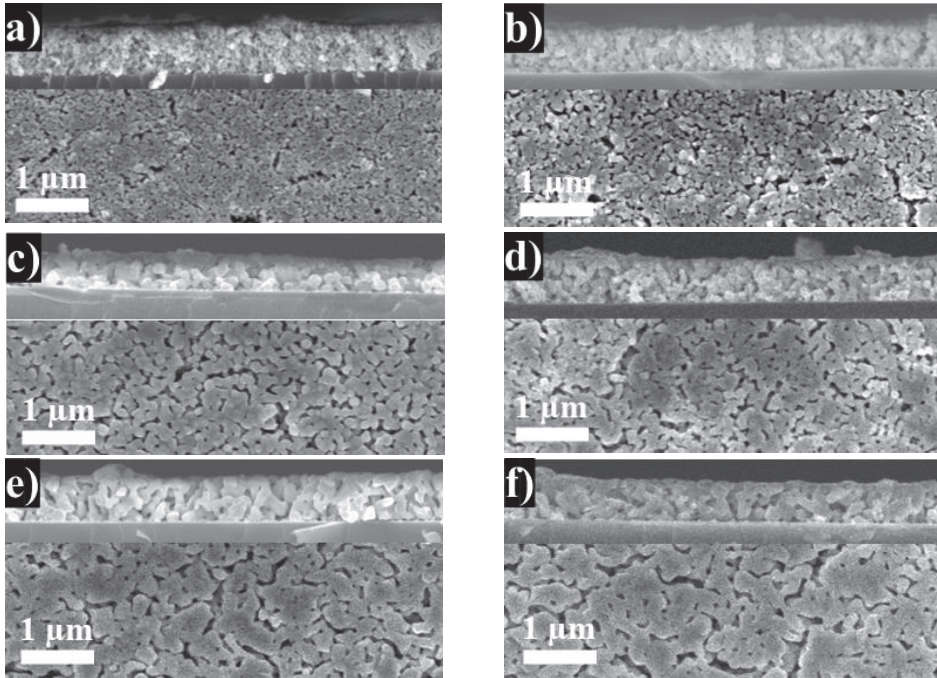
The densification of TiO<sub>2</sub> thin films with an increased annealing temperature, or, in other words, the changes in porosity, was analyzed from the cross-sectional images presented in **Fig. 3.1**. Densification occurs with an increased annealing temperature, as the porosity decreases from 35.87% (800 °C) to 16.28% (1000 °C), as listed in **Table 3.1**. Even though such analysis could be affected by the sample preparation technique (cutting the sample before *FE-SEM* measurement), the result somewhat agrees with the images presented above.

**Table 3.1.** Calculated porosity of TiO<sub>2</sub> thin films based on the annealing temperature. Calculations were done by using the cross-section images in **Fig. 3.1**.

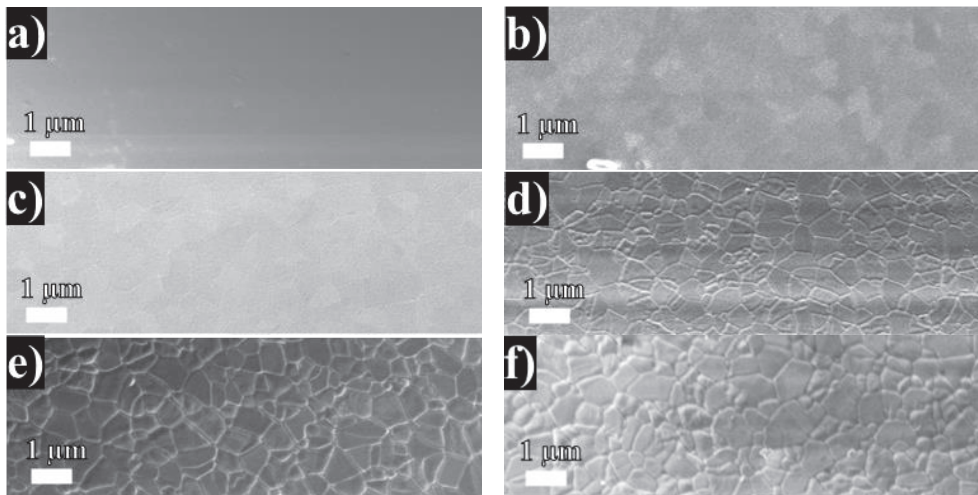
Annealing temperature	800 °C	850 °C	875 °C	900 °C	950 °C	1000 °C
Porosity	35.87%	31.87%	25.14%	20.90%	19.56%	16.28%

However, further investigation is needed to accurately analyze the concentration of pores. This can be done by several methods, and one of the simplest options is gas sorption [202, 255], where, usually N<sub>2</sub>, Ar or CO<sub>2</sub> is used, or else X-Ray and neutron scattering porosimetry is employed [256].





**Fig. 3.1.** *FE-SEM* surface and cross-section images of  $\text{TiO}_2$  thin films deposited on *n*-type Si substrate by the sol-gel spin-coating technique and annealed at different temperatures for 1 hour: a) 800 °C; b) 850 °C; c) 875 °C; d) 900 °C; e) 950 °C and f) 1000 °C. Accelerating voltage – 5 kV, magnification – 20k (the white bar indicates 1  $\mu\text{m}$ )



**Fig. 3.2.** *FE-SEM* surface images of  $\text{TiO}_2$  thin films deposited on *n*-type Si substrate by RMS technique and annealed at different temperatures for 1 hour: a) as-deposited; b) 750 °C; c) 850 °C; d) 950 °C; e) 1000 °C; f) 1200 °C. Accelerating voltage – 5 kV, magnification – 10k (the white bar indicates 1  $\mu\text{m}$ )

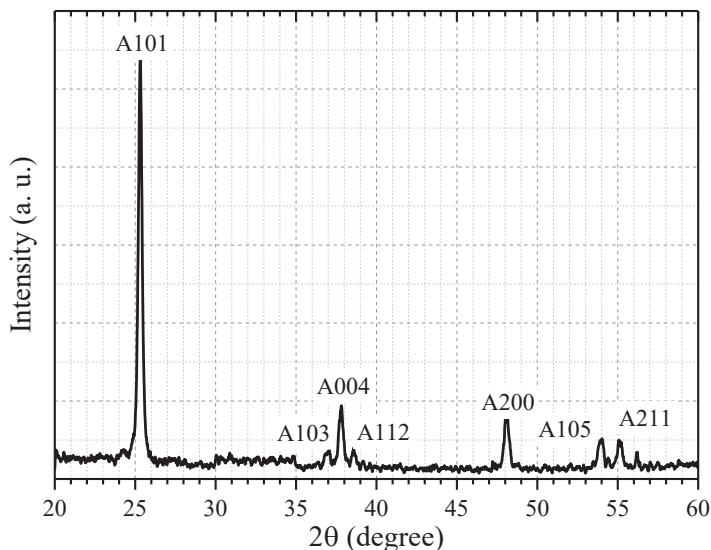
Analogous analysis was done for TiO<sub>2</sub> thin films deposited by the *RMS* technique. In this case, no baking was done before the annealing process, as it is not important according to the deposition kinetics. On the basis of **Fig. 3.2 (a)**, a TiO<sub>2</sub> thin film was deposited evenly on the substrate without any visible cracks or grain structures. The formation of grain structures was observed when TiO<sub>2</sub> thin films were annealed at 750 °C temperature with slightly visible grain boundaries. With annealing temperatures increased to 950, 1000, 1200 °C, the grain boundaries became more visible (**Fig. 3.2 (d, e, f)**). This is a result of an increase in the tensile residual stress caused by the high annealing temperature [257].

The surface and depth microstructure plays a crucial role in photocatalysis as it affects the surface area, which directly affects the photocatalytic efficiency [258–260]. The higher is the surface area, the higher is the photocatalytic efficiency as more surface is in contact with the solution [261]. However, considering the porous material, it is important to take into consideration whether the solution would be able to reach the inner parts of thin films, or it will react only on the surface level. Moreover, if the porous structure is columnar, the photocatalytic efficiency increases even more if columns are aligned with the internal electric field [262]. Thus, the electrons would be given additional mobility alongside the columns, and the scattering or recombination processes would be minimized. Therefore, when preparing stand-alone TiO<sub>2</sub> thin films as a photocatalyst or a composite monolithic structure of a photocatalyst, it is important to take into account the morphology and how it might affect the further photocatalytic efficiency. Nevertheless, the properties of the deposited thin films also depend on the deposition technique [263–265].

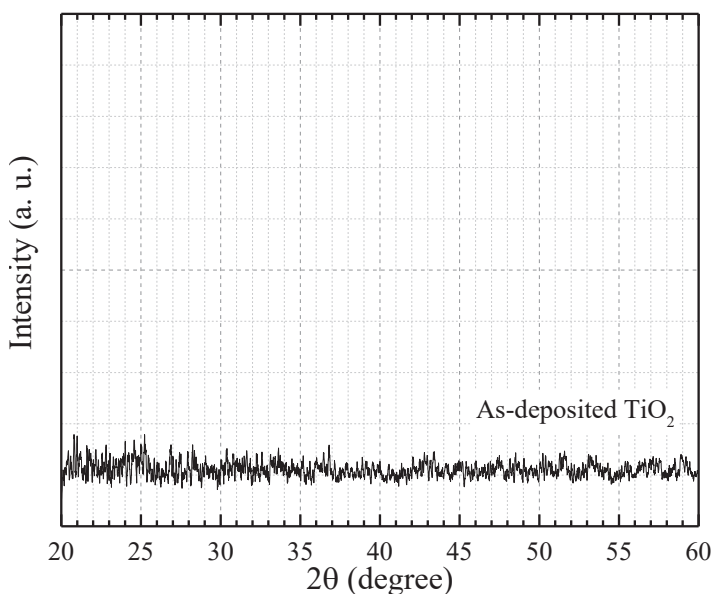
### 3.1.2. Structural Properties of the Formed TiO<sub>2</sub> Thin Films

The *XRD* measurements were set for TiO<sub>2</sub> deposited by the sol-gel spin-coating technique from commercially available STS-21 hydrosol (**Fig. 3.3**) and for TiO<sub>2</sub> deposited by the *RMS* technique (**Fig. 3.4**). TiO<sub>2</sub> deposited from STS-21 hydrosols was dried at 150 °C for 30 min to form as-deposited TiO<sub>2</sub> thin films. According to the *XRD* spectra, TiO<sub>2</sub> deposited by the sol-gel spin-coating technique contains the anatase phase with a dominant {101} facet at  $2\theta = 25.30^\circ$ , and the following {004} facet at  $37.82^\circ$ , {200} at  $48.12^\circ$ , {103} at  $37.06^\circ$ , {112} at  $38.58^\circ$ , {105} at  $54.02^\circ$  and {211} at  $55.08^\circ$  [168]. Such results were expected as STS-21 hydrosol already contains TiO<sub>2</sub> anatase phase particles (based on the specification sheet); see **Table 2.3**. TiO<sub>2</sub> thin films deposited by the *RMS* technique and without additional annealing are amorphous (a-TiO<sub>2</sub>) (**Fig. 3.4**). According to the *XRD* spectra, no peaks corresponding to either TiO<sub>2</sub> anatase or TiO<sub>2</sub> rutile phase were detected.





**Fig. 3.3.** XRD spectra of TiO<sub>2</sub> deposited by the sol-gel spin-coating technique from STS-21 hydrosol and dried at 150 °C. A – peaks attributed to TiO<sub>2</sub> anatase phase



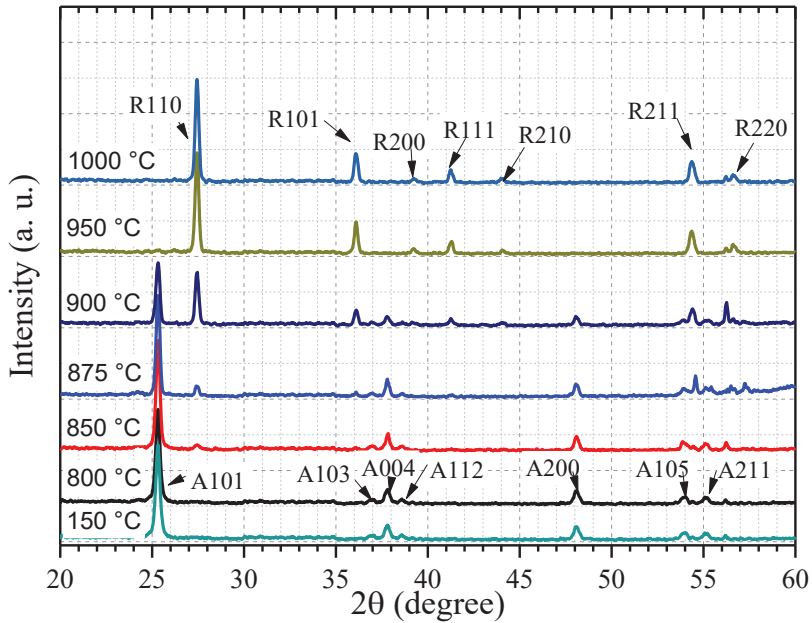
**Fig. 3.4.** XRD spectra of TiO<sub>2</sub> deposited by the RMS technique without additional annealing

The effect of the deposition parameters was investigated for TiO<sub>2</sub> thin films by annealing the samples at various temperatures. TiO<sub>2</sub> thin films were deposited by the sol-gel spin-coating and physical vapor deposition techniques as described in Sections 2.1 and 2.3. According to the XRD results (Fig. 3.5), the phase transition from the anatase phase to rutile begins at ~850 °C with at least 5% of the rutile phase detected

in the thin film. Considering that the solution used for TiO<sub>2</sub> thin films deposition already contains the anatase phase, the temperature for the phase transition is much higher than the average 600 °C temperature reported in other articles for the amorphous-to-anatase-to-rutile or anatase-to-rutile transition [266–270]. Thin films annealed at 800 °C temperature for 60 min have a dominant facet {101} at 25.32° (ref. 25.28°) and secondary facets {103}, {004}, {112}, {200}, {105} and {211} at 37.08° (ref. 36.87°), 37.84° (ref. 37.68°), 38.70° (ref. 38.52°), 48.12° (ref. 48.01°), 53.94° (ref. 53.74°) and 55.12° (ref. 55.03°), accordingly. A slight shift of ~0.04 to 0.21° at the peaks may be caused by the stress induced by the annealing process [192]. With the increased annealing temperature (at 850 °C), the rutile facets {110} at 27.44° (ref. 27.36°) and {220} at 56.24° (ref. 56.45°) appear with only ~5% of the rutile phase in TiO<sub>2</sub> thin films. At the annealing temperature of 875 °C, the intensity of the peaks for the above mentioned facets slightly increases, and one new facet {211} at 54.56° (ref. 54.15°) appears with a higher intensity peak compared to the previous ones. By further increasing the annealing temperature, the other rutile facets {101}, {200}, {111}, {210} and {211} appear at 36.08° (ref. 35.96°), 39.22° (ref. 39.06°), 41.24° (ref. 41.11°), 44.02° (ref. 43.90°) and 54.40° (ref. 54.15°), accordingly. A similar shift (as it was detected for the anatase phase) in the peak positions can be observed for the rutile phase. The intensity of the dominant rutile facet {110} increases with an increased temperature followed by the decrease in intensity for the dominant anatase facet {101}. The phase transition ends at 1000 °C where no anatase was detected in the TiO<sub>2</sub> thin film. The above mentioned references were taken from the open database *RRUFF Project* [271] (anatase ID: R070582 and rutile ID: R060745). The calculated average (see Equation 2.1) crystallite size of the TiO<sub>2</sub> anatase phase increases from 45.1 nm (annealed at 800 °C) to 62.11 nm (annealed at 1000 °C). A similar tendency was observed during the formation of the TiO<sub>2</sub> rutile phase: 52.39 nm (annealed at 850 °C) and 75.74 nm (annealed at 1000 °C). This increase in the average crystallite size can be explained by the increase in crystallization resulting in the decrease of the crystal defects [272]. Other authors reported that an increase in the crystallite size may be caused by the densification due to a high annealing temperature (which can also be observed in the *FE-SEM* images **Fig. 3.1**) [273].

The observed effect where the phase transition requires enormously high annealing temperatures can be attributed to the material of the substrate on which TiO<sub>2</sub> was deposited [274]. According to S. Mischczak et al., phase transition from amorphous to the anatase phase ends at ~500 °C, and, from anatase to the rutile phase, it ends at ~800, 850, or 1000 °C while depositing on 304L steel, Co-Cr-Mo alloy or Si substrate, accordingly. Moreover, another study reported Si diffusion into the TiO<sub>2</sub> lattice, which, according to the authors, might slow down the phase transition from the anatase phase to the rutile phase [192]. This observation was done by *XPS* analysis which detected higher concentrations of Si with increased annealing temperatures of TiO<sub>2</sub>. Considering the *XPS* detection depth (which is around 10 nm), the thickness of TiO<sub>2</sub> (which was ~40–70 nm), and while taking in mind the densification of thin films under high annealing temperatures, there is a high chance of Si diffusion into the TiO<sub>2</sub> lattice. Another study states that Si locks the Ti-O species at the interface, thus

preventing the nucleation process which is necessary for the phase transition to take place [170].



**Fig. 3.5.** XRD pattern of TiO<sub>2</sub> thin films deposited by the sol-gel spin-coating technique using a commercially available STS-21 hydrosol and annealed at different temperatures for 60 min: 800 °C; 850 °C; 875 °C; 900 °C; 950 °C; 1000 °C. For a comparison, as-deposited (dried at 150 °C for 30 min) TiO<sub>2</sub> pattern is added from Fig. 3.3. A – peaks attributed to TiO<sub>2</sub> anatase phase, R – peaks attributed to TiO<sub>2</sub> rutile phase

At the interface of Ti/Si (Ti/SiO<sub>2</sub>)<sup>7</sup>, Ti atoms are substituted into the tetrahedral SiO<sub>2</sub> lattice, which results in the formation of tetrahedral Ti sites. Taking into account that the TiO<sub>2</sub> anatase-to-rutile transition is reconstructive and requires the breaking of the weakest Ti-O bonds, the interaction between the octahedral and tetrahedral Ti sites is the reason for the delayed transition process. Therefore, a higher activation energy (a higher temperature) is required to drive the phase transition process. Nevertheless, the diffusion of Si atoms into the TiO<sub>2</sub> lattice violates the Moss rule [254, 275], which explains the interrelation between the energy bandgap and the high-frequency refractive index for semiconductors or dielectrics [276]. It states that materials with a high refractive index feature lower energy bandgaps and vice versa:

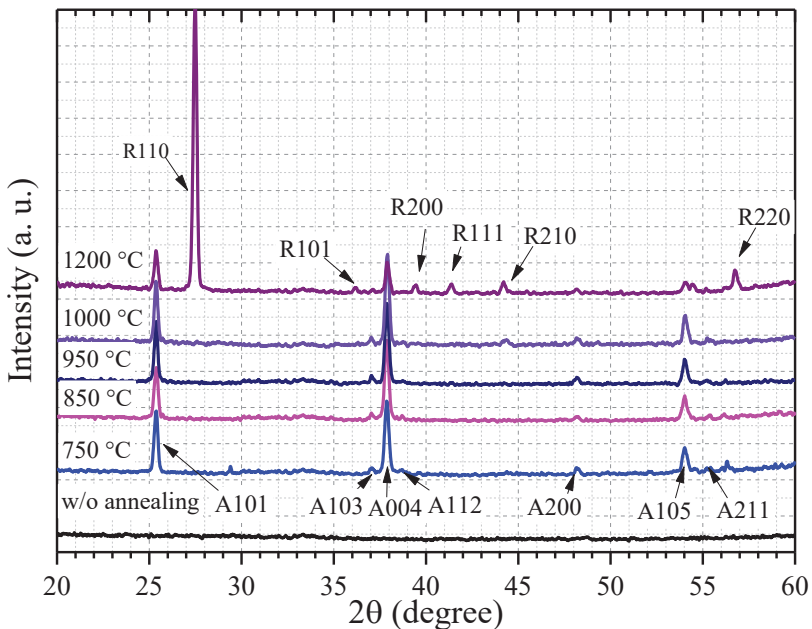
$$n^4 E_g = \text{constant} \quad 3.1$$

Here,  $n$  – refractive index and  $E_g$  – energy bandgap. At first, this relation was proposed mainly for photoconductors with a constant of  $\sim 95$  eV. However, the list of

<sup>7</sup> Considering the natural oxide layer of several nanometres, which forms in the matter of minutes on the Si substrate in atmospheric ambience

semiconductors was expanded, and the values of the presently discussed constant slightly vary between them [277, 278].

Furthermore, the *XRD* pattern for  $\text{TiO}_2$  thin films deposited by *RMS* and annealed at different temperatures (for 60 min) shows that even higher annealing temperatures are required for the anatase to rutile phase transition (**Fig. 3.6**). It is worth noting that, in this particular case, the amorphous-to-anatase-to-rutile phase transition occurs because as-deposited  $\text{TiO}_2$  thin films are amorphous. According to the *XRD* spectra, two dominant anatase facets of  $\{101\}$  and  $\{004\}$  at  $25.38^\circ$  (ref.  $25.28^\circ$ ) and  $37.88^\circ$  (ref.  $37.68^\circ$ ) appear when samples are annealed at  $750^\circ\text{C}$  temperature. The dominant facet  $\{004\}$  overcomes the other dominant facet  $\{101\}$  when the annealing temperature increases, as it can be observed from the slightly increased peak intensity for  $\{004\}$ , compared to  $\{101\}$ . However, the rutile phase did not appear until the annealing temperature reached  $1200^\circ\text{C}$ . It can be stated that the anatase-to-rutile phase transition began between  $950$  and  $1200^\circ\text{C}$ , which is the double value compared to the average phase transition temperature.

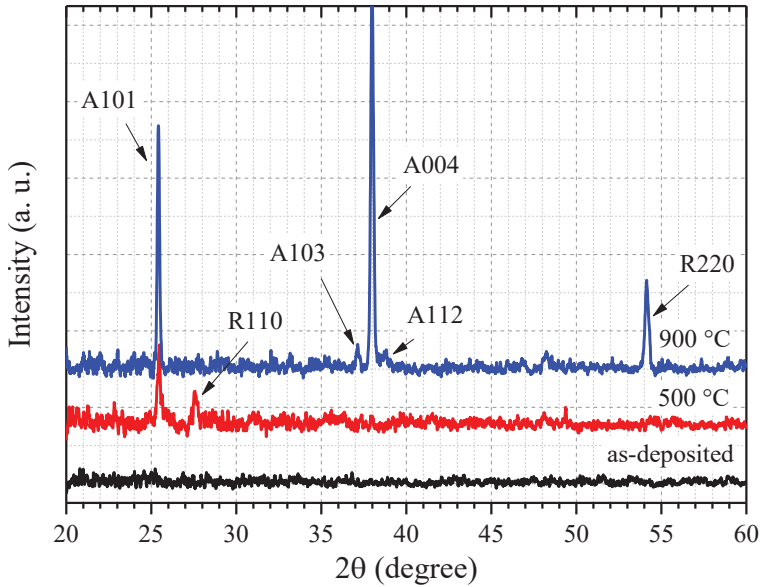


**Fig. 3.6.** *XRD* spectra of  $\text{TiO}_2$  thin films deposited by the *RMS* technique and annealed at different temperatures:  $750^\circ\text{C}$ ;  $850^\circ\text{C}$ ;  $950^\circ\text{C}$ ;  $1000^\circ\text{C}$ ;  $1200^\circ\text{C}$ . For a comparison, as-deposited (without annealing)  $\text{TiO}_2$  pattern is added from **Fig. 3.4**. A – peaks attributed to  $\text{TiO}_2$  anatase phase, R – peaks attributed to  $\text{TiO}_2$  rutile phase

Even though the dominant rutile facet  $\{110\}$  with the highest intensity peak was detected at  $27.48^\circ$  (ref.  $27.36^\circ$ ), two anatase facets  $\{101\}$  and  $\{004\}$  were still observed. The above used references were taken from the open database *RRUFF Project* [271] (anatase ID: R070582 and rutile ID: R060745). The average crystallite size was calculated for these samples as well. Likewise, for  $\text{TiO}_2$  deposited by the sol-

gel spin-coating technique, in this case, the average crystallite size increased with an increased annealing temperature as well. The average crystallite size of the anatase phase was estimated to be 37.17 nm (annealed at 750 °C), and increased to 44.85 nm (annealed at 1000 °C). However, during the phase transition, the crystallite size for the anatase phase decreased to 35.00 nm (annealed at 1200 °C), while the crystallite size of the TiO<sub>2</sub> rutile phase was estimated to be around 45.27 nm (annealed at 1200 °C). Such a crystallization process was expected for TiO<sub>2</sub> deposited by the *RMS* technique on the *n*-type Si substrate according to the previous results of TiO<sub>2</sub> deposited by sol-gel spin-coating on the same substrate. The increase in the required annealing temperature for the TiO<sub>2</sub> anatase-to-rutile transition may be attributed to a low thickness of the deposited thin films. As E. Blanco *et al.* suggested, Si diffusion into the TiO<sub>2</sub> lattice occurs under high annealing temperatures [192]. However, the presently mentioned study analyzed Si diffusion into TiO<sub>2</sub> deposited by the sol-gel spin-coating technique. Thus, diffusion is much easier into a porous structure, compared to a dense one. In our study, TiO<sub>2</sub> thin films deposited by the *RMS* technique yield low-to-zero porosity (i.e., no visible porosity was observed from the TiO<sub>2</sub> surface images taken with *FE-SEM*), which might eliminate the diffusion of Si. Moreover, the thickness of the TiO<sub>2</sub> thin films deposited by the sol-gel spin-coating technique was ~700 nm, while those deposited by *RMS* were ~200 nm. When taking into consideration that *n*-type Si substrates were used in both cases and by considering that Si diffusion takes place during the annealing process, it can be suggested that the temperature required for the phase transition is inversely proportional to the thickness of thin films. Nevertheless, an additional study and more profound analysis are required to understand the TiO<sub>2</sub> phase transition dependence on the substrate material.

Additionally, TiO<sub>2</sub> was deposited by the *RMS* technique on glass and quartz glass substrates. According to the *XRD* spectra (**Fig. 3.7**), an amorphous TiO<sub>2</sub> structure forms before annealing, and, after annealing, TiO<sub>2</sub> anatase with a dominant {101} facet and a low-intensity TiO<sub>2</sub> rutile {110} facet were observed. The crystalline structure changes when TiO<sub>2</sub> is annealed at 900 °C. Here, the first dominant TiO<sub>2</sub> anatase {004} facet followed by the {101} facet were detected with a lower TiO<sub>2</sub> rutile {220} facet. According to the *XRD* spectra, regarding TiO<sub>2</sub> thin films deposited on the Si substrate and annealed at 950 °C temperature, no rutile peak was observed at all (**Fig. 3.6**). Whereas, for TiO<sub>2</sub> deposited on quartz glass and annealed at 500 °C and 900 °C, comparably high intensity rutile peaks were observed at 27.64° (ref. 27.36°) and 54.08° (ref. 54.25°), accordingly (**Fig. 3.7**). Moreover, TiO<sub>2</sub> thin films deposited on the quartz glass substrate have a dominant anatase {004} facet, compared to the dominant {101} facet when deposited on the Si substrate. Therefore, the dependence of crystallization and phase transition on the substrate material is undeniable.



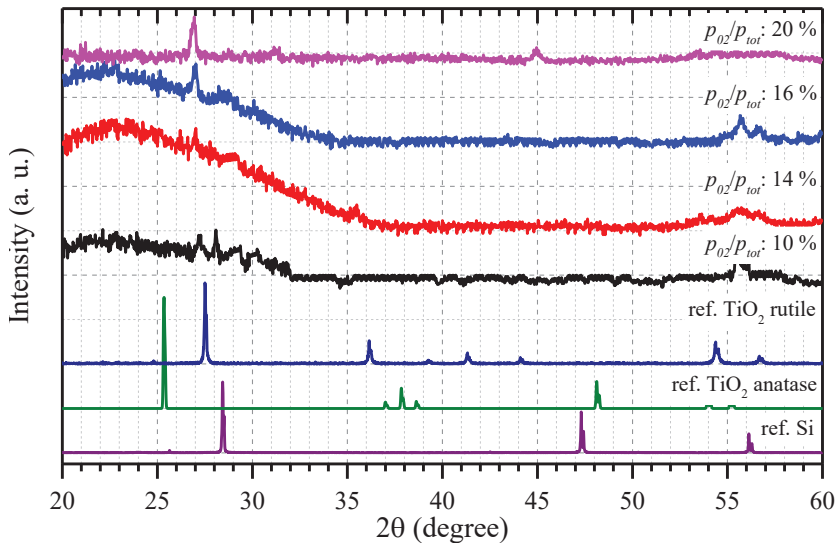
**Fig. 3.7.** XRD spectra of TiO<sub>2</sub> thin films annealed at different temperatures: as-deposited on glass substrate; 500 °C on glass substrate; 900 °C on quartz glass substrate [200]

The anatase-to-rutile phase transition in TiO<sub>2</sub> is reconstructive. The phase transition occurs by breaking the minimum number of Ti-O bonds and results in the lowest disturbance of the oxygen substructure [163, 259]. This means that the phase transition occurs at the weakest Ti-O bonds, which requires a lower activation energy. As a review study by D. A. H. Hanaor *et al.* suggests, any impurities or defects weaken the Ti-O bonds and allow an easier TiO<sub>2</sub> anatase-to-rutile phase transition [163]. Moreover, Y. Iida *et al.* analyzed the anatase-to-rutile transition dependence on the dopants [259]. They observed that CuO had the strongest effect on the anatase-to-rutile phase transition, thus lowering the required annealing temperature for the phase transition to begin. The opposite was observed when WO<sub>3</sub> and Na<sub>2</sub>O were added to TiO<sub>2</sub>. Thus, it can be suggested that not all impurities have the same effect on the TiO<sub>2</sub> anatase-to-rutile phase transition. Moreover, the authors suggested that the phase transition begins with rutile nucleation on the surface of the sample or where impurities or defects are located, and then spreads inside the lattice. Considering the single-crystal transformation, in the presence of any kind of impurities, the rutile {200} facet grows in parallel to the anatase {112} facet [279]. On the other hand, rutile might grow on the interface between the two {112} facets in pure anatase [280, 281]. However, there is no agreement as to how exactly the recrystallization process works. Thus, it is clear that the TiO<sub>2</sub> phase transition is a more complex process, and it requires an additional more extensive study.

Considering the crystallization of deposited TiO<sub>2</sub> thin films during the annealing process, another study was done to analyze the growth kinetics based on the oxygen partial pressure and the total pressure ratio instead of the annealing temperature. In this case, TiO<sub>2</sub> was deposited on a Si substrate by the RMS technique by changing the oxygen partial pressure and the total pressure ratio ( $p_{O_2}/p_{tot}$ ). The mechanism behind



this ratio is that with an increased  $p_{tot}$ , the density of the gas particles (in the deposition chamber) increases as well. This results in a higher probability of collision between the gas particles, followed by an increased acceleration [282]. Additionally, the gas flow ratio has an effect on the phase development in TiO<sub>2</sub> thin films. The concentration of negative oxygen ions (O<sup>-</sup>) in the chamber increases with the increased  $p_{O_2}/p_{tot}$  ratio [283]. Consequently, O<sup>-</sup> together with Ti atoms is being accelerated towards the substrate, and the bombardment of the surface may cause the formation of the TiO<sub>2</sub> rutile phase at low temperatures [284–286]. However, it might be attributed to the decreased kinetic energy of Ti atoms, as the migration of Ti atoms occurs, which causes the densification of the microstructure (the density of the TiO<sub>2</sub> anatase phase is 3.89 g/cm<sup>3</sup>, the TiO<sub>2</sub> rutile phase density is 4.25 g/cm<sup>3</sup>) [161]. Another study suggests that the TiO<sub>2</sub> rutile phase formation originates from the generation of oxygen vacancies caused by Ti<sup>+</sup> and Ar<sup>+</sup> bombardment [283]. Different mechanics were observed for the TiO<sub>2</sub> anatase phase formation, as a higher  $p_{tot}$  value is required [287]. Even though these results are debatable, the  $p_{O_2}/p_{tot}$  ratio together with the growth rate dependence on the oxygen flow rate has to be taken into account during low-temperature crystalline TiO<sub>2</sub> formation [288].



**Fig. 3.8.** XRD spectra of TiO<sub>2</sub> thin films deposited under different  $p_{O_2}/p_{tot}$  ratios [189]. Reference spectra were taken from the database with an ID 050145.3 for Si [289], R070582 for TiO<sub>2</sub> anatase [290], and 050417.2 for TiO<sub>2</sub> rutile [291]. The elimination of the Si peak was done during XRD analysis to avoid any interference with TiO<sub>2</sub> data

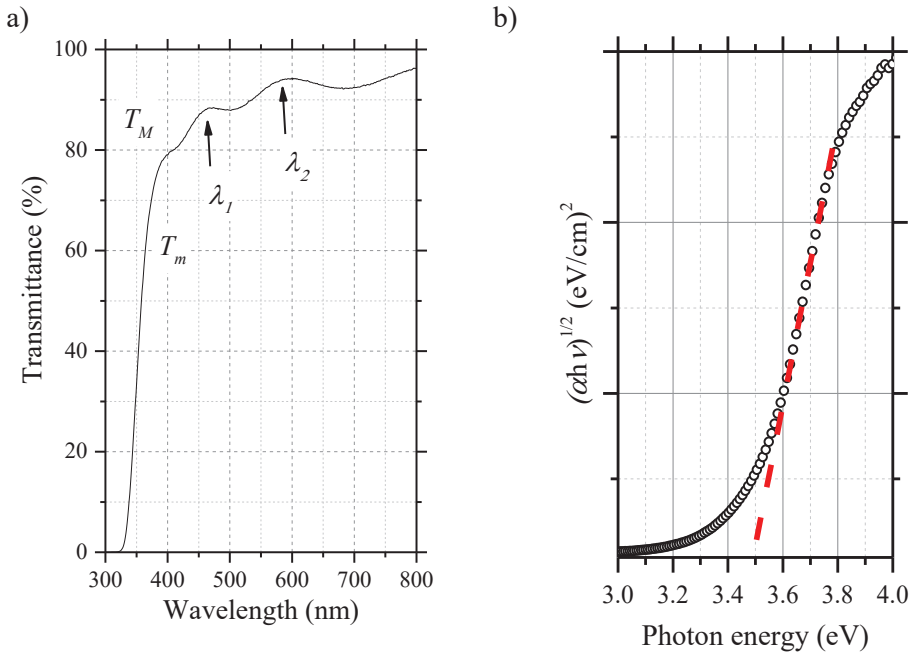
What concerns the XRD spectra (Fig. 3.8), the TiO<sub>2</sub> rutile phase with a dominant {110} facet at 26.94° (ref. 27.95°) was detected when thin films were deposited at  $p_{O_2}/p_{tot} = 20\%$  without additional annealing. With the decreased  $p_{O_2}/p_{tot}$  ratio, changes in the crystalline structure can be observed. The peak position shifts to 27.29° with decreased  $p_{O_2}/p_{tot} = 10\%$ , which suggests an increase in the tensile stress during the deposition process. In accordance with the previously stated deposition



mechanism, the TiO<sub>2</sub> anatase phase should appear with a decreased  $p_{O_2}/p_{tot}$  ratio. However, the corresponding peak of {101} facet at 25.35° for the TiO<sub>2</sub> anatase phase might be hidden under the ‘hump’ signal of amorphous TiO<sub>2</sub>. Nevertheless, this study suggests an alternative deposition method of crystalline TiO<sub>2</sub> on heat-sensitive substrates.

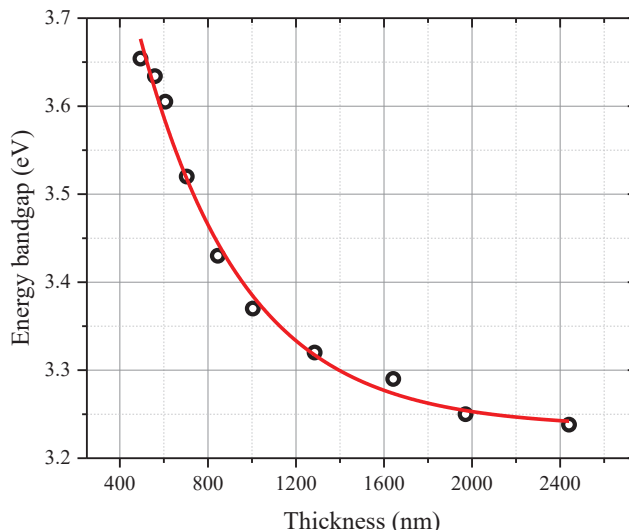
### 3.1.3. Optical Properties (UV-Vis) of TiO<sub>2</sub> Thin Films

The optical properties of TiO<sub>2</sub> thin films deposited by the *RMS* and sol-gel spin-coating techniques were measured with a *UV-vis* spectrometer (Fig. 3.11 (a) and Fig. 3.9 (a), respectively). To this matter, TiO<sub>2</sub> thin films were deposited on the quartz glass substrate. Considering the TiO<sub>2</sub> thin films deposition by the sol-gel spin-coating technique, the deposition parameters were calibrated to achieve the same thin film thickness as the ones deposited on the *n*-type Si substrate. This was not the case for the TiO<sub>2</sub> thin films deposited by *RMS*, as mainly TiO<sub>2</sub> deposited on quartz glass was used as the final structure for photocatalytic measurements. The thickness of the deposited TiO<sub>2</sub> thin films was measured with a profilometer, which resulted in slightly uneven distribution of the thickness all over the sample ranging from ~680 (at the centre) to ~715 nm (at the sides). The thickness at the center was up to 50 nm thinner than the thickness of the substance deposited on the edge of the *n*-type Si substrate. However, what concerns the  $E_g$  dependence on the thickness (density) (Fig. 3.10), 50 nm does not significantly affect  $E_g$ .



**Fig. 3.9.** Optical transmittance spectrum of TiO<sub>2</sub> deposited by the sol-gel spin-coating technique (a) and optical energy bandgap evaluation from Tauc relation (b).  $T_M$  and  $T_m$  are the upper and lower tangent envelopes of the transmission spectra,  $\lambda_{1,2}$  is the wavelength at the first and second maxima

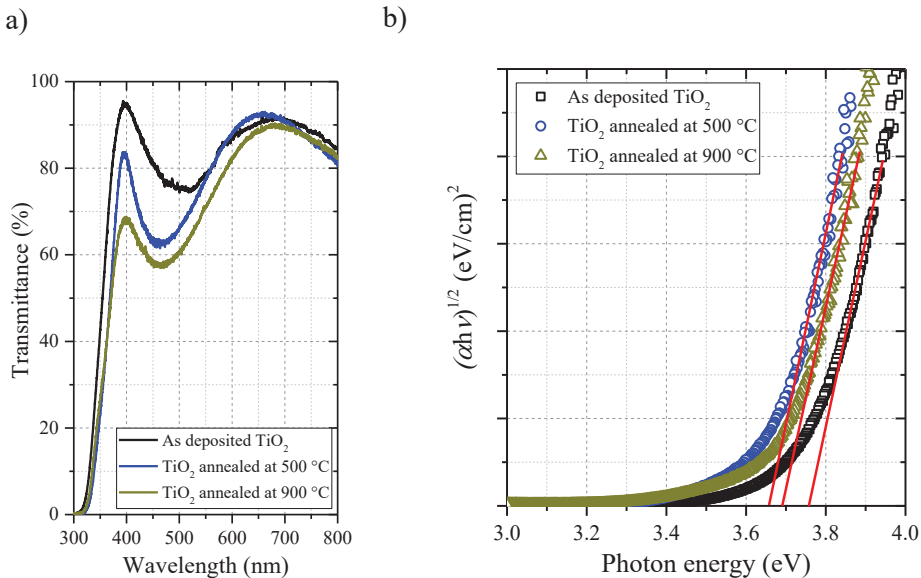
According to the results, thin films are transparent in near-UV (327–400 nm), with the transparency increasing from several percent to 80% and showing high transparency (80 to 95%) within the visible light region (400 to 700 nm). This is an important factor for manufacturing complex monolithic structures for photocatalytic applications. Based on the equations listed in Section 0, the refractive index of  $n = \sim 2.16$  was calculated. This is in the range, as TiO<sub>2</sub> usually has a refractive index of 2 to 2.5, respectively, to the density of thin films. A study shows that TiO<sub>2</sub> thin films with a porosity of 40.91% and 20.67% had a refractive index of 2.04 and 2.29, accordingly [160]. Moreover, TiO<sub>2</sub> thin films with a porosity of 53.5% and 65.2% feature a refractive index of  $\sim 1.80$  and 1.62 [171]. In this case, with regard to the calculated refractive index, the estimated porosity of  $P = 31.37\%$  is expected. The plot based on the *Tauc* relation (**Fig. 3.9 (b)**) was used for  $E_g$  evaluation, which is  $\sim 3.5$  eV. Usually,  $E_g$  for the TiO<sub>2</sub> anatase phase is in the range of 3.2 to 3.5 eV [292]. Moreover,  $E_g$  exhibits an exponential dependency on the thickness of TiO<sub>2</sub>. Therefore,  $E_g$  can be modified by changing the deposition parameters and increasing the ‘thickness’ of the deposited TiO<sub>2</sub> thin films. However, this could result in a decrease in transparency [293]. Such a decrease of  $E_g$  with an increased thin film thickness can be explained by the increased density of localized states. Moreover, scattering and light absorbance increases with an increased thickness [294]. This is especially the case for porous thin films. Nevertheless, the exponential decrease in  $E_g$  can be related to the increase of the crystallite size, which causes the quantum confinement effect [295–297]. On the other hand, this can also be attributed to the increased density of oxygen vacancies [298]. In our case, this requires an additional study and further measurements to analyze such phenomena and prove or challenge the findings published by others. This may be done in future projects.



**Fig. 3.10.**  $E_g$  dependence on the thickness of TiO<sub>2</sub> thin films. TiO<sub>2</sub> deposited by the sol-gel spin-coating technique, baked at 150 °C for 30 min and annealed at 800 °C for 60 min. Different thickness values were achieved by changing the spin-coating parameters: slope (0–15 s), RPM (2000–8000) and spinning time (30–40 s)

Analogous measurement was done for TiO<sub>2</sub> deposited by the *RMS* technique. The thickness of TiO<sub>2</sub> thin films deposited by *RMS* was ~200 nm. The deposition parameters of as-deposited TiO<sub>2</sub> thin films were used for various applications: doping TiO<sub>2</sub> with Mg, Cu, and Ni; deposition on the patterned structure and for AuNP and AgNP formation on the surface. However, for the latter, as-deposited TiO<sub>2</sub> and TiO<sub>2</sub> annealed at 500 °C and 900 °C temperatures was used. According to the transmittance spectra, the transmittance of TiO<sub>2</sub> thin films decreases with an increased annealing temperature (**Fig. 3.11 (a)**). As-deposited TiO<sub>2</sub> shows a considerably high transparency in the visible light region (>75–90%), with a slight decrease at around 500–525 nm wavelength with a transmittance of <80%. After the annealing, the transparency in the 600 to 800 nm wavelength is similar for all the samples. Whereas, at the lower wavelength, the transparency is up to 15% lower with an increased annealing temperature.

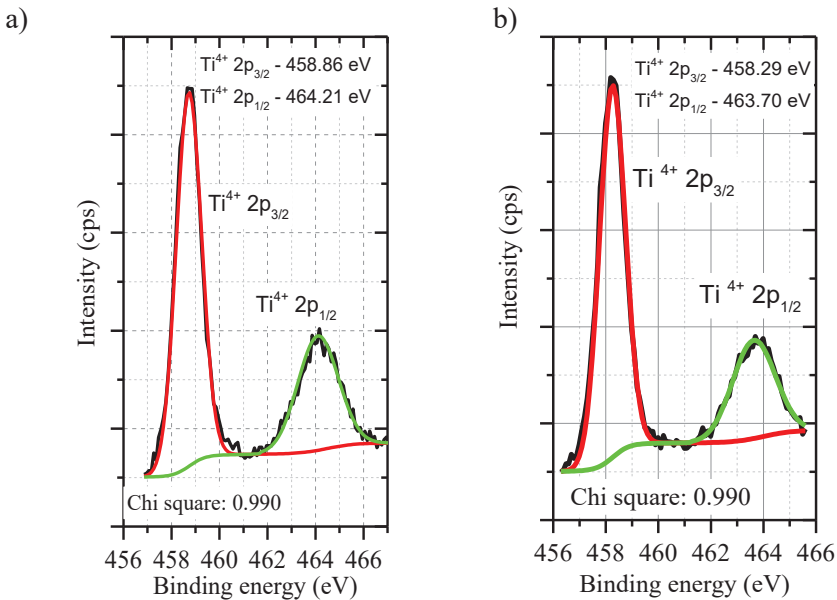
The optical energy bandgap ( $E_g$ ) was evaluated analogically as for the previous samples (**Fig. 3.11 (b)**).  $E_g$  is considerably high for all the three samples, which results in ~3.75 eV (for as-deposited TiO<sub>2</sub>); ~3.65 eV (for TiO<sub>2</sub> annealed at 500 °C) and ~3.70 eV (for TiO<sub>2</sub> annealed at 900 °C). When considering that TiO<sub>2</sub> thin films annealed at 500 °C and 900 °C contain the anatase phase, the evaluated  $E_g$  is higher than the reported average values for the TiO<sub>2</sub> anatase phase [292]. The slight increase in the energy bandgap when TiO<sub>2</sub> was annealed at 900 °C (compared to the samples annealed at 500 °C) can be attributed to densification followed by a decrease in thickness. The explanation behind the TiO<sub>2</sub> thin film thickness and the energy bandgap width was already explained above in this section. Further investigation on the energy bandgap formation in TiO<sub>2</sub> deposited by the *RMS* technique shall be carried out in future studies.



**Fig. 3.11.** Optical transmittance spectrum (a) and optical energy bandgap evaluation from Tauc relation (b)

### 3.1.4. Chemical and Electrical Properties of the Formed TiO<sub>2</sub> Thin Films

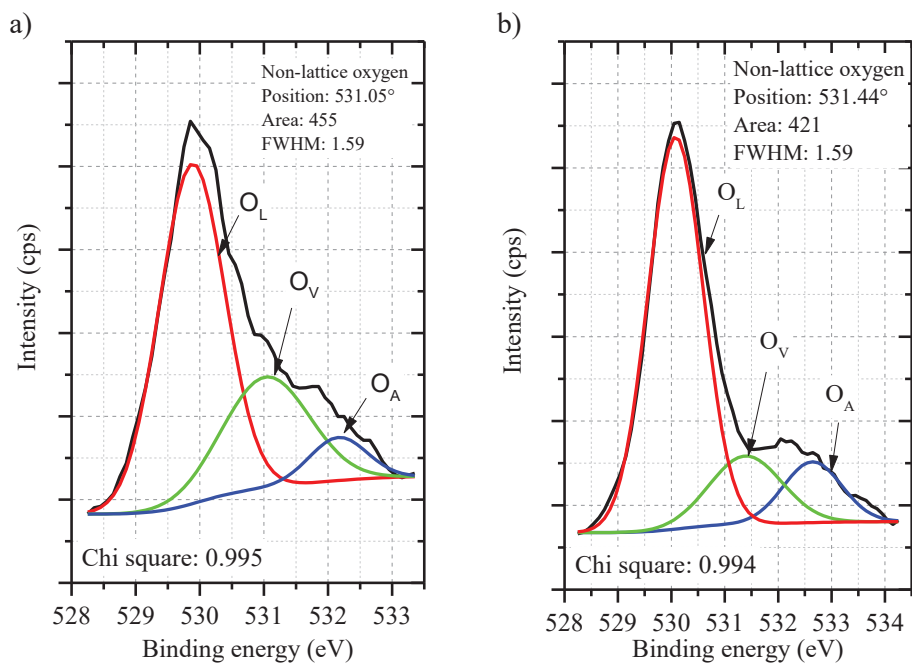
*XPS* analysis was done for the TiO<sub>2</sub> thin films deposited by the sol-gel spin-coating technique and annealed at 800 °C (the anatase phase) and 1000 °C (the rutile phase); see Fig. 3.12. The backgrounds were subtracted by using the Tougaard method, and the deconvolution of the peaks was calculated by the sum of Gaussian functions. The Chi-square represents the fitting parameter: the closer it is to 1, the better fitting procedure was done. The core-level peaks for the TiO<sub>2</sub> anatase phase was observed at 458.86±0.03° for *Ti* 2*p*<sub>3/2</sub> (ref. 458.59° [299]) and 464.21±0.08° for *Ti* 2*p*<sub>1/2</sub> (ref. 464.31° [299]) binding energies. The slight shift in the binding energy for the TiO<sub>2</sub> rutile phase was observed as the core level peaks are at 458.29±0.03° for *Ti* 2*p*<sub>3/2</sub> (ref. 458.46° [299]) and 463.70±0.07° *Ti* 2*p*<sub>1/2</sub> (ref. 464.23° [299]). The reason for the shift in the spin-orbit components may be caused by the oxygen vacancies (*O<sub>V</sub>S*) formed during the crystallization process, which results in breaking the weakest Ti-O bonds [300–302].



**Fig. 3.12.** *XPS* spectra of the TiO<sub>2</sub> core peak position: a) TiO<sub>2</sub> anatase phase; b) TiO<sub>2</sub> rutile phase. Chi-square ~0.99

The *O 1s* peak, which represents the Ti-O bond, gives more information on the electronic structure of TiO<sub>2</sub> phases. It is defined as the main characteristic which defines the electronic properties of TiO<sub>2</sub>. To be more specific, the concentration of oxygen vacancies (*O<sub>V</sub>S*) can be calculated by translating the *XPS* data from the *O 1s* peak. Considering the two TiO<sub>2</sub> phases, anatase tends to exhibit a higher concentration of *O<sub>V</sub>S* (a higher number of point defects) than rutile [174]. Therefore, this results in a decrease of the recombination rates as point defects introduce new electronic states in *E<sub>g</sub>*. The point defects affect the value of *E<sub>F</sub>* (*E<sub>F</sub>* = *E<sub>A</sub>*), which is the distance between

$E_C$  and the upper layer of the defective states. The Fermi energy level for the  $\text{TiO}_2$  anatase phase, based on the concentration of  $O_V$ s, is in the range of 0.4 to 1 eV, while, for the  $\text{TiO}_2$  rutile phase, it is around 1 eV [175–178, 303]. The peaks at the binding energy  $529.92 \pm 0.11^\circ$  (ref.  $530.00^\circ$  [304]) and  $531.05 \pm 0.13^\circ$  (ref.  $531.30^\circ$  [305]) are attributed to the lattice oxygen ( $O_L$ ) and non-lattice oxygen (oxygen vacancies) ( $O_V$ ) for the  $\text{TiO}_2$  anatase phase, accordingly. For the  $\text{TiO}_2$  rutile phase, the peaks of  $O_L$  and  $O_V$  were at binding energies  $529.31 \pm 0.14^\circ$  (ref.  $530.50^\circ$ ) [304] and  $531.44 \pm 0.05^\circ$  (ref.  $531.30^\circ$ ) [305], accordingly.  $O_A$  here represents the adsorbed oxygen (Fig. 3.13). According to the measurements, the calculated values of  $\Delta\text{Ti-O}$  (the difference between  $\text{Ti } 2p_{3/2}$  and  $\text{O } 1s$ ) are 71.06 eV (ref.  $\sim 71.40$  eV [304]) (for the  $\text{TiO}_2$  anatase phase) and 71.02 eV (ref. 71.60 eV [304]) (for the  $\text{TiO}_2$  rutile phase).

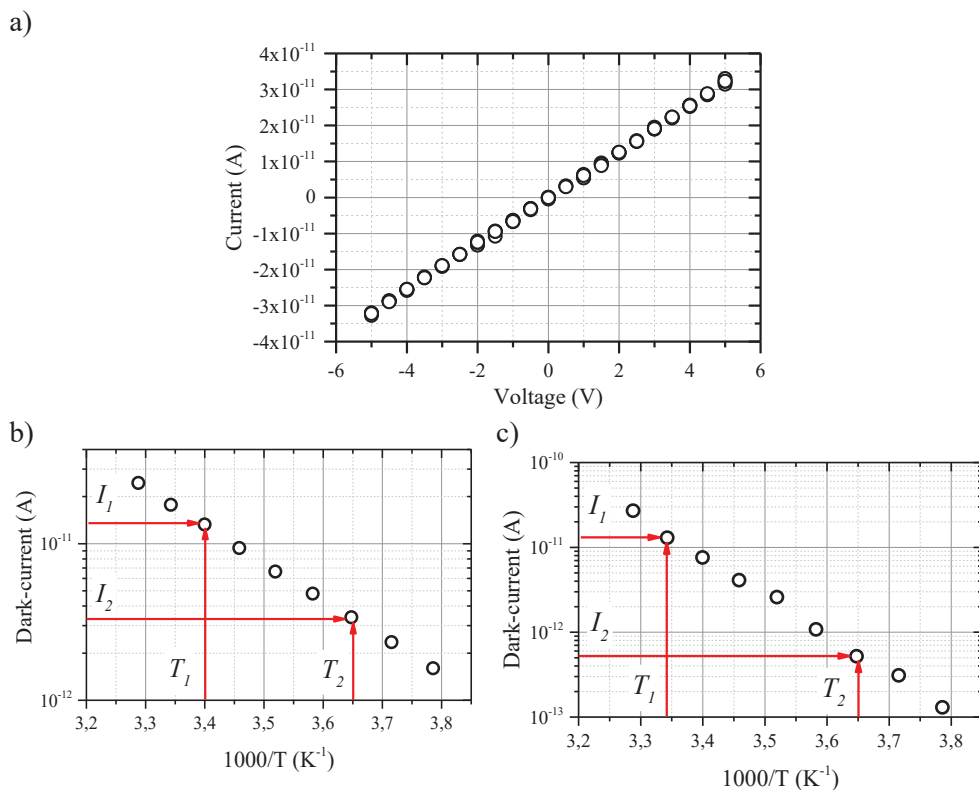


**Fig. 3.13.** XPS spectra of the O core peak ( $O 1s$ ) position: a)  $\text{TiO}_2$  anatase phase; b)  $\text{TiO}_2$  rutile phase

Even though it was complicated to distinguish  $\text{Ti}^{3+} 2p_{3/2}$  (ref.  $457.30\text{--}457.80^\circ$ ) [299, 304] and  $\text{Ti}^{3+} 2p_{1/2}$  (ref.  $460.40^\circ$ ) [305] from the  $\text{Ti } 2p$  scan, the  $O 1s$  scan (Fig. 3.13) gives a reasonable approximation on the concentration of  $O_V$  in the  $\text{TiO}_2$  anatase and rutile phases. Based on the calculated parameters (area and  $FWHM$ ) of the  $O_V$  peaks (Fig. 3.13), it shows that the  $\text{TiO}_2$  anatase phase has a higher concentration of non-lattice oxygen compared to the  $\text{TiO}_2$  rutile phase. This is in agreement with the previous statement that the  $\text{TiO}_2$  anatase phase offers a better photocatalytic efficiency compared to the rutile phase based on the point defects appearing in the crystal structure in the course of the crystallization process.

### Electrical properties

In order to estimate the  $E_A$  of  $\text{TiO}_2$  thin films, the dark-current dependence on the reciprocal temperature was measured (**Fig. 3.14 (b and c)**). The coplanar gold electrode was deposited on the surface of the  $\text{TiO}_2$  thin film and connected to an external power source by connecting a Cu wire with Ag paste to an Au electrode. To ensure the accuracy of the dark-current measurement, the contact between the coplanar electrode and the  $\text{TiO}_2$  thin film must exhibit the ohmic behavior. Therefore, I-V characteristics were measured (**Fig. 3.14 (a)**). The current was measured in the lateral direction. Thus, the current values (even under a high applied voltage) were in the picoampere range. The estimated values of  $E_A$  for the  $\text{TiO}_2$  anatase phase are  $E_A = 0.472$  eV, and, for the rutile phase, they are  $E_A = 0.902$  eV. This falls within the range of the reported values for both  $\text{TiO}_2$  anatase (with an average of 0.4 eV) and rutile (with an average of 0.8 eV) phases [175–178, 303].



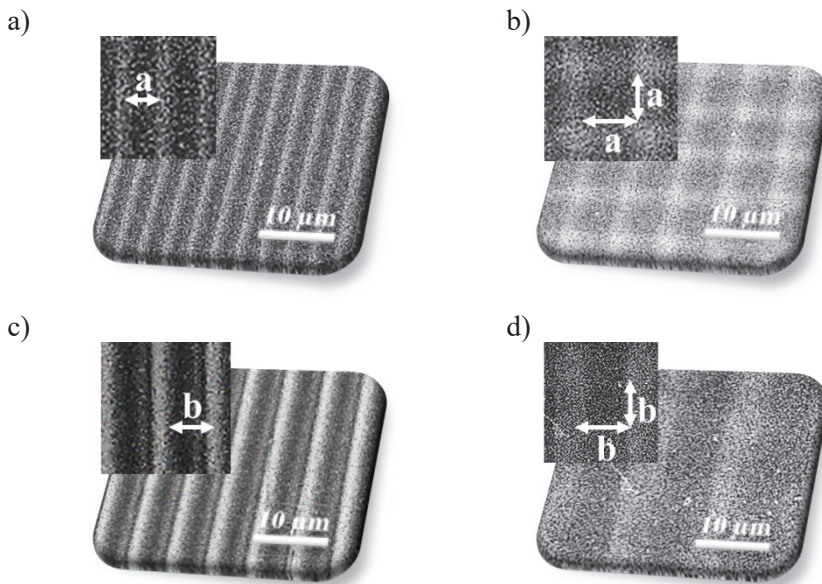
**Fig. 3.14.** The I-V characteristics between Au coplanar electrode and  $\text{TiO}_2$  (deposited by the sol-gel spin-coating technique) (a) and semi-log plot of the dark-current dependence on reciprocal temperature for the estimation of activation energy ( $E_A$ ): b)  $\text{TiO}_2$  anatase phase; c)  $\text{TiO}_2$  rutile phase

The point defects in  $\text{TiO}_2$  dictate the value of  $E_A$ ; for that reason,  $E_A$  may slightly differ in the deeper layers of the thin film. Moreover, when considering the Si

diffusion into the  $\text{TiO}_2$  lattice and that point defects are mainly  $O_{VS}$  and  $\text{Ti}^{3+}$ ,  $E_A$  may also be different at the  $\text{TiO}_2$  and Si heterojunction interface [306–308].

### Photocatalytic properties

Since the photodegradation of a solution happens mainly on the surface of a photocatalyst, surface area modification is one of the ways to consider for improving efficiency. The deposition of a- $\text{TiO}_2$  was done on the patterned structure to increase the surface area. At first, a patterned structure was made by the photolithography process on a glass substrate followed by the *RMS* deposition of a- $\text{TiO}_2$  (without additional annealing). Preparing a crystalline structure  $\text{TiO}_2$  is difficult to this particular matter, whereas a photoresist (with which a patterned structure was made) may get damaged during the annealing process. Therefore, the surface area may get uncontrollably changed. Moreover, thin films deposited by the *RMS* technique repeat the structure of the substrate. Therefore, such deposition techniques as sol-gel spin-coating would not be a viable choice. A visual representation of the prepared structures is shown in **Fig. 3.15**.

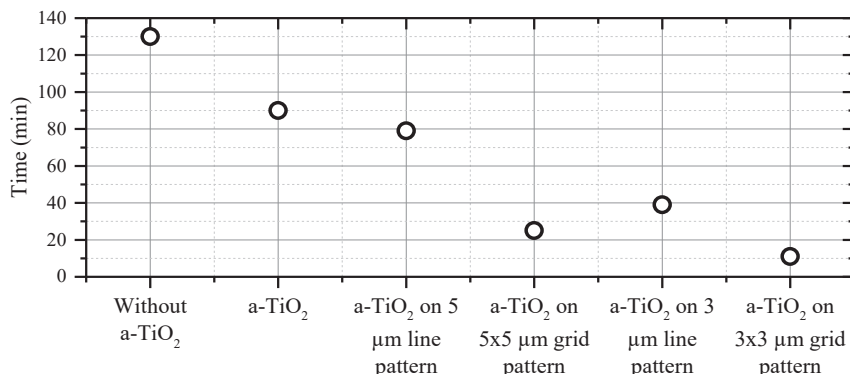


**Fig. 3.15.** SEM images of a) line pattern and b) grid pattern with a period of  $\sim 3 \mu\text{m}$ ; c) line pattern and d) grid pattern with a period of  $\sim 5 \mu\text{m}$ . ‘a’ and ‘b’ markings in the picture measure  $\sim 3$  and  $\sim 5 \mu\text{m}$ , respectively) [186]

The photocatalytic efficiency directly depends on the surface area of the prepared photocatalyst [309].  $\text{TiO}_2$  thin films deposited through a mesh showed a higher efficiency compared to plain  $\text{TiO}_2$ . Moreover, thin films deposited through a mesh with a smaller aperture achieved the highest photocatalytic efficiency [310]. Thus, it was expected that a patterned structure with a smaller period might result in



a similar way. The data from the photodegradation measurements is shown in Fig. 3.16.



**Fig. 3.16.** Time required for methylene blue to reach 50% decolorization under *UV* irradiation with and without photocatalyst [186]

The photodegradation of methylene blue shows that the highest photocatalytic efficiency is reached when using a-TiO<sub>2</sub> deposited on the grid pattern with a period of 3 μm (50% decolorization was reached in 11 min). In comparison, the same 50% decolorization level was reached in 25 min when using a-TiO<sub>2</sub> deposited on the grid pattern with a period of 5 μm. For a-TiO<sub>2</sub> deposited on the glass substrate (without a pattern) the 50% decolorization level of methylene blue was reached only after 90 min. Additionally, the data shows that the grid pattern is more efficient compared to the line pattern. a-TiO<sub>2</sub> deposited on a ~3 μm grid pattern shows a 3-time higher photocatalytic efficiency over a-TiO<sub>2</sub> deposited on a ~3 μm line pattern. This suggests an alternative method for enhancing the TiO<sub>2</sub> photocatalytic efficiency. Considering the TiO<sub>2</sub> deposition on heat-sensitive substrates, a study on how the deposition parameters affect the crystalline structure has already been carried out previously. Thus, the TiO<sub>2</sub> anatase or TiO<sub>2</sub> rutile phase deposition on a heat-sensitive patterned structure could be done without additional annealing.

In addition, the substrate on which TiO<sub>2</sub> has been deposited could directly or indirectly affect the photocatalytic efficiency [261]. J. Yu *et al.* reached a conclusion that TiO<sub>2</sub> thin films deposited on fused quartz achieved a higher apparent rate constant compared to TiO<sub>2</sub> deposited on soda-lime glass [261]. This was explained by sodium and calcium diffusion into the TiO<sub>2</sub> lattice, which resulted in increased recombination rates of the photogenerated charge carriers by forming additional recombination centres. Moreover, their study shows that the thickness of TiO<sub>2</sub> thin films also directly affects the photocatalytic efficiency, and this is attributed to the increased surface area and number of active centres [261]. Moreover, the surface microstructure of a substrate affects the growth kinetics and morphology of TiO<sub>2</sub> during the deposition process. Therefore, the presently discussed property of a substrate would have an indirect effect on the photocatalytic efficiency. On the other hand, depositing an *n*-type semiconductor on a *p*-type semiconductor would create an internal electric field, which would increase the probability of the charge carrier separation, and this directly

affects the photocatalytic efficiency [311]. Nevertheless, the morphology of TiO<sub>2</sub> has a direct effect on the photocatalytic efficiency [258]. As T. D. Nguyen-Phan *et al.* highlighted, the highest apparent rate constant was reached with the mixed-phase TiO<sub>2</sub>. Their study shows that the apparent rate constant increases with the increased wt.% of the rutile phase in TiO<sub>2</sub> thin films and reaches the peak value with 94.8 wt.%. The reason behind this is explained by the charge carrier separation at the interface of the TiO<sub>2</sub> anatase and rutile phases.

Given these points, TiO<sub>2</sub> thin films can be characterized as transparent in the visible light region, and it slightly differs depending on the deposition technique, which affects the thin films morphology. TiO<sub>2</sub> deposited by the sol-gel spin-coating technique achieved higher transparency compared to TiO<sub>2</sub> deposited by *RMS*. Additionally, the difference in the density of deposited thin films is undeniable; it can be elaborated from the surface images taken by *FE-SEM*. Furthermore, the optical energy bandgap has a declining exponential dependence on the thickness of TiO<sub>2</sub> thin films (**Fig. 3.10**). In terms of the optical energy bandgap of TiO<sub>2</sub> thin films deposited by the *RMS* technique (~3.65 to 3.75 eV with a thickness of ~200 nm), the latter may rather depend on the thickness than on the morphology. This suggests that, at first, the thickness of the deposited TiO<sub>2</sub> thin films should be optimized in order to achieve a lower optical energy bandgap.

Moreover, the analysis of crystallization shows that the TiO<sub>2</sub> anatase-to-rutile or amorphous-to-anatase-to-rutile phase transition depends on the substrate material. The average annealing temperature for the TiO<sub>2</sub> anatase-to-rutile phase transition to occur is around 600 to 800 °C [161–163]. In our study, the TiO<sub>2</sub> anatase-to-rutile transition begins at ~850 °C (for TiO<sub>2</sub> deposited from STS-21 hydrosol) and ~500 °C to 1000 °C (for TiO<sub>2</sub> deposited by *RMS*), based on the substrate material. Such phenomena are attributed to the Si diffusion into the TiO<sub>2</sub> lattice, as E. Blanco *et al.* suggested [192]. It should also be considered that the substrate material could also contribute (either positively or negatively) to the photocatalytic efficiency of TiO<sub>2</sub> [261]. Nevertheless, the study of growth kinetics based on the deposition parameters shows that crystal phase TiO<sub>2</sub> can be deposited without additional annealing. Here, the control of the gas flow rate and the control of the partial oxygen pressure and the total pressure ratio result in different growth kinetics. This is attributed to the density of gas particles and their probability to collide with each other, which would result in acceleration [282]. The formation of the TiO<sub>2</sub> rutile phase is preferable at low  $p_{tot}$  and room temperature, as the low kinetic energy of Ti atoms is manifested, which results in the structure densification, whereas it is conversely for the TiO<sub>2</sub> anatase phase [161]. Also, based on the calculated parameters (the area and *FWHM*) of the *O<sub>V</sub>* peaks (**Fig. 3.13**), it shows that the TiO<sub>2</sub> anatase phase is denoted by a higher concentration of non-lattice oxygen, compared to the TiO<sub>2</sub> rutile phase. This is in agreement with the previous statement that the TiO<sub>2</sub> anatase phase exhibits a better photocatalytic efficiency compared to the rutile phase; this assumption is based on the point defects appearing in the crystal structure during the crystallization process.

### 3.1.5. Summary of the Results

To conclude, differences between  $\text{TiO}_2$  deposited by the *RMS* and sol-gel spin-coating techniques are evident.  $\text{TiO}_2$  thin films deposited by the *RMS* technique feature a denser structure compared to the ones deposited from STS-21 hydrosol by the spin-coating technique as shown by the surface images captured by *FE-SEM*. The densification of the structure occurs at high annealing temperatures for  $\text{TiO}_2$  deposited from STS-21 hydrosol, which results in a larger grain size and a lower thickness level. Whereas, for  $\text{TiO}_2$  deposited by *RMS* and annealed at high temperatures, the grain boundaries become more visible with an increased temperature. This may be caused by the stress induced during the annealing process. Considering photocatalytic applications, it can be assumed that the photocatalytic efficiency depends on the surface area of  $\text{TiO}_2$  thin films. As seen from the obtained results, a higher surface area leads to a higher photocatalytic efficiency.

### 3.2. Modifications of $\text{TiO}_2$

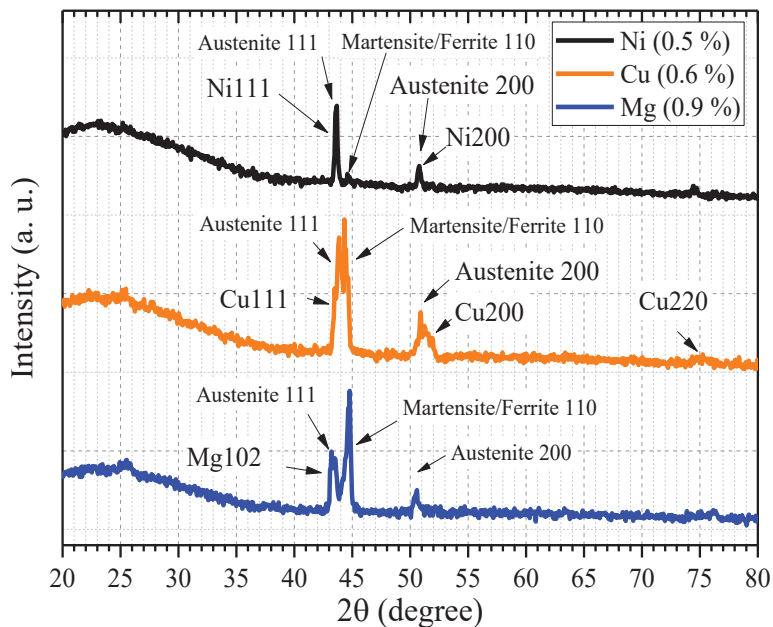
As elaborated in the previous section,  $\text{TiO}_2$ , as a photocatalyst, can be modified by various methods to increase the photocatalytic efficiency by modifying  $E_g$ , the microstructure or the surface, or by increasing sensitivity under visible light irradiation.

#### 3.2.1. Modifications Based on Doping a- $\text{TiO}_2$

Hence, the electronic structure of amorphous  $\text{TiO}_2$  (a- $\text{TiO}_2$ ) is somewhat similar to crystalline  $\text{TiO}_2$ ; thus it is considered a viable alternative [209]. Therefore, a modification of a- $\text{TiO}_2$  was made by doping it with Mg, Cu, and Ni nanoclusters (*NC*). In this particular case, a- $\text{TiO}_2$  was deposited on stainless steel alloy (Alloy 304L). The deposition was done by the *RMS* technique, and various dopant concentrations in a- $\text{TiO}_2$  were achieved by controlling the shutter of the magnetron (of a specific dopant). The thickness of the doped a- $\text{TiO}_2$  thin films was  $\sim 100$  nm. Since the *XRD* technique is not able to detect such low concentrations of dopants, the concentration was measured by the *XPS* technique (**Fig. 3.18**). Moreover, *XRD* spectra do not change much with an increased dopant concentration as the substrate peaks overlap with dopants (**Fig. 3.17**), and only spectra of a- $\text{TiO}_2/\text{MgNC}$  (0.9 wt.%); a- $\text{TiO}_2/\text{CuNC}$  (0.6 wt.%), and a- $\text{TiO}_2/\text{NiNC}$  (0.5 wt.%) are shown below.

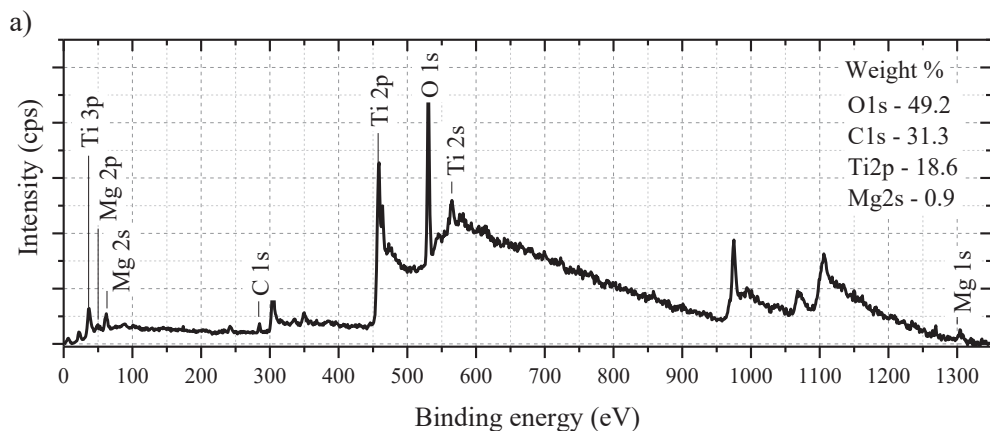
The corresponding peak for the Mg {102}[312] facet overlaps with Austenite {111} (Alloy 304L) at  $43.45^\circ$ , and additional peaks of Martensite/Ferrite {110} at  $44.60^\circ$  and Austenite {200} at  $50.58^\circ$  were detected [313, 314]. The peaks of Alloy 304L were observed at a- $\text{TiO}_2/\text{Cu}$  (0.6 wt.%) spectra where the peaks overlap; it was observed similarly to the a- $\text{TiO}_2/\text{Mg}$  (0.9 wt.%) scan. Cu {111} at  $43.54^\circ$ , Cu {200} at  $51.75^\circ$  and low intensity Cu {220} at  $75.12^\circ$  were detected [315]. The *XRD* scan of a- $\text{TiO}_2/\text{Ni}$  (0.5 %) shows Ni {111} and Ni {200} peaks at  $43.65^\circ$  and  $50.79^\circ$ , respectively [312, 314]. Alloy 304L contains up to 12% Ni [316], thus, lower intensity peaks of Alloy 304L were detected in the a- $\text{TiO}_2/\text{NiNC}$  (0.5 wt.%) scan. Further analysis would be required in order to analyze a significant decrease of the

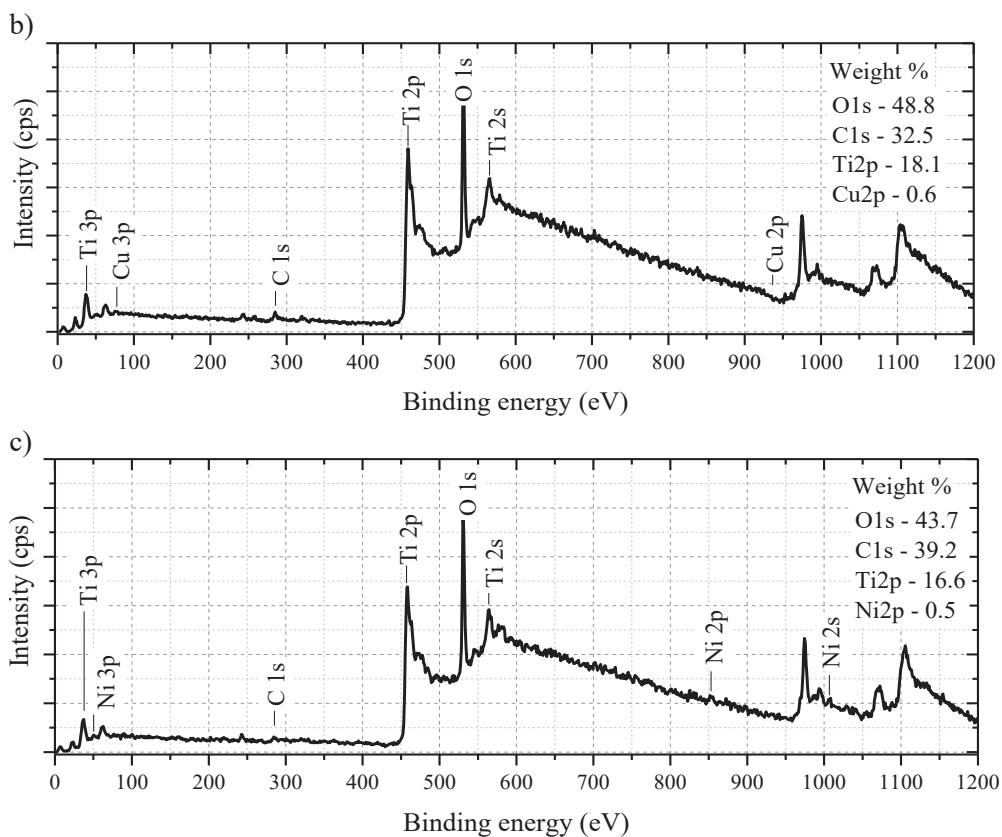
Martensite/Ferrite {110} peak in a-TiO<sub>2</sub>/NiNC. However, the substrate has no effect on the photocatalytic efficiency itself, thus no further analysis of this aspect was done.



**Fig. 3.17.** XRD spectra of a-TiO<sub>2</sub> thin films doped with Mg, Cu, Ni NC. Stainless steel (Alloy 304L) was used as a substrate

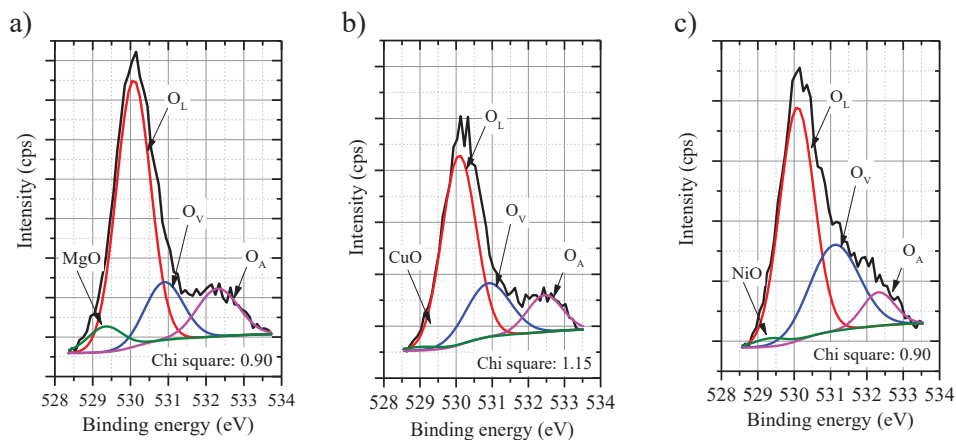
XPS analysis was done for the presently discussed samples. From the wide scan of a-TiO<sub>2</sub>/MgNC (**Fig. 3.18 (a)**), Mg 1s peak is at 1303.97 eV (ref. 1303 eV for metal Mg and 1304.5 eV for native oxide [317]). For Ni-doped a-TiO<sub>2</sub>, Ni 2p peak is at 853.09 eV (ref. metal Ni at 852.60 eV, NiO at 853.7 eV [317]) (**Fig. 3.18 (c)**). It is difficult to distinguish the Cu and CuO peaks from the XPS spectra of TiO<sub>2</sub>/CuNC, as these peaks are at the same binding energy of 933 eV (**Fig. 3.18 (b)**).



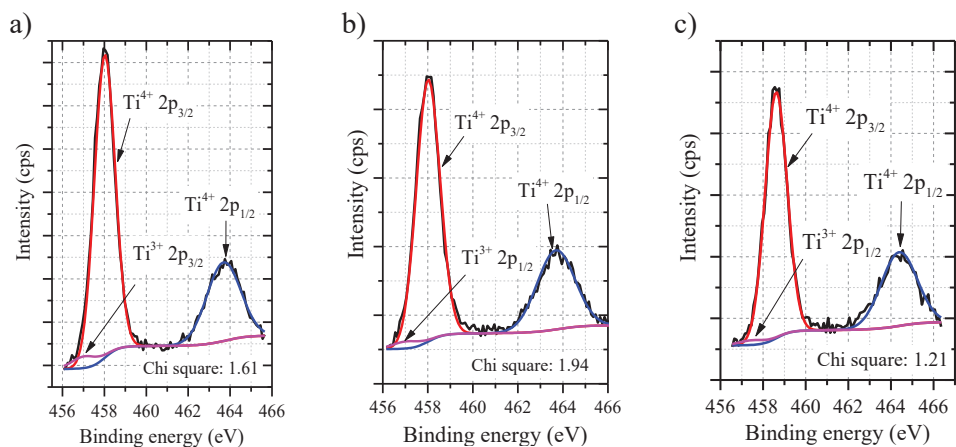


**Fig. 3.18.** XPS spectra (wide scan) of a-TiO<sub>2</sub>/MgNC (a); a-TiO<sub>2</sub>/CuNC (b); a-TiO<sub>2</sub>/NiNC (c)

Because of the low concentration of a dopant in a-TiO<sub>2</sub>, it is difficult to state whether metal oxides formed in the lattice. Thus, narrow scans were done in order to analyze the structure more precisely. For metal oxides, O 1s is in a range of 529–530 eV. This was observed from the narrow scans of the O 1s peak, where low intensity peaks of metal oxides were detected: MgO – 529.34 eV (ref. 529.4 eV [318]), CuO – 529.08 eV (ref. 529.5–529.8 eV [319]) and NiO – 529.34 eV (ref. 529.8 eV [320]). The dopants create point defects in the TiO<sub>2</sub> lattice, which was observed in the narrow scan of Ti 2p: for a-TiO<sub>2</sub>/MgNC, Ti<sup>3+</sup> 2p<sub>3/2</sub> was detected at 457.05 eV; a-TiO<sub>2</sub>/CuNC at 457.05 eV; a-TiO<sub>2</sub>/NiNC at 457.40 eV (ref. 457.3 eV [299]). However, the formation of Ti<sup>3+</sup> could also be associated with the deposition of a-TiO<sub>2</sub> [305], thus negating the effect of the dopant. Even though the results are debatable, according to the deposition parameters, where the gas ratio of 80/20 for Ar/O<sub>2</sub> was used, it is considered that at least a low concentration of the deposited dopants was oxidized. The full data of the peak positions, *FWHM*, and the area in % is shown in **Table 3.2**.



**Fig. 3.19.** XPS spectra (narrow scan) of O 1s peak: a) a-TiO<sub>2</sub>/MgNC; b) a-TiO<sub>2</sub>/CuNC; c) a-TiO<sub>2</sub>/NiNC



**Fig. 3.20.** XPS spectra (narrow scan) of Ti 2p peak: a) a-TiO<sub>2</sub>/MgNC; b) a-TiO<sub>2</sub>/CuNC; c) a-TiO<sub>2</sub>/NiNC

The calculated values of  $\Delta\text{Ti-O}$  (the difference between the Ti 2p<sub>3/2</sub> (Ti<sup>4+</sup> and Ti<sup>3+</sup>) and O 1s) agree with the V. V. Atuchin *et al.*'s study [304]. As their study shows,  $\Delta\text{Ti-O}$  is higher in doped TiO<sub>2</sub>. In our study,  $\Delta\text{Ti-O}$  (Ti<sup>3+</sup>) is 73.03 eV (for TiO<sub>2</sub>/MgNC); 73.03 eV (for TiO<sub>2</sub>/CuNC) and 72.77 eV (for TiO<sub>2</sub>/NiNC) (see **Table 3.2**). Whereas, for pure TiO<sub>2</sub>, the anatase and rutile phases are 71.06 eV (ref. 71.4 eV [304]) and 71.02 eV (ref. 71.60 eV [304]), respectively. Furthermore, V. V. Atuchin *et al.* observed a slight correlation between  $\Delta\text{Ti-O}$  (Ti<sup>4+</sup> and Ti<sup>3+</sup>) and the Ti-O bond length [304]. Their study shows that Ti-O bond lengths increase with increased  $\Delta\text{Ti-O}$  (Ti<sup>3+</sup>) in doped TiO<sub>2</sub>, whereas no particular correlation was observed for  $\Delta\text{Ti-O}$  (Ti<sup>4+</sup>) and pure TiO<sub>2</sub> phases.



**Table 3.2.** Positions of core-level peaks for Ti 2p and O 1s of a-TiO<sub>2</sub>/MgNC, a-TiO<sub>2</sub>/CuNC, and a-TiO<sub>2</sub>/NiNC. *O<sub>L</sub>* – lattice oxygen (TiO<sub>2</sub>); *O<sub>V</sub>* – non-lattice oxygen (oxygen vacancies); *O<sub>A</sub>* – adsorbed oxygen (O<sub>2</sub>; O<sup>2-</sup>; O<sup>-</sup> or OH<sup>-</sup>); ΔTi-O – difference between O 1s (*O<sub>L</sub>*) and Ti 2p<sub>3/2</sub> (of Ti<sup>4+</sup> and Ti<sup>3+</sup>). *FWHM* range for metal oxides: 0.9–1.7 eV; for O 1s of metal oxides: 1.0–1.4 eV.

Compound	Chemical state	Position, BE, eV	<i>FWHM</i> , eV	Area	Chi-square	Chemical state	Position, BE, eV	<i>FWHM</i> , eV	Area	Chi-square	ΔTi-O, eV
a-TiO <sub>2</sub> /MgNC	Ti <sup>3+</sup> 2p <sub>3/2</sub>	457.05	1.41	3.15%	1.61	<i>O<sub>L</sub></i>	530.08	1.06	64.18%	0.90	73.03
	Ti <sup>4+</sup> 2p <sub>3/2</sub>	458.01	1.16	67.94%		<i>O<sub>V</sub></i>	530.89	1.19	15.63%		72.07
	Ti <sup>4+</sup> 2p <sub>1/2</sub>	463.69	1.61	28.90%		<i>O<sub>A</sub></i>	532.31	1.29	13.89%		
						MgO	529.34	1.09	6.30%		
a-TiO <sub>2</sub> /CuNC	Ti <sup>3+</sup> 2p <sub>3/2</sub>	457.05	1.41	2.02%	1.54	<i>O<sub>L</sub></i>	530.08	1.11	63.15%	1.15	73.03
	Ti <sup>4+</sup> 2p <sub>3/2</sub>	458.01	1.24	66.12%		<i>O<sub>V</sub></i>	530.89	1.43	23.06%		72.07
	Ti <sup>4+</sup> 2p <sub>1/2</sub>	463.69	1.98	31.86%		<i>O<sub>A</sub></i>	532.45	1.14	12.64%		
						CuO	529.08	1.06	1.15%		
a-TiO <sub>2</sub> /NiNC	Ti <sup>3+</sup> 2p <sub>3/2</sub>	457.40	0.69	1.47%	1.21	<i>O<sub>L</sub></i>	530.17	1.09	61.06%	1.14	72.77
	Ti <sup>4+</sup> 2p <sub>3/2</sub>	458.10	1.18	65.62%		<i>O<sub>V</sub></i>	531.15	1.19	17.93%		72.07
	Ti <sup>4+</sup> 2p <sub>1/2</sub>	463.80	1.98	32.91%		<i>O<sub>A</sub></i>	532.15	1.29	14.23%		
						NiO	529.34	0.90	6.78%		

As mentioned above, the formation of  $Ti^{3+}$  can also be associated with the formation of a-TiO<sub>2</sub>. However, according to V. V. Atuchin *et al.*, an increase in  $\Delta Ti-O$  ( $Ti^{3+}$ ), compared to pure TiO<sub>2</sub> phases, can also be attributed to the dopants. Nevertheless, XPS study of a single crystal is required for better evaluation of  $\Delta Ti-O$ .

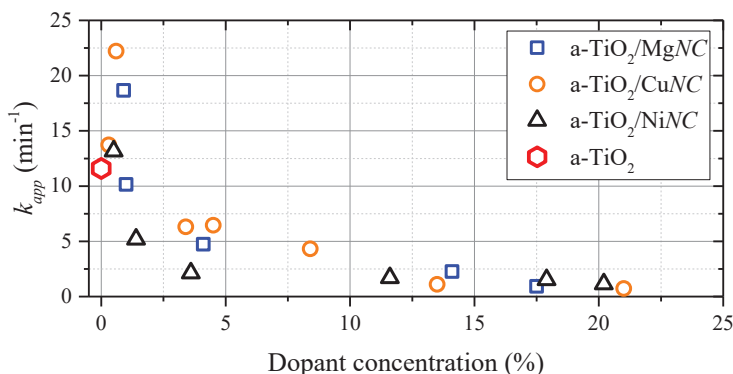
The photodegradation of oxalic acid solution under UV-C light irradiation was measured when doped a-TiO<sub>2</sub> was used as a photocatalyst. The measurement results are presented in **Table 3.3**. For comparison, the apparent rate constant for oxalic acid degradation under UV-C light irradiation without a photocatalyst was measured and calculated with a result of  $0.80 \cdot 10^{-3} \text{ min}^{-1}$ . Thus, self-degradation is considered as negligibly low to influence further measurements with a photocatalyst. The highest photodegradation efficiency was observed when using a-TiO<sub>2</sub>/CuNC (0.6 %), followed by a-TiO<sub>2</sub>/MgNC (0.9%) as the second highest, and the a-TiO<sub>2</sub>/NiNC (0.5%) as the third highest. With a further increase of Cu wt.% in TiO<sub>2</sub>, the photocatalytic efficiency is negatively affected, as the measurement data shows a significant reduction in the apparent rate constant (wt.% 0.6→3.4 resulted in  $k_{app}$  reduction from  $22.21 \rightarrow 6.31 \cdot 10^{-3} \text{ min}^{-1}$ ). A similar result was observed by decreasing the Cu concentration in TiO<sub>2</sub> to 0.3 wt.%, with a result of  $k_{app}$  reduction from 22.21 to  $13.73 \cdot 10^{-3} \text{ min}^{-1}$ . Analogous tendencies were observed for Mg and Ni: Mg wt.% 0.9→1.0,  $k_{app}$   $18.66 \rightarrow 10.15 \cdot 10^{-3} \text{ min}^{-1}$ ; Ni wt. % 0.5→1.4,  $k_{app}$   $13.17 \rightarrow 5.19 \cdot 10^{-3} \text{ min}^{-1}$ .

**Table 3.3.** Dopant concentration in a-TiO<sub>2</sub> thin films [195]

Without photocatalyst		$k_{app} = 0.80 \cdot 10^{-3} \text{ min}^{-1}$		a-TiO <sub>2</sub> (stand-alone)		$k_{app} = 11.60 \cdot 10^{-3} \text{ min}^{-1}$	
a-TiO <sub>2</sub> /MgNC		a-TiO <sub>2</sub> /CuNC		a-TiO <sub>2</sub> /NiNC			
Concentration, wt. %	$k_{app}, \text{ min}^{-1} (\cdot 10^{-3})$	Concentration, wt. %	$k_{app}, \text{ min}^{-1} (\cdot 10^{-3})$	Concentration, wt. %	$k_{app}, \text{ min}^{-1} (\cdot 10^{-3})$	Concentration, wt. %	$k_{app}, \text{ min}^{-1} (\cdot 10^{-3})$
0.9	18.66	0.3	13.73	0.5	13.17		
1.0	10.15	0.6	22.21	1.4	5.19		
4.1	4.74	3.4	6.31	3.6	2.14		
14.1	2.27	4.5	6.46	11.6	1.70		
17.5	0.92	8.4	4.32	17.9	1.52		
		13.5	1.11	20.2	1.14		
		21	0.73				

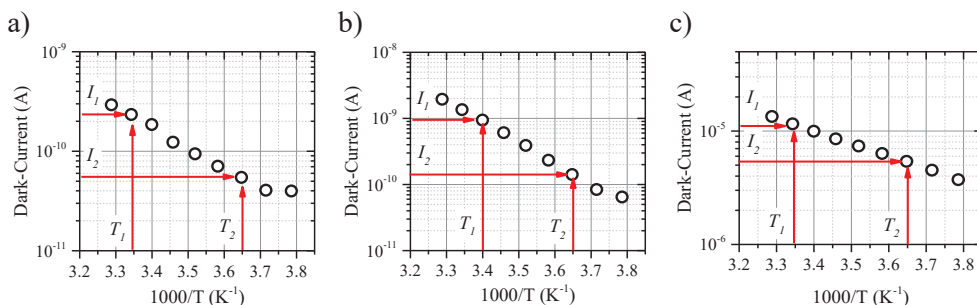
As shown by the data presented in **Fig. 3.21**, an exponential drop in  $k_{app}$  with an increased dopant concentration in a-TiO<sub>2</sub> can be observed. The highest photodegradation level was achieved when the dopant concentration was up to 1%. The dopants in a-TiO<sub>2</sub> act as charge carrier traps.

Consequently, a higher amount of dopants in TiO<sub>2</sub> increases the number of active traps, which results in a higher photocatalytic efficiency. However, a high number of active traps might result in a low distance between them, thus increasing the chance of recombination between the active traps [321–323]. However, if the concentration of the dopant in the TiO<sub>2</sub> lattice is too low, it results in a low amount of active centres. Thus, the correlation between the dopant cluster size, their concentration, and the photocatalytic efficiency requires extensive research [324].



**Fig. 3.21.** Apparent rate constant dependence on the dopant concentration in a-TiO<sub>2</sub> thin films

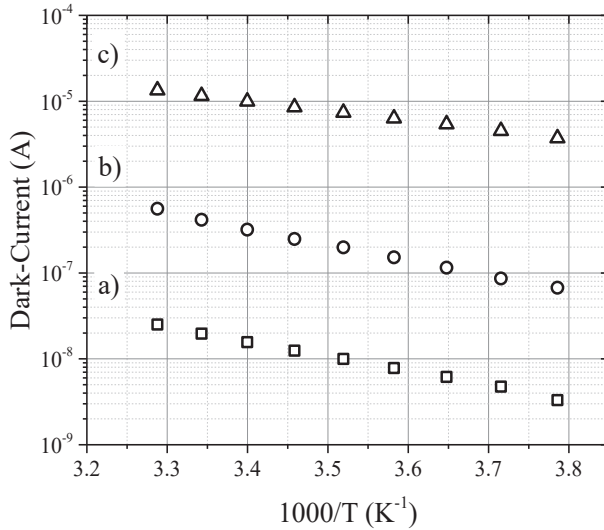
The dark current dependence on the reciprocal temperature was measured (Fig. 3.22), where TiO<sub>2</sub> was doped with a specific amount of Mg, Ni, and Cu dopants on the surface. The deposition of doped TiO<sub>2</sub> was done by the *RMS* technique, where, alongside Ti cathodes, Mg, Ni, and Cu (separately) cathodes were used for a specific time to produce a mixture. Even though in this particular case TiO<sub>2</sub> was amorphous, according to the studies, amorphous TiO<sub>2</sub> attracts similar interest alongside the anatase or rutile phase TiO<sub>2</sub> as an alternative [179, 325, 326]. Furthermore, a previous study shows that doping crystalline TiO<sub>2</sub> might lead to the amorphization of the structure [210]. Amorphous TiO<sub>2</sub> is denoted by wider  $E_g$  which is not suitable for visible light photocatalysis, but it has a similar electronic structure to the TiO<sub>2</sub> anatase phase [209]. When taking in mind the point defects, amorphous TiO<sub>2</sub> has a higher concentration of  $O_{VS}$ ; however, they appear mostly under the surface (in the deeper layers), which results in much higher  $E_g$  compared to crystalline TiO<sub>2</sub> [18]. However, it is still debatable whether amorphous or crystalline structure TiO<sub>2</sub> is a better candidate for photocatalytic application, and this field of study requires further investigation [209, 326, 327].



**Fig. 3.22.** Semi-log plot of the dark-current dependence on reciprocal temperature: a) TiO<sub>2</sub>/Mg (10 nm); b) TiO<sub>2</sub>/Ni (10 nm); c) TiO<sub>2</sub>/Cu (10 nm)

According to the dark-current dependence on the reciprocal temperature, the estimated  $E_A$  value for TiO<sub>2</sub>/Mg (10 nm) is 0.60 eV, for TiO<sub>2</sub>/Ni (10 nm) –  $E_A = 0.64$

eV, and, for TiO<sub>2</sub>/Cu (10 nm),  $E_A = 0.23$  eV. As the dark-current measurement is set on the surface of the sample, and by considering that doping was done for the surface levels of TiO<sub>2</sub>, it is a good approximation as to how dopants would affect the  $E_A$  in the deeper layers of TiO<sub>2</sub>. When comparing the calculated values, only TiO<sub>2</sub>/Cu (10 nm) shows a positive change in the activation energy, as  $E_A$  is  $\sim 0.24$  eV lower than the pure TiO<sub>2</sub> anatase phase.



**Fig. 3.23.** Semi-log plot of the dark-current dependence on reciprocal temperature: a) TiO<sub>2</sub>/Cu (<5 nm); b) TiO<sub>2</sub>/Cu (5 nm); c) TiO<sub>2</sub>/Cu (10 nm)

Additionally, the effect of how the concentration of Cu in the TiO<sub>2</sub> surface lattice changes  $E_A$  was analyzed (Fig. 3.23). The calculated values for TiO<sub>2</sub>/Cu (<5 nm), TiO<sub>2</sub>/Cu (5 nm) and TiO<sub>2</sub>/Cu (10nm) were  $E_A = 0.37$ , 0.34 and 0.23 eV, respectively. Taking into account that the dopant concentration is somewhat equal to the concentration of the point defects, a higher number of the latter leads to a higher photocatalytic efficiency. On the contrary, too many point defects can also lead to a decrease in efficiency, as the distance between the point defects becomes smaller, and the recombination process occurs [324].

Considering the dopant material, the outcome of the measurements can be attributed to the energy band alignment between a-TiO<sub>2</sub> and Mg, Cu, Ni NC. Specifically, doping a-TiO<sub>2</sub> with a small amount of Mg creates shallow traps of electrons and holes in the a-TiO<sub>2</sub> energy bandgap [328]. Thus, the recombination rates of charge carriers get reduced. Additionally, Mg dopants on the surface of a-TiO<sub>2</sub> can act as an electron donor, thus affecting the surface charge properties, which results in an increased photodegradation process near the surface [329]. Likewise, CuO forms on the surface of a-TiO<sub>2</sub> when doping it with Cu. It is considered that this might decrease the photocatalytic efficiency as the number of the active centres diminishes [330]. However, a small amount of dopants may result in high dispersion of CuO throughout the surface, thus decreasing the charge carrier recombination rates [331,

332]. Similarly, doping a-TiO<sub>2</sub> with Ni causes an increase or decrease (based on the concentration of Ni) of the active centres in TiO<sub>2</sub> [333]. The Ni dopant separates and transfers the charge carries, thus acting as a co-catalyst during the process, which results in the decrease of the charge carriers' recombination rates [334].

**Table 3.4.** Work function of a-TiO<sub>2</sub>, Mg, Cu, Ni [335–337] and barrier height at a-TiO<sub>2</sub>/Mg; a-TiO<sub>2</sub>/Cu, and a-TiO<sub>2</sub>/Ni heterojunction interface

	a-TiO <sub>2</sub>	Mg	$\Phi_{TiO_2} - \Phi_{Mg}$	Cu	$\Phi_{TiO_2} - \Phi_{Cu}$	Ni	$\Phi_{TiO_2} - \Phi_{Ni}$
Work function ( $\Phi$ , eV)	4.4	3.66	-0.74	4.53 – 5.10	0.13–0.50	5.04 – 5.35	0.64–0.82

The work function of a-TiO<sub>2</sub> and dopants is presented in **Table 3.4**. In terms of the barrier height (the difference between the work functions) at the a-TiO<sub>2</sub> and Me (Mg, Cu, Ni) heterojunction, the amount of energy which is required for an electron to overcome it is the lowest for a-TiO<sub>2</sub>/MgNC, followed by a-TiO<sub>2</sub>/CuNC and a-TiO<sub>2</sub>/NiNC. In this case, the electron flow is possible without any barrier in the direction of MgNC to TiO<sub>2</sub>, whereas, for CuNC and NiNC, a potential barrier is formed. Based on this, Mg doped TiO<sub>2</sub> should achieve a higher photocatalytic efficiency. However, according to the XPS data, a higher amount of Mg dopants was oxidized. This leads to a lower concentration of O<sub>s</sub>, compared to CuNC. Thus, a simple evaluation of the energy band alignment between the two materials and XPS measurements could suggest the correct outcome of the practical measurements.

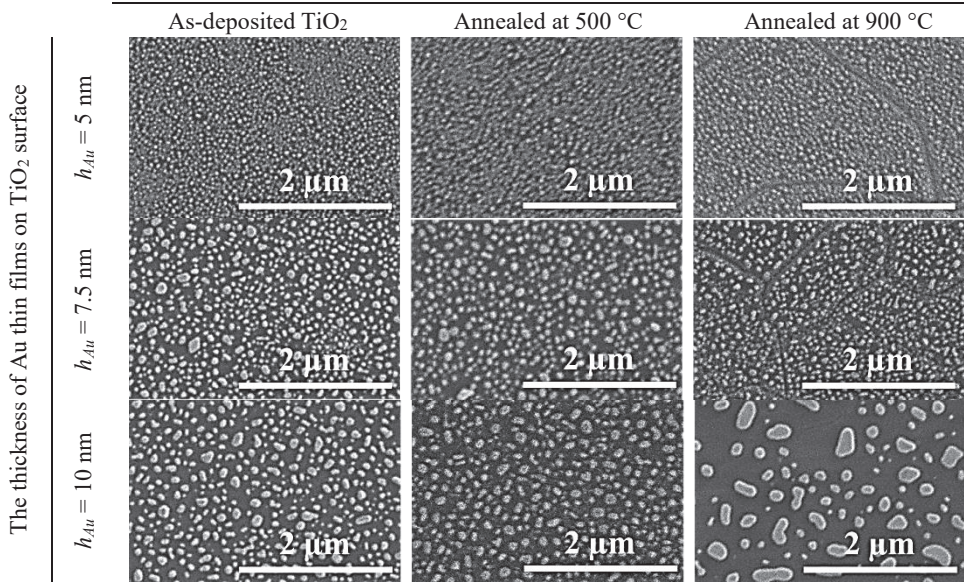
Granted that, the gathered data on the photodegradation of oxalic acid suggests that the optimal dopant concentration in TiO<sub>2</sub> should be less than 1 wt.% to enhance the photocatalytic efficiency. Despite that, the energy band alignment (the barrier height at the metal-semiconductor heterojunction interface) might yield a relatively accurate assumption of how the dopant might affect the photocatalytic efficiency of TiO<sub>2</sub>.

### 3.2.2. Modifications Based on AuNP Formation on the Surface of TiO<sub>2</sub>

The formation of Au clusters on the top of TiO<sub>2</sub> thin films was done by the solid-state dewetting (SSD) process. The deposited TiO<sub>2</sub> thin films (on fused quartz substrate) were annealed at 500 °C and 900 °C temperatures, and as-deposited TiO<sub>2</sub> was used as well for AuNP formation on the surface.

The SSD process occurs when a solid-state thin film is heated (annealed at a specific temperature). The dewetting process depends on the initial thin film thickness and the annealing temperature [338]. Such a dependency can be observed from the below presented images in **Fig. 3.24**, where the formation of AuNP during the SSD process occurred. The initial thicknesses of Au ( $h_{Au}$ ) thin films (before the SSD process) were 5, 7.5, and 10 nm. Moreover, the dependency of the initial annealing temperature of TiO<sub>2</sub> thin films (before Au thin film deposition) can be observed as well. Therefore, it may be suggested that SSD depends on the surface morphology.

Annealing temperature of TiO<sub>2</sub> thin films



**Fig. 3.24.** FE-SEM images of TiO<sub>2</sub>/AuNP surface annealed at 500 °C

**Table 3.5.** AuNP characteristics on the surface of TiO<sub>2</sub> thin films [200]

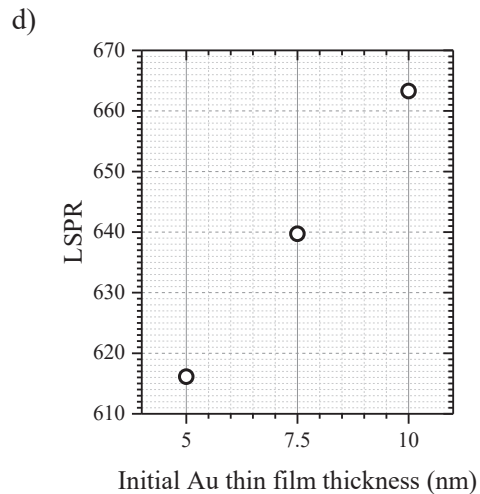
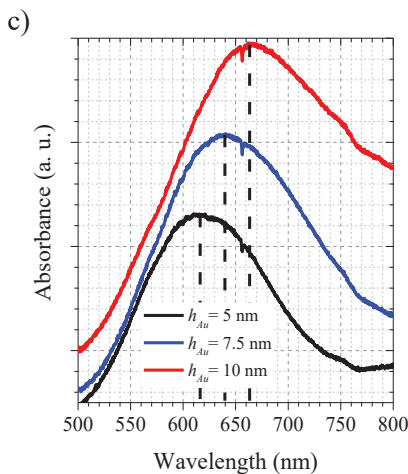
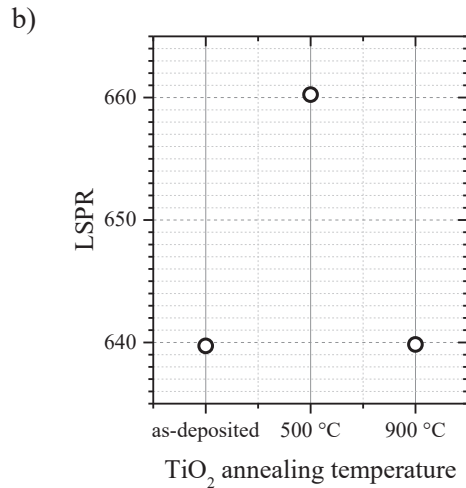
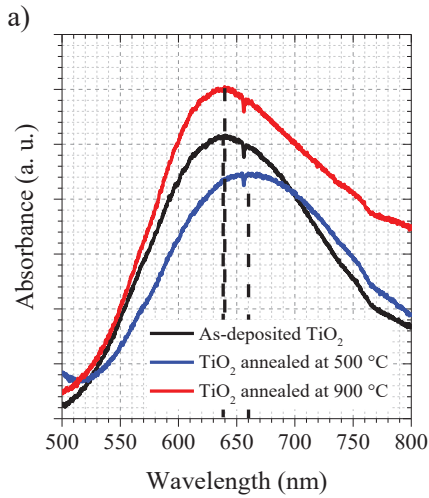
Sample structure	Surface density <sup>8</sup> , $\mu\text{m}^{-2}$	Average diameter of AuNP, nm	Circularity	Distance between AuNPs, nm
As-deposited TiO <sub>2</sub> /AuNP ( $h_{Au} = 5$ nm)	316.4±0.1	33.3±0.1	0.91±0.01	11.9±0.1
Annealed at 500 °C TiO <sub>2</sub> /AuNP ( $h_{Au} = 5$ nm)	258.8±0.1	37.2±0.1	0.89±0.01	13.7±0.1
Annealed at 900 °C TiO <sub>2</sub> /AuNP ( $h_{Au} = 5$ nm)	245.6±0.1	38.1±0.1	0.89±0.01	13.7±0.1
As-deposited TiO <sub>2</sub> /AuNP ( $h_{Au} = 7.5$ nm)	218.1±0.1	40.1±0.1	0.90±0.01	14.7±0.1
Annealed at 500 °C TiO <sub>2</sub> /AuNP ( $h_{Au} = 7.5$ nm)	129.5±0.1	54.4±0.1	0.85±0.01	16.5±0.1
Annealed at 900 °C TiO <sub>2</sub> /AuNP ( $h_{Au} = 7.5$ nm)	113.4±0.1	51.1±0.1	0.77±0.01	22.3±0.1
As-deposited TiO <sub>2</sub> /AuNP ( $h_{Au} = 10$ nm)	82.8±0.1	59.8±0.1	0.85±0.01	27.4±0.1
Annealed at 500 °C TiO <sub>2</sub> /AuNP ( $h_{Au} = 10$ nm)	114.9±0.1	52.8±0.1	0.86±0.01	19.3±0.1
Annealed at 900 °C TiO <sub>2</sub> /AuNP ( $h_{Au} = 10$ nm)	33.2±0.1	86.8±0.1	0.80±0.01	45.6±0.1

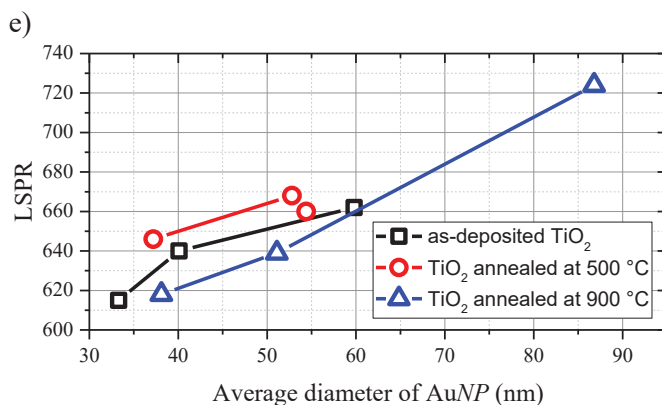
The characteristics of AuNP and its distribution data is shown in **Table 3.5**. The density of AuNP decreases from  $\sim 316.4 \mu\text{m}^{-2}$  to  $\sim 82.8 \mu\text{m}^{-2}$  with the initial thickness of Au thin films being increased from 5 up to 10 nm. A similar dependency was

<sup>8</sup> Quantity of nanoparticles per unit area



observed by annealing TiO<sub>2</sub> thin films at higher temperatures. The density of AuNP (with an initial thickness of 5 nm) decreased from ~316.4 to 245.8 μm<sup>-2</sup>, when comparing as-deposited TiO<sub>2</sub> and TiO<sub>2</sub> annealed at 900 °C. Analogically, a similar change in the characteristics was observed for Au with an initial thickness of 7.5 nm. However, a different tendency is observed for Au with an initial thickness of 10 nm. The increase in density (up to 114.9 μm<sup>-2</sup>) was observed when AuNP was formed on TiO<sub>2</sub> annealed at 500 °C, compared to 82.8 μm<sup>-2</sup> (for as-deposited TiO<sub>2</sub>) and a decrease in density (down to 33.2 μm<sup>-2</sup>) with a further increase in the annealing temperature. The SSD process on amorphous TiO<sub>2</sub> is affected by the additional crystallization of a-TiO<sub>2</sub> [339]. This can also be attributed to the dewetting of Au on the crystalline structure, as high temperatures affect the TiO<sub>2</sub> microstructure.

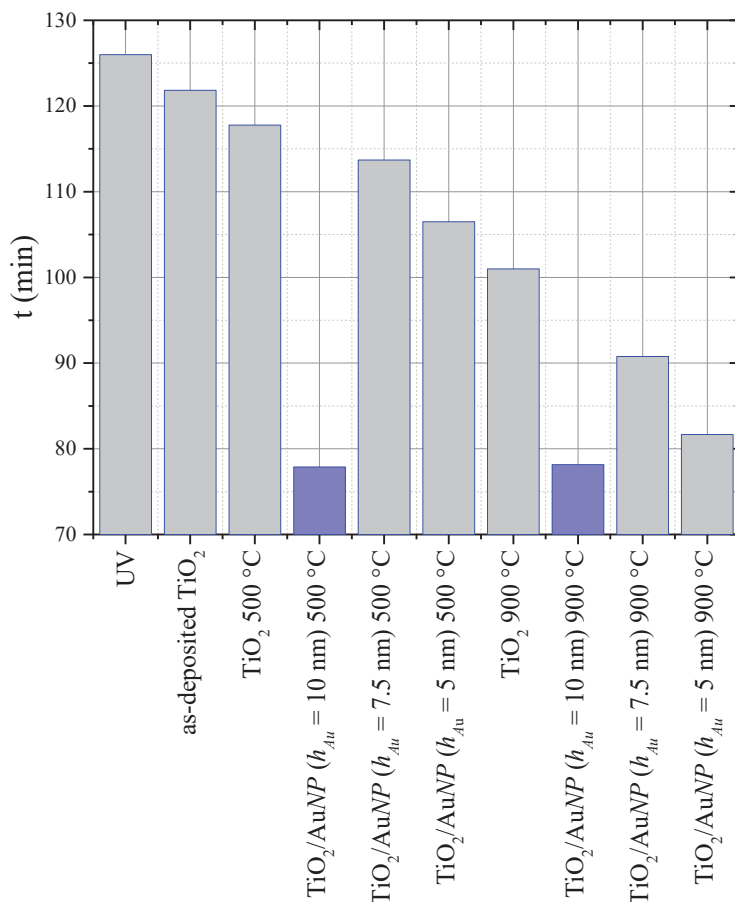




**Fig. 3.25.** Absorbance spectra of TiO<sub>2</sub>/AuNP (with an initial thickness of 7.5 nm) thin films annealed at different temperatures (a), LSPR dependence on TiO<sub>2</sub> annealing temperature (b), absorbance spectra of (as-deposited) TiO<sub>2</sub>/AuNP with a different initial thickness of Au thin films, (d) LSPR dependence on the initial thickness of Au thin films on TiO<sub>2</sub> surface, and (e) LSPR dependence on the average diameter of AuNP [200]

According to **Fig. 3.25**, the *LSPR* (localized surface plasmon resonance) of TiO<sub>2</sub>/AuNP ( $h_{\text{Au}} = 7.5$  nm) shifts to a lower wavelength with an increased annealing temperature of TiO<sub>2</sub> (with as-deposited TiO<sub>2</sub> standing out). Thus, a shift in *LSPR* can be attributed to the increased crystallite size of TiO<sub>2</sub>. Additionally, a shift to higher wavelengths was observed with an increased initial thickness of Au thin films on the TiO<sub>2</sub> surface. Depositing a thicker Au thin film results in larger clusters during the *SSD* process, thus extending *LSPR* to larger wavelengths (**Fig. 3.25** (e)) [339].

The photodegradation of Rhodamine B (RhB) was measured under *UV* light illumination by using prepared TiO<sub>2</sub> and TiO<sub>2</sub>/AuNP samples as photocatalysts (**Fig. 3.26**). The results show the time required for RhB to reach 50% decolorization. Even though the photodegradation of RhB (without a photocatalyst) under *UV* irradiation was detected as 50% decolorization was reached in 126 min, an increase in the photodegradation efficiency was observed when using a photocatalyst. The 50% decolorization level was reached in ~121, ~118, and ~101 min when a-TiO<sub>2</sub>, TiO<sub>2</sub> annealed at 500 °C and TiO<sub>2</sub> annealed at 900 °C were used, respectively, as a photocatalyst. The highest photodegradation rates were observed with TiO<sub>2</sub> annealed at 500 °C and 900 °C with AuNP ( $h_{\text{Au}} = 10$  nm) on the surface. The 50% decolorization level of RhB was reached in ca. 78 min for both cases. In general, the tendency of an increased photocatalytic efficiency is observed when using TiO<sub>2</sub>/AuNP compared to stand-alone TiO<sub>2</sub>. The 50% decolorization level of RhB in less than 30 min was observed when using a spongelike TiO<sub>2</sub> structure with Au nanoparticles deposited on top [340]. Thus, an increased surface area dramatically increases the photodegradation rates. Additionally, 50% decolorization was reached in ~30 min when using Pt nanoparticles (at a concentration of 0.75 to 1%) on TiO<sub>2</sub> surface [341]. This suggests that Au nanoparticles may be a viable and more economically favorable alternative compared to Pt. The photocatalytic efficiency dependence on the surface area was analyzed and discussed above.



**Fig. 3.26.** Time required for Rhodamine B to reach 50% decolorization while under *UV* irradiation with and without a photocatalyst

Given these points, TiO<sub>2</sub> annealed at 900 °C ( $E_g = 3.70$  eV) achieves a higher photocatalytic efficiency compared to as-deposited ( $E_g = 3.75$  eV) and annealed at 500 °C ( $E_g = 3.65$  eV) TiO<sub>2</sub>. Even though the energy bandgap of TiO<sub>2</sub> annealed at 500 °C is slightly lower, the difference in the efficiency compared to TiO<sub>2</sub> annealed at 900 °C can be attributed to the surface area, which, for the latter, was higher due to the surface morphology. Even though no particular correlation between the properties of the formed AuNP (**Table 3.5**) and the photocatalytic efficiency of TiO<sub>2</sub>/AuNP was observed, it is perspicuous that AuNP deposited on the TiO<sub>2</sub> surface positively affects the photocatalytic efficiency (**Fig. 3.26**). Further investigation on these particular results shall be carried out in further studies to understand the interrelationship between Au formation on the TiO<sub>2</sub> surface and the photocatalysis efficiency.

### 3.2.3. Summary of the Results

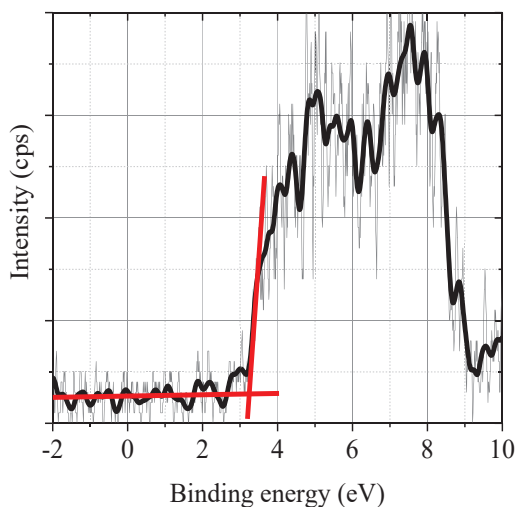
The evaluated photocatalytic efficiency and its dependence on the dopant concentration in the  $\text{TiO}_2$  lattice suggests that the optimal concentration of dopants may be in the range of 0.1 to 1 wt.%. Thus, when doping  $\text{TiO}_2$  to enhance the photocatalytic efficiency, a range of different concentrations has to be analyzed. Here, the highest photocatalytic efficiency was reached with 0.6 wt.% Cu in the  $\text{TiO}_2$  lattice, followed by 0.9 wt.% Mg and 0.5 wt.% Ni. However, no statement can still be given whether Cu is a better dopant than Mg and Ni in terms of enhancing the photocatalytic efficiency, as, for the latter, only one point (0.9 and 0.5) of a concentration lower than 1 wt.% was analyzed, whereas, for Cu – 0.3 and 0.6 wt.% values were examined. Moreover, according to the measurements of the dark-current dependence on the reciprocal temperature for the above mentioned structures, the lowest activation energy (0.23 eV) forms in Cu-doped  $\text{TiO}_2$ , whereas, for Mg and Ni-doped  $\text{TiO}_2$ , it is 0.60 and 0.64 eV, respectively. Additionally, the amount of Cu in  $\text{TiO}_2$  affects the activation energy. This attributes to the creation of point defects in the  $\text{TiO}_2$  lattice [324]. Nevertheless, the electrical properties, such as the work function and the formed barrier at the metal-semiconductor interface, must be taken into account. The evaluated barrier height between Cu and  $\text{TiO}_2$  is in the range of 0.13 to 0.50 eV, whereas, for  $\text{TiO}_2/\text{Mg}$ , it equals -0.74 eV, and  $\text{TiO}_2/\text{Ni}$  exhibits 0.64 to 0.82 eV. Moreover, the *XPS* study shows that the highest  $O_v$  concentration is detected in Cu doped  $\text{TiO}_2$ , followed by Ni- and Mg-doped  $\text{TiO}_2$ . Thus, the *XPS* study may be an effective way to evaluate how the dopant may affect the photocatalytic efficiency of  $\text{TiO}_2$  thin films.

Additionally, the formation of gold nanoparticles on the surface of  $\text{TiO}_2$  results in an increased photocatalytic efficiency compared to plain  $\text{TiO}_2$ . Even though the deposited and annealed  $\text{TiO}_2$  thin films resulted in the formation of the anatase phase and rutile/anatase mixture, the evaluated optical energy bandgap was considerably high (~3.65 to 3.75 eV). The highest photocatalytic efficiency was reached when using  $\text{TiO}_2$  thin films annealed at 500 and 900 °C temperature with  $\text{AgNP}$  ( $h_{\text{Au}} = 10$  nm) on the surface, compared to as-deposited  $\text{TiO}_2$  and annealed  $\text{TiO}_2$ . However, no particular correlation between the parameters of  $\text{AuNP}$  and the photocatalytic efficiency was observed.

### 3.3. Formation and Investigation of a Hybrid Photoelectrode

#### 3.3.1. Implementation of a Substrate Material for Charge Carrier Transfer: Energy Band Alignment between TiO<sub>2</sub> and n-Si

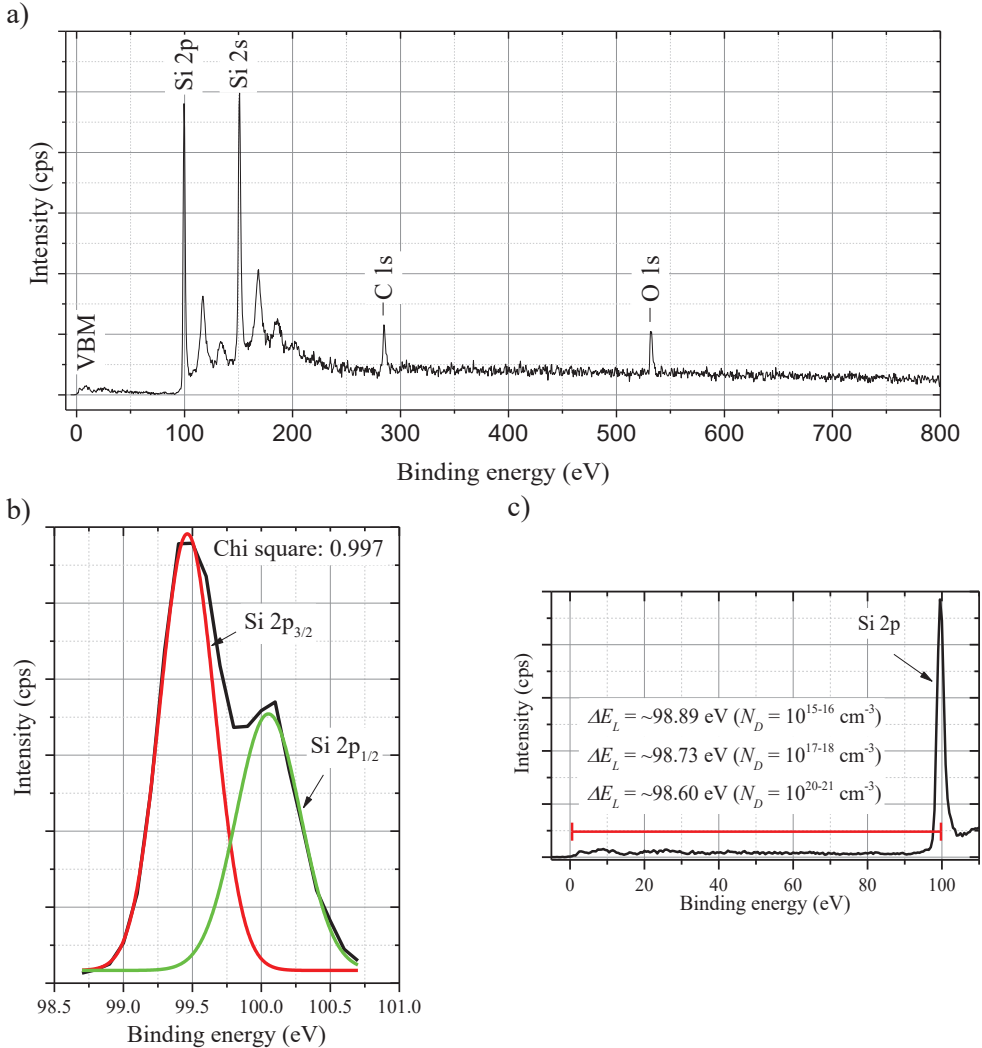
Manufacturing a multi-layered (monolithic) structure as a photocatalyst requires the determination of the energy band alignment throughout the layers. Therefore, *ab-initio* calculations using the data gathered from the *XPS* spectra, together with optical measurements, with which  $E_g$  was estimated, were carried out. Since this study requires high precision, the *XPS* measurements at the Fermi energy level region were done at a resolution of 0.01 eV. The evaluation of  $VBM$  at this region is done by doing two linear fits: one at the last peak near the Fermi energy level region, and the other one on the baseline in the Fermi energy level region (**Fig. 3.27** and **Fig. 3.29**). The intersection of the two lines presented on the x-axis indicates a value of  $VBM$  which is  $3.21 \pm 0.01$  eV for the TiO<sub>2</sub> anatase phase.



**Fig. 3.27.** *XPS* spectra of TiO<sub>2</sub> anatase phase thin film at the Fermi energy level region. The intersection of the two lines represented on the x-axis gives a value of  $VBM$ . The gray curve represents raw scan data, the black curve is the smoothed scan data for more accurate  $VBM$  evaluation

Analogically, *XPS* analysis was set for three differently doped *n*-type Si substrates:  $N_D = 10^{15-16}$ ;  $10^{17-18}$ ;  $10^{20-21}$  cm<sup>-3</sup>. The full spectra of *n*-type Si are presented in **Fig. 3.28 (a)**. As mentioned above, the *XPS* spectra were calibrated according to the *C 1s* peak (which is at 284.8 eV). The *n*-type Si substrates were cleaned in *Piranha* and in *BHF* solution to avoid any contaminants and the native oxide layer on the surface of the substrates. However, a native oxide layer forms on the surface of Si under atmospheric ambient in a matter of minutes. Thus, it was considered negligibly thin to affect further results. The narrow scan of the Si 2p region (**Fig. 3.28 (b)**) contains two peaks: at 99.5 eV (ref. 99.4 eV) [245, 342] and 101.77 eV, which corresponds to elemental (pure) Si and Si lattice oxide (the native oxide layer),

accordingly [343]. A slight shift to the lower energy values in  $\Delta E_L$  was detected as the dopant density in *n*-type Si was increasing (**Fig. 3.28 (c)**). According to the XPS spectra of the core level Si 2p peak, the binding energy difference between Si 2p and *VBM* was  $\Delta E_L = 98.89 \pm 0.01$  eV (for *n*-Si with  $N_D = 10^{15-16}$  cm<sup>-3</sup>),  $\Delta E_L = 98.73 \pm 0.01$  eV (for *n*-Si with  $N_D = 10^{17-18}$  cm<sup>-3</sup>) and  $\Delta E_L = 98.60 \pm 0.01$  eV (for *n*-Si with  $N_D = 10^{20-21}$  cm<sup>-3</sup>).

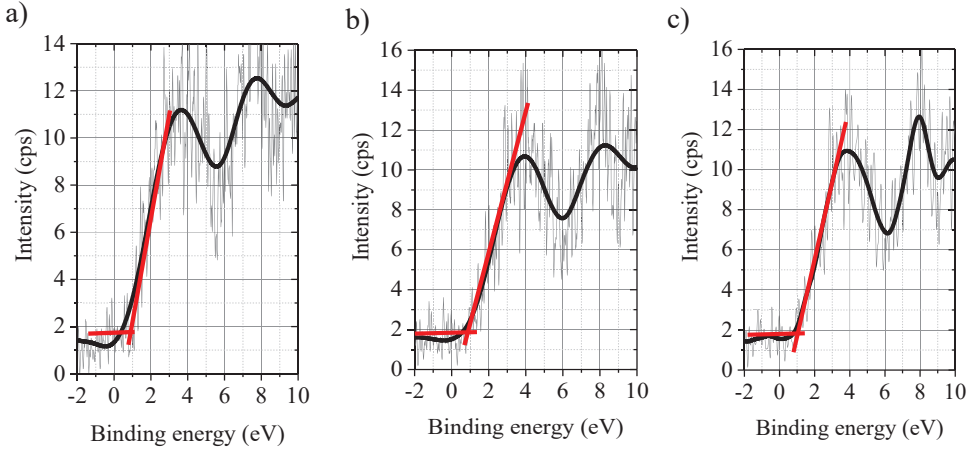


**Fig. 3.28.** XPS spectra of *n*-type Si substrate (a) and core level Si 2p peak (b) together with  $\Delta E_L$  (c) for *n*-type Si substrates

A slight shift in  $\Delta E_L$  was caused by the shift in *VBM* with an increased dopant density in the *n*-type Si substrate. As a narrow scan of the Fermi energy region shows (**Fig. 3.29**), the values of *VBM* for *n*-type Si substrates are  $0.61 \pm 0.01$  eV (for *n*-Si with  $N_D = 10^{15-16}$  cm<sup>-3</sup>),  $0.77 \pm 0.01$  eV (for *n*-Si with  $N_D = 10^{17-18}$  cm<sup>-3</sup>) and  $0.90 \pm 0.01$  eV



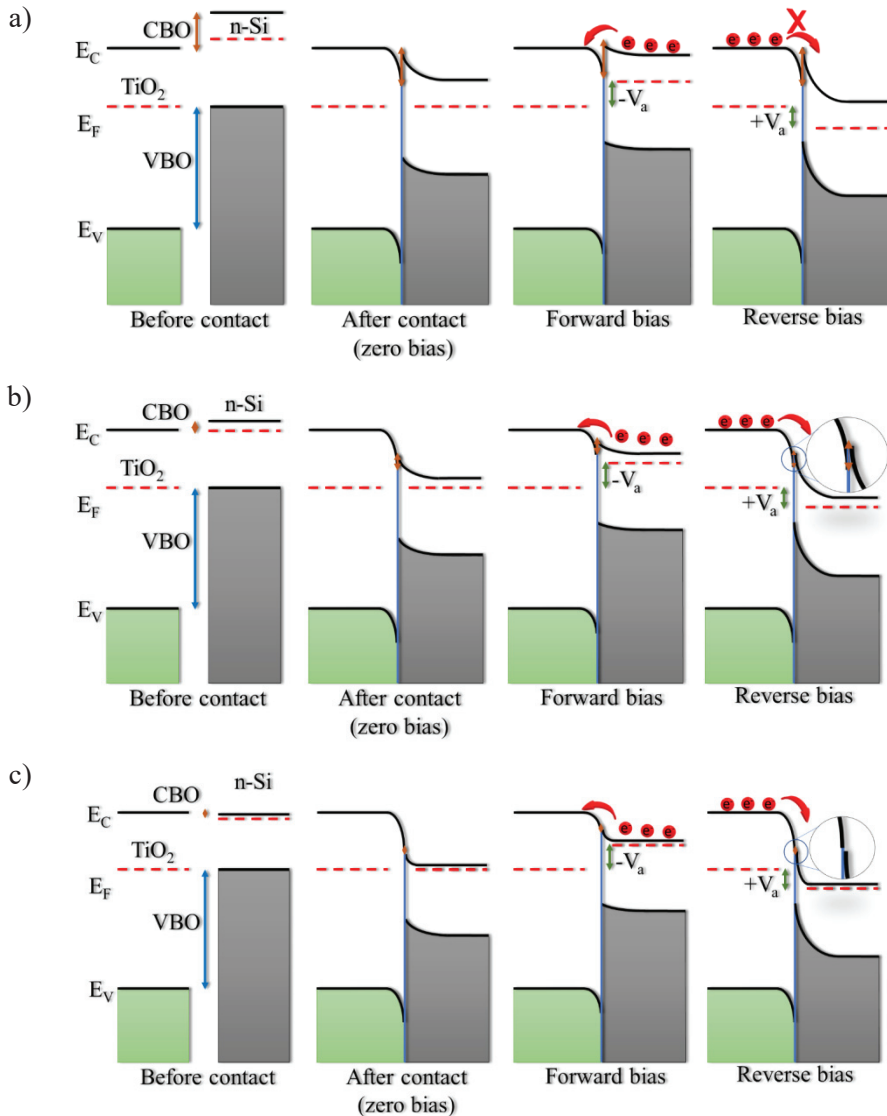
(for  $n$ -Si with  $N_D = 10^{20-21} \text{ cm}^{-3}$ ). Further calculations were done by using a previously measured  $E_g = 3.5 \text{ eV}$  for  $\text{TiO}_2$  anatase phase, and the standard  $E_g = 1.12 \text{ eV}$  for Si was used. Thus,  $VBO$ s for  $\text{TiO}_2/n$ -Si ( $N_D = 10^{15-16} \text{ cm}^{-3}$ ) was  $2.60 \text{ eV}$ ,  $\text{TiO}_2/n$ -Si ( $N_D = 10^{17-18} \text{ cm}^{-3}$ ) was  $2.44 \text{ eV}$ , and  $\text{TiO}_2/n$ -Si ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ) was  $2.31 \text{ eV}$ .



**Fig. 3.29.** XPS spectra of  $n$ -type Si substrate at the Fermi energy level region: a)  $N_D = 10^{15-16} \text{ cm}^{-3}$ ; b)  $N_D = 10^{17-18} \text{ cm}^{-3}$ ; c)  $N_D = 10^{20-21} \text{ cm}^{-3}$ . The gray curve represents raw scan data, the black curve is smoothed scan data for more accurate  $VBM$  evaluation

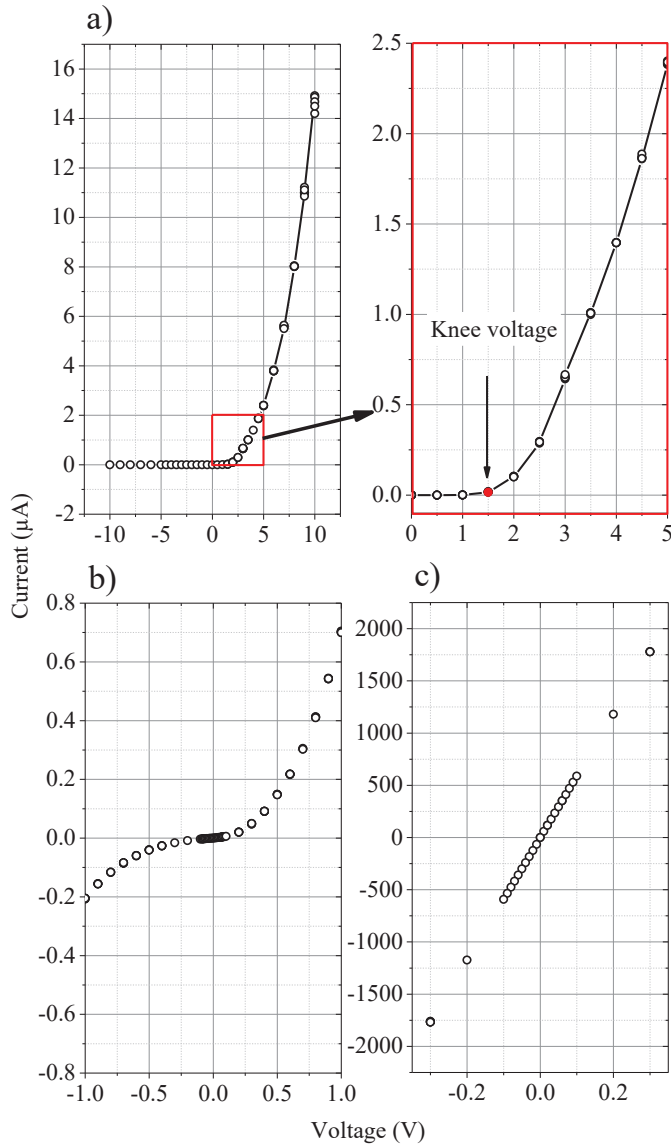
According to the calculated  $VBO$  and measured  $E_g$  values,  $CBO$ s for  $\text{TiO}_2/n$ -Si ( $N_D = 10^{15-16} \text{ cm}^{-3}$ ) was  $-0.22 \text{ eV}$ ,  $\text{TiO}_2/n$ -Si ( $N_D = 10^{17-18} \text{ cm}^{-3}$ ) was  $-0.06 \text{ eV}$ , and  $\text{TiO}_2/n$ -Si ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ) was  $+0.07 \text{ eV}$ . The negative value of  $CBO$  indicates that the  $CBM$  of  $n$ -Si is at a higher energy level than that of  $\text{TiO}_2$ , and vice versa. These calculations offer approximate understanding of how the energy band aligns without taking  $E_F$  into consideration. Therefore, visual representation of energy band alignment between the two semiconductors provides better understanding (**Fig. 3.30**).

$E_F$  for  $\text{TiO}_2$  was measured by the dark-current dependence on the temperature (as  $E_A = E_F$ ), while, for  $n$ -Si, it was taken from the already known data which represents the  $E_F$  value according to the dopant density [344–346]. At the heterojunction,  $E_{FS}$  are at the same energy values for both the semiconductor (the energy band alignment), and the depletion layer occurs. Here, the barrier (built-in potential  $V_{bi}$ ) at the heterojunction is equal to  $CBO$ . Based on the previous calculations and the visual representation, in the first case scenario (**Fig. 3.30 (a)**), the current flow from  $\text{TiO}_2$  to  $n$ -Si, or the electron flow from  $n$ -Si to  $\text{TiO}_2$  should occur under applied forward bias. However, under reverse bias, the electron flow would be rejected by  $V_{bi}$ . With an increased dopant density,  $V_{bi}$  becomes lower; therefore, the electron flow would be possible both ways (from  $\text{TiO}_2$  to  $n$ -Si and vice versa), as shown in **Fig. 3.30 (b and c)**.



**Fig. 3.30.** Visual representation of the energy band alignment between TiO<sub>2</sub> anatase phase and *n*-type Si: a)  $N_D = 10^{15-16} \text{ cm}^{-3}$ ; b)  $N_D = 10^{17-18} \text{ cm}^{-3}$ ; c)  $N_D = 10^{20-21} \text{ cm}^{-3}$ . Forward bias ( $-V_a$ ) corresponds to positive bias connected to TiO<sub>2</sub> and negative bias to *n*-Si, and the opposite is observed for reverse bias ( $+V_a$ )

Even though the energy band alignment based on the data gathered from XPS analysis is reliable,  $I$ - $V$  measurements were set to prove it (Fig. 3.31). As suggested previously, the TiO<sub>2</sub>/*n*-Si ( $N_D = 10^{15-16} \text{ cm}^{-3}$ ) heterojunction acts as a Schottky junction (the rectification behavior) under forward bias with a knee voltage of  $\sim 1.5 \text{ V}$ , and the current increases to almost  $15 \mu\text{A}$  with the applied forward bias of  $10 \text{ V}$ . On the contrary, the current under reverse bias (up to  $-10 \text{ V}$ ) is suppressed to the nA scale (Fig. 3.31 (a)).



**Fig. 3.31.** I-V characteristics of TiO<sub>2</sub>/n-Si heterojunction when n-Si with a dopant density of: a)  $N_D = 10^{15-16} \text{ cm}^{-3}$ ; b)  $N_D = 10^{17-18} \text{ cm}^{-3}$ ; c)  $N_D = 10^{20-21} \text{ cm}^{-3}$  [107]

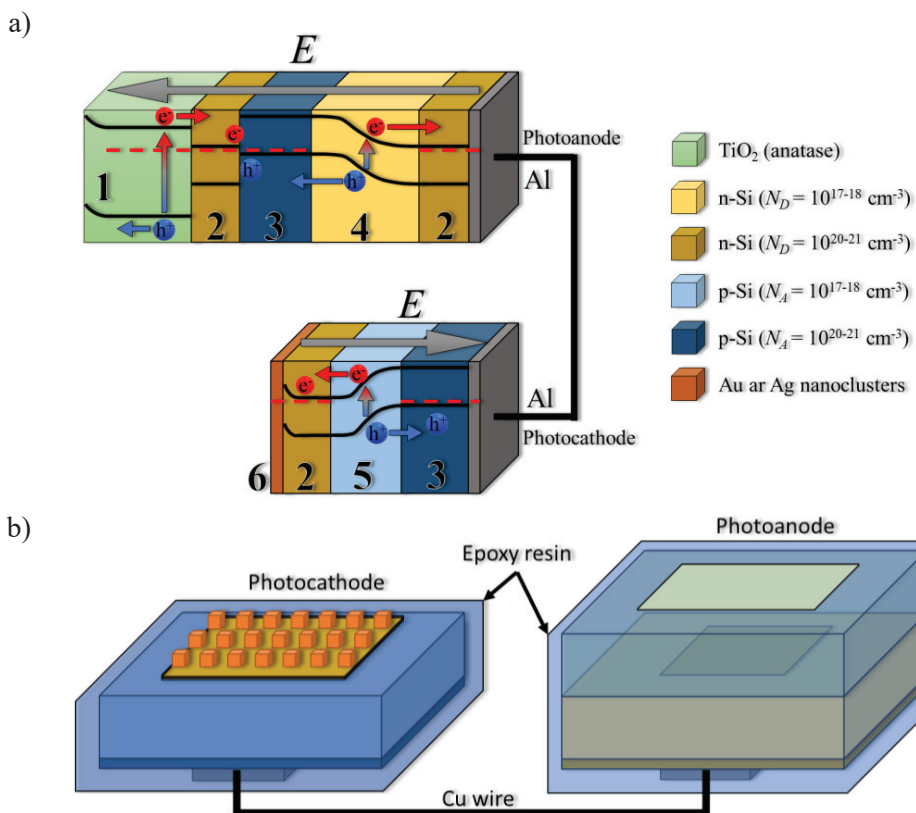
With an increased dopant density, changes in the I-V characteristics were observed. The heterojunction of TiO<sub>2</sub>/n-Si ( $N_D = 10^{17-18} \text{ cm}^{-3}$ ) shows a weak rectification behavior with a knee voltage around +0.1 eV, whereas the breakdown voltage was -0.1 eV (Fig. 3.31 (b)). Thus, this data is in agreement with the previous calculations. The current increases to almost 0.8  $\mu\text{A}$  and -0.2  $\mu\text{A}$  with 1 V of applied forward and reverse bias, accordingly. Thus, asymmetrical characteristics are observed. The ohmic contact was formed between TiO<sub>2</sub> and n-Si ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ), as seen from the I-V graph in Fig. 3.31 (c) with the current increasing to almost 2000

$\mu\text{A}$  with the applied forward bias of only 0.3 V. Moreover, the characteristics are symmetrical as the behavior is the same under forward bias and under reverse bias. The rectification behavior may occur because of the relatively wide depletion layer and *CBO* in  $\text{TiO}_2$  and the low doped *n*-type Si as a similar behavior was observed in other studies [110, 306]. However, with an increased dopant density, the depletion layer becomes narrow and *CBO* decreases, which results in the electron flow proceeding in both directions. The study on the energy band alignment according to the ab initio calculations from the data gathered by *XPS* analysis has proven to be a reliable method for designing monolithic photocatalysts. Moreover, as the study shows, the energy band alignment is essential for the  $\text{TiO}_2/n\text{-Si}$  heterojunction interface [220, 221].

### 3.3.2. Investigation of the Formed Hybrid Photoelectrode

After examining the conduction band discontinuity in the  $\text{TiO}_2$  and *n*-type Si heterojunction interface, a design model of  $\text{TiO}_2$  *HPE* was manufactured (**Fig. 3.32**). According to the structure of  $\text{TiO}_2$  *HPE*, the internal electric field drives the electrons to the back of the sample, while holes will drift towards the  $\text{TiO}_2$  surface, thus decreasing the chance of recombination. A similar process occurs at the Pt-free *CE*, where, based on the internal electric field, electrons will drift to the surface of Pt-free *CE* and holes in the opposite direction. This design should not only decrease the rate of recombination, but also increase the sensitivity of  $\text{TiO}_2$  *HPE* under visible light irradiation.

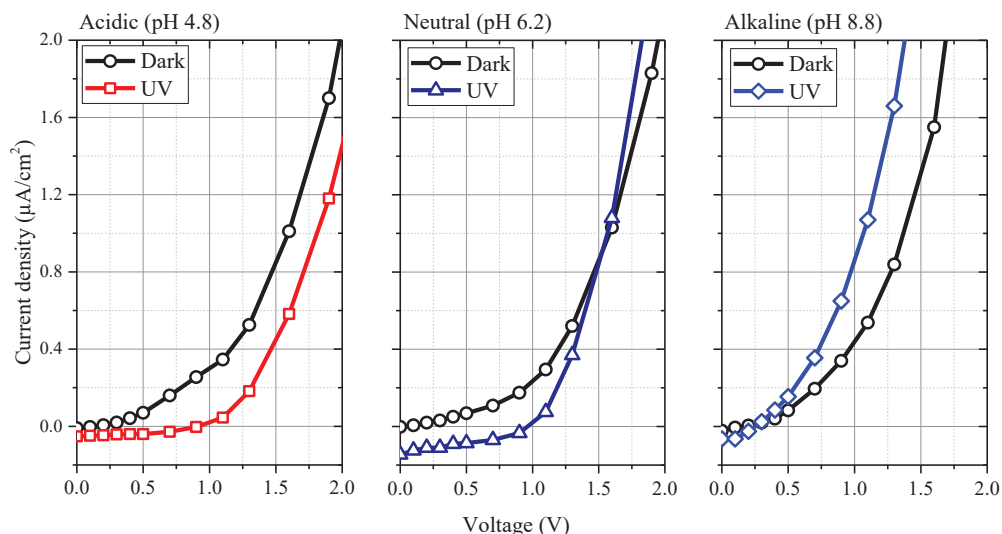
When considering photocatalysis under *UV-vis* light irradiation, low-energy photons from the visible light range passing through the  $\text{TiO}_2$  layer will create an electron-hole pair at the *pn* junction (No. 4 in **Fig. 3.32 (a)**). Meanwhile, higher energy photons from the *UV* light range would be absorbed by  $\text{TiO}_2$  and create an electron-hole pair at this layer (No. 1 in **Fig. 3.32 (a)**). According to the direction of the internal electric field, holes from the *pn* junction (No. 4 in **Fig. 3.32 (a)**) would drift to the heavily doped *pn* junction (Nos. 2–3 in **Fig. 3.32 (a)**), where they will recombine with an electron from the  $\text{TiO}_2$  layer. Therefore, an electron from the *pn* junction (No. 4 in **Fig. 3.32 (a)**) will drift to the Al electrode on the back of  $\text{TiO}_2$  *HPE*, where it is connected to a Cu wire, while holes in the  $\text{TiO}_2$  layer will be forced to drift to the surface of  $\text{TiO}_2$ . Then, correspondingly, an electron-hole pair will be created at the *pn* junction of Pt-free *CE*, and, based on the internal electric field, holes will drift to the back of Pt-free *CE*, where they will recombine with an electron from  $\text{TiO}_2$  *HPE*. Meanwhile, electrons will drift to the surface of Pt-free *CE*, where they will be trapped by Au or Ag (or other metallic clusters).



**Fig. 3.32.** Visual representation of  $\text{TiO}_2$  *HPE* and Pt-free *CE* system. a) energy band alignment throughout the layers of  $\text{TiO}_2$  *HPE* and Pt-free *CE*; b) final structure of the system prepared for photocatalytic efficiency evaluation. Here, 1 –  $\text{TiO}_2$  anatase phase; 2 – *n*-Si ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ); 3 – *p*-Si ( $N_A = 10^{20-21} \text{ cm}^{-3}$ ); 4 – *n*-Si ( $N_D = 10^{17-18} \text{ cm}^{-3}$ ); 5 – *p*-Si ( $N_A = 10^{20-21} \text{ cm}^{-3}$ ); 6 – Au or Ag clusters; E – internal electric field; Al – aluminum electrode

The photoelectrochemical study of  $\text{TiO}_2$  *HPE* vs. Pt *CE* was set in three different pH levels: acidic (pH 4.8); neutral (pH 6.2); alkaline (pH 8.8) (**Fig. 3.33**). The *UV* irradiation with an intensity of  $0.8 \text{ mW/cm}^2$  was used for all the measurements. The photocurrent density of  $0.064$ ;  $0.145$  and  $0.052 \text{ } \mu\text{A/cm}^2$  at zero bias was observed for acidic, neutral, and alkaline solutions, respectively.

Additionally, the  $V_{OC}$  values of  $0.91$ ;  $0.98$ , and  $0.26 \text{ V}$  were achieved when  $\text{TiO}_2$  *HPE* vs. Pt was immersed in acidic, neutral, and alkaline solutions. The photocurrent with the applied forward bias dramatically increased in the dark and under *UV* irradiation when the alkaline solution was used, compared to the acidic and neutral solutions. The current increases up to  $0.4 \text{ } \mu\text{A/cm}^2$  (in the dark), whereas the photocurrent increased up to  $0.8 \text{ } \mu\text{A/cm}^2$  (under *UV* irradiation) with the applied forward bias of  $1 \text{ V}$  and  $\text{TiO}_2$  *HPE* vs. Pt *CE* in the alkaline solution. However, under the same conditions, the current (when using the acidic solution) increases up to  $0.3 \text{ } \mu\text{A/cm}^2$  (in the dark), while the photocurrent increases up to  $0.017 \text{ } \mu\text{A/cm}^2$  (under *UV* irradiation) (which is close to  $V_{OC}$ ).



**Fig. 3.33.** Photoelectrochemical analysis of  $\text{TiO}_2$  HPE vs. Pt CE immersed in three different pH solutions and set under UV irradiation [347]

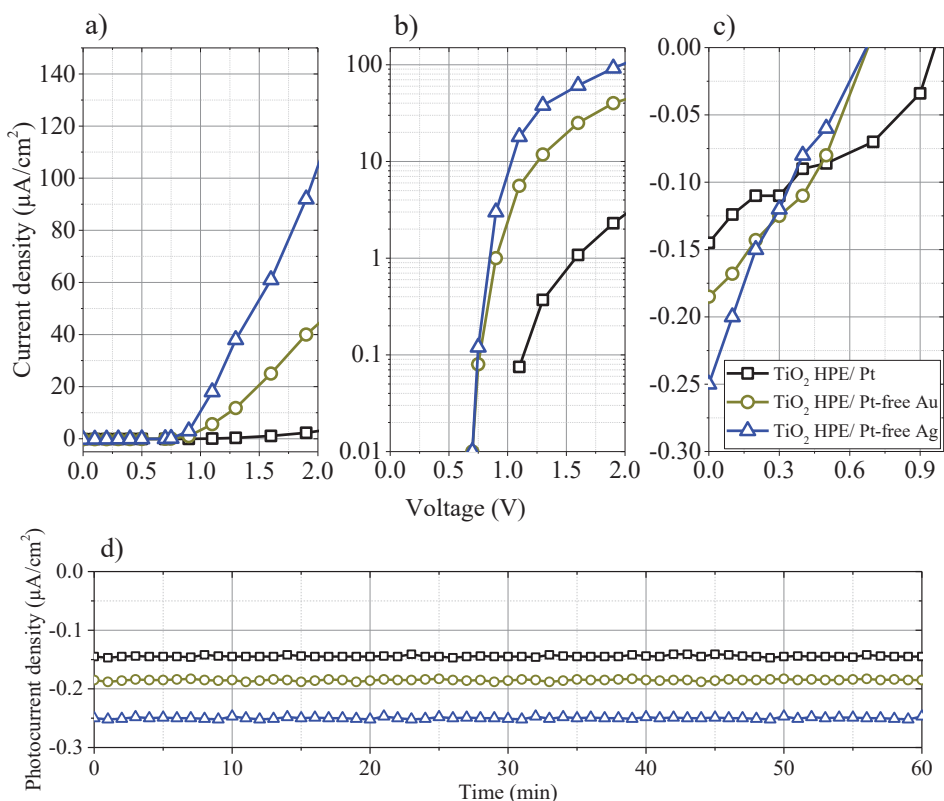
Considering the low light intensity used in this study, the photogenerated current is viable for the  $\text{TiO}_2$  HPE system. Thus, it is expected that UV-vis irradiation would increase the photogenerated current to higher values. A study on stand-alone  $\text{TiO}_2$  shows a photocurrent of  $\sim 0.3$  to  $0.6 \mu\text{A}/\text{cm}^2$  under UV irradiation of  $3 \text{ mW}/\text{cm}^2$  [348, 349]. Meanwhile, under  $100 \text{ mW}/\text{cm}^2$  intensity (AM 1.5G illumination), the observed photogenerated current was up to  $0.2 \text{ mA}/\text{cm}^2$  for  $\text{TiO}_2$  with an energy bandgap of  $\sim 3.15 \text{ eV}$  [350]. Moreover, the photocurrent is related to the solution's pH, as, with an increased applied forward bias, the photocurrent increases more when photocatalysts are immersed in the alkaline solution, compared to the acidic ones [351]. Even though it is difficult to analyze the photogenerated current dependence on the pH value due to the fact that it depends on the active photocatalyst [352], the increase in the photocurrent can be attributed to the concentration of OH radicals. OH radicals act as a charge carrier in the circuit of the photocatalyst and the solution [351]. Whilst using  $\text{TiO}_2$  as a photocatalyst, the highest photodegradation of methylene blue was reached with pH 6, and there was no difference when using ZnO as a photocatalyst [353]. When considering the photocatalytic efficiency dependence on pH, while using  $\text{TiO}_2$  as a photocatalyst, a pH value of 11.5 for Orange II and pH 3 for methyl orange showed the highest photodegradation rates [354, 355]. The process behind these differences can be attributed to the amphoteric behavior of the  $\text{TiO}_2$  surface. The surface charge properties of  $\text{TiO}_2$  change with a change of the solution's pH. In other words, the pH value affects the sorption-desorption process on the  $\text{TiO}_2$  surface and dictates the chance of the photogenerated charge carriers' separation [356–358].

On the grounds of the previous results, further photoelectrochemical analysis of  $\text{TiO}_2$  HPE and platinum-free CE was set in a solution with pH 6.2 (Fig. 3.34). Whereas, the structure of Pt-free CE is different compared to Pt, an increase in the photogenerated current under UV irradiation was observed. The photocurrent



increases to  $0.185 \mu\text{A}/\text{cm}^2$  (for Pt-free *CE* with AuNP) and  $0.25 \mu\text{A}/\text{cm}^2$  (for Pt-free *CE* with AgNP) with  $V_{OC}$  of  $\sim 0.68 \text{ V}$  for both *CEs*. Moreover, the photocurrent increases exponentially with an increased forward bias to  $2 \text{ V}$ : up to  $45 \mu\text{A}/\text{cm}^2$  (for Pt-free *CE* with AuNP) and up to  $105 \mu\text{A}/\text{cm}^2$  (for Pt-free *CE* with AgNP). This is 10 to 100 times higher compared to Pt *CE*. Such a difference in the photogenerated current while using Pt-free *CE* is associated with additional electrons injected into the  $\text{TiO}_2$  HPE/Pt-free *CE* circuit from the *pn* junction at *CE*.

Additional measurements were done to analyze the stability of the  $\text{TiO}_2$  HPE and platinum-free *CEs* system (Fig. 3.34 (c)). The measurement was set under zero applied bias for up to 60 min to observe if are any fluctuations can be observed in the photogenerated current. As seen from the graph, all the three systems offered high stability, with fluctuations of  $\sim 0.004$  to  $0.008 \mu\text{A}/\text{cm}^2$ .



**Fig. 3.34.** Photoelectrochemical analysis of  $\text{TiO}_2$  HPE vs. Pt and Pt-free *CE* in neutral solution under UV irradiation. a) J-V measurement; b) semi-log plot of J-V measurement; c) close-up graph of (a); d) photogenerated current at zero bias in time [347]

The difference between the Pt-free *CEs* is attributed to the work function of Au ( $\sim 5.1$  to  $5.47 \text{ eV}$ ) and Ag ( $\sim 4.26$  to  $4.73 \text{ eV}$ ). Nevertheless, the depletion layer which forms at the Au or Ag and *n*-type Si heterojunction plays a secondary role in the charge carrier transportation. Based on the calculations, the depletion layer for Au and the *n*-type Si heterojunction ( $W = 1.11\text{--}4.13 \text{ nm}$ , with a built-in potential of  $1.05$  to  $1.42 \text{ eV}$ )

is higher compared to Ag and the *n*-type Si heterojunction ( $W = 0.36\text{--}2.72$  nm with the built-in potential of 0.21 to 0.68 eV) (Fig. 3.35).

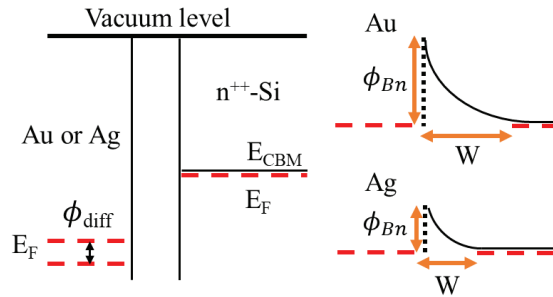


Fig. 3.35. Visual representation of *n*-type Si and Au or Ag heterojunction

### 3.3.3. Summary of the Results

The ab-initio calculations for the energy band alignment between  $\text{TiO}_2$  and *n*-type Si show that alignment can be done by increasing the dopant's density in *n*-type Si. Even though there are suggestions of adding an interlayer between  $\text{TiO}_2$  and Si for the energy band alignment [222], it might negatively affect the overall photocatalytic efficiency of  $\text{TiO}_2/\text{Si}$  HPE. The calculated CBO between  $\text{TiO}_2$  and Si substrates of various *n*-types is in agreement with the further I-V characteristics showing that the ohmic contact forms between  $\text{TiO}_2$  and the *n*-type Si substrate with a dopant density of  $10^{20-21} \text{ cm}^{-3}$ , whereas a weak and strong rectifying behavior was observed for the *n*-type Si substrate with a dopant density of  $10^{17-18} \text{ cm}^{-3}$  and  $10^{15-16} \text{ cm}^{-3}$ , respectively. This suggests that the ab-initio calculations from the data gathered by XPS are reliable and can be used for the energy band alignment before the formation of combined structures.

To conclude, the energy band alignment is crucial in designing a monolithic photocatalyst or a hybrid photoelectrode of two semiconductors. The data gathered from the XPS study, along with the implemented analysis based on this study, can be considered relatively accurate for the energy band alignment before the actual preparation of the photocatalyst. Considering a photocatalytic application and photogenerated charge carrier separation, the internal electric field which occurs based on the structure of the photocatalyst must be considered beforehand in order to enhance the charge carrier separation. Consequently, the proposed and analyzed conceptual design of  $\text{TiO}_2/\text{Si}$  HPE shows a considerably good photocatalytic efficiency based on the photogenerated current under UV irradiation, where  $\text{TiO}_2/\text{Si}$  HPE vs. a platinum electrode achieved a photocurrent density of  $\sim 0.145 \mu\text{A}/\text{cm}^2$ . An increase in photocurrent density was observed when using a platinum-free photoelectrode as the counter electrode, which resulted in  $\sim 0.25 \mu\text{A}/\text{cm}^2$  (where Ag clusters were deposited on the Pt-free photoelectrode surface) and  $\sim 0.185 \mu\text{A}/\text{cm}^2$  (where Au clusters were deposited on Pt-free photoelectrode surface). The difference in the photocurrent density between the two platinum-free photoelectrodes can be attributed to the barrier height and depletion layer which forms at the Si and Au ( $W =$

1.11 – 4.13 nm;  $\phi_{Au/Si} = 1.05\text{--}1.42$  eV) or Ag ( $W = 0.36\text{--}2.72$  nm;  $\phi_{Ag/Si} = 0.21\text{--}0.68$  eV) interface.

However, further analysis still has to be done under simulated solar light irradiation to reach the full potential of the prepared structure. Nevertheless, a conceptual design of a platinum-free photoelectrode has been proposed and analyzed, which resulted in a higher photocurrent compared to the platinum electrode. This suggests that a platinum-free photoelectrode, which consists of a Si photocell and Ag nanoparticles on the surface, may be a cost-effective alternative for the platinum electrode.

## CONCLUSIONS

1. The optical bandgap of the deposited TiO<sub>2</sub> thin films depends on the thin film thickness rather than on the morphology. The results show an exponential decline with increased thin film thickness from ~3.8 eV for ~200 nm to ~3.25 eV for ~2400 nm. Thus, it suggests that the wide energy bandgap of TiO<sub>2</sub> can be optimized by controlling the thickness of the deposited thin film. However, a more profound analysis and additional measurements are still required for better understanding of such phenomena in our case.

2. TiO<sub>2</sub> thin films deposited by *RMS* and from STS-21 hydrosol by spin-coating on Si substrate require an annealing temperature of ~850 to 1000 °C for the phase transition to begin. Moreover, the dependence of the as-deposited TiO<sub>2</sub> structure on the deposition parameters by *RMS* suggested that the TiO<sub>2</sub> anatase phase or the TiO<sub>2</sub> rutile phase can be formed without additional annealing. TiO<sub>2</sub> thin films deposited by setting the  $p_{O_2}/p_{tot}$  ratio of 20% resulted in an as-deposited TiO<sub>2</sub> rutile phase, rather than in an amorphous phase.

3. The study of the TiO<sub>2</sub> anatase phase and the TiO<sub>2</sub> rutile phase shows that the latter contains a lower concentration of oxygen vacancies (on the grounds of XPS analysis) than the former. This was proven by the dark-current dependence on the reciprocal temperature measurements with a result of the activation energy of 0.472 and 0.902 eV for TiO<sub>2</sub> anatase and rutile phases, respectively.

4. The photocatalytic efficiency of TiO<sub>2</sub> thin films increases up to 8 times when a 3x3 grid pattern is used, compared to plain TiO<sub>2</sub>. The 50% decolorization of methylene blue was reached in 90 min with a-TiO<sub>2</sub> and in 11 min with a-TiO<sub>2</sub> deposited on a 3x3 μm grid pattern. With an increased size of the period to the 5x5 grid, the efficiency slightly decreased, thus ultimately reaching 50% decolorization in 25 min.

5. The apparent rate constant has an exponential decline with an increased Mg, Cu, and Ni dopant concentration in TiO<sub>2</sub>. The evaluated Fermi energy level was 0.60 eV (for TiO<sub>2</sub>/Mg); 0.64 eV (for TiO<sub>2</sub>/Ni) and 0.23 eV (for TiO<sub>2</sub>/Cu). Moreover, on the basis of the work function, the barrier at the interface is 0.74; 0.13–0.50 and 0.64–0.82 eV at the TiO<sub>2</sub>/Mg; TiO<sub>2</sub>/Cu; and TiO<sub>2</sub>/Ni interface, respectively. The photocatalytic degradation of oxalic acid shows that the highest apparent rate constant was achieved with TiO<sub>2</sub>/CuNC (0.6 wt.%), followed by TiO<sub>2</sub>/MgNC (0.9 wt.%) and TiO<sub>2</sub>/NiNC (0.5 wt.%).

6. AuNP formation on the TiO<sub>2</sub> surface enhances the photocatalytic activity, which resulted in 50% decolorization of RhodamineB in less than 80 min for TiO<sub>2</sub>/AuNP ( $h_{Au} = 10$  nm), whereas, for TiO<sub>2</sub>, the same decolorization was reached in over 120 min.

7. The energy band alignment between TiO<sub>2</sub> and Si photocell was done by increasing the dopant density to  $N_D = 10^{20-21}$  cm<sup>-3</sup> in the n-type Si layer. The formed TiO<sub>2</sub>/Si *HPE* and platinum-free photoelectrode with Ag clusters on the surface resulted in a photocurrent density of ~0.25 μA/cm<sup>2</sup>, whereas for a platinum-free photoelectrode with Au clusters, the value was ~0.185 μA/cm<sup>2</sup>, and for the platinum electrode it was 0.145 μA/cm<sup>2</sup>.

## SANTRAUKA

### IVADAS

Fotokatalizė gali būti pritaikoma daugelyje sričių; tačiau svarbiausia tai, jog šis procesas gali tiesiogiai ar netiesiogiai prisidėti prie klimato kaitos kontrolės. Remiantis teršalų koncentracijos ore didėjimu bėgant metams, didžiausias dėmesys šiuo metu skiriamas oro bei vandens valymo mechanizmams, paremtiems fotokatalizės procesu, ar kitiems tvarios energijos gavybos būdams [1–4]. Pagrindiniai fotokatalizės metu vykstantys procesai yra krūvininkų fotogeneracija, jų rekombinacija arba sustabdymas, taip pat oksidacijos-redukcijos reakcijos. Pirmieji trys procesai vyksta fotokatalizatoriaus (puslaidininkio) viduje, kai fotonas, kurio energija yra lygi draustinės juostos pločiui arba už jį didesnė, sutikęs kelyje elektroną, jį sužadina ir sugeneruoja elektronų-skylių poras. Elektronai gali laisvai judėti puslaidininkyje, iki kol savo kelyje sutinka priešingo krūvio dalelę (įvyksta rekombinacija) arba jie „pagaunami“ taškinių defektų, kuriuose jų judėjimas yra ribojamas [5–7]. Kita vertus, oksidacijos-redukcijos reakcijos vyksta medžiagos paviršiuje, t. y. sąlytyje tarp tirpalo (elektrolito) ir fotokatalizatoriaus. Šio proceso našumas priklauso nuo krūvininkų rekombinacijos, aktyvaus paviršiaus ploto bei fotokatalizatoriaus oksidacijos-redukcijos potencialų [8].

Pagrindiniai ir plačiai straipsniuose sutinkami puslaidininkiai yra  $\text{TiO}_2$ ,  $\text{ZrO}_2$ ,  $\text{WO}_3$ ,  $\text{NbO}_2$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{SnO}_2$ ,  $\text{In}_2\text{O}_3$ ,  $\text{Ga}_2\text{O}_3$ ,  $\text{GeO}_2$  ir  $\text{Fe}_2\text{O}_3$  [9, 10]. Atsižvelgiant į tai, jog išvardytų puslaidininkų fotokatalizinis našumas yra sąlygiškai nedidelis, siūloma formuoti jų kombinacijas. Šiuo atveju svarbiausia atsižvelgti į energinių juostų išsidėstymą ir sulygiavimą per visą struktūrą (jeigu ji sudaryta iš dviejų ar daugiau puslaidininkų). Atvejais, kai energinių juostų sulyginimas nėra įmanomas, tai galima padaryti į struktūrą įterpiant plonus metalo ar kito puslaidininkio sluoksnius. Kruopščiai atrinkus tinkamus puslaidininkius ir suformavus daugiasluoksnes fotokatalizines struktūras susidaro vidinis elektrinis laukas, kuris gali pagerinti fotokatalizinio proceso našumą padidindamas krūvininkų atskyrimo tikimybę (skylės judės elektrinio lauko kryptimi, o elektronai – priešinga kryptimi) [11, 12]. Remiantis T. Munawar ir kt. atliktu tyrimu, kombinuota  $\text{TiO}_2/\text{WO}_3/\text{CeO}_2$  sistema pasižymėjo geresnėmis fotokatalizinėmis savybėmis nei atskiri jos komponentai:  $\text{TiO}_2$  ar  $\text{WO}_3$  [13]. Šį padidėjusį našumą autoriai aiškina krūvininkų atskyrimu dėl susidariusio vidinio elektrinio lauko. Negana to, D. Chen ir kt. pateikė išsamų palyginimą tarp  $\text{TiO}_2$ ,  $\text{TiO}_2/\text{rGO}$  (rGO – redukuotas grafeno oksidas) ir  $\text{TiO}_2/\text{NSrGO}$  (NS – nanosferiniai) naudojant platiną kaip bendrąjį katalizatorių [14]. Pirmuoju atveju ( $\text{TiO}_2/\text{rGO}$ ) fotogeneruotieji krūvininkai būdavo sėkmingai atskiriami  $\text{TiO}_2$  ir rGO sandūroje, tačiau, autorių nuomone, rekombinacija vis dar galėjo įvykti rGO pusėje. Tokiu atveju buvo pasiūlyta pakeisti pluoštinę rGO struktūrą į nanosferinius darinius. Buvo manoma, kad tokiu atveju NS-rGO ne tik dalyvautų atskiriant krūvininkus, tačiau ir taptų krūvininkų spąstais sulaikydami juos vidiniuose nanosferų sluoksniuose. Norint tiksliai išmatuoti rekombinacijos procesą reikalingos brangios sistemos arba sudėtingi matavimai. Negana to, fotokatalizės proceso metu, vykstant rekombinacijos procesui, lygiagrečiai vyksta ir cheminės reakcijos bei fizikiniai

procesai, kurie gali daryti įtaką rekombinacijos matavimams. Tokiu atveju, energinių juostų sulyginimas daugiasluoksnėje struktūroje gali suformuoti prielaidą, kaip elektrono-skyklės pora elgsis vienu ar kitu atveju. Taip pat svarbu nuspręsti, kokiems procesams bus naudojamas fotokatalizatorius. Kitaip tariant, fotokatalizatorius gali būti naudojamas kaip fotoanodas (oksidacijos reakcijoms) arba fotokatodas (redukcijos reakcijoms). Šiuo atveju T. Marino ir kt. atliko tyrimą, kurio metu naudojo  $\text{TiO}_2/\text{Au}$  kaip fotoanodą ir  $\text{CeO}_2/\text{Au}$  kaip fotoanodą ir fotokatodą, taip pat atvirkščiai [15]. Remiantis autorių pateiktais rezultatais, didžiausias fotokatalizinis efektyvumas (beveik 7 kartus didesnis) yra pasiekiamas  $\text{TiO}_2/\text{Au}$  naudojant kaip fotoanodą, o  $\text{CeO}_2/\text{Au}$  – kaip fotokatodą, bet ne atvirkščiai. Tai nenuostabu, nes  $\text{TiO}_2$  pasižymi ypatingomis oksidacinėmis savybėmis, ir jo panaudojimas fotoanodo struktūroje sąlygoja geresnį našumą. Dažnu atveju platinos elektrodas yra naudojamas kaip katodas, ant kurio vyksta redukcijos reakcijos [16, 17]. Vis dėlto, nepaisant gerų redukcinių savybių, platinos kaina yra per didelė, kad ši medžiaga būtų naudojama komerciškai. Tokiu atveju ieškoma alternatyvų, kurios kainos ir našumo santykiu pranoktų platinos elektrodą. Taip pat, remiantis atliekamų tyrimų gausa ir jų tikslais, plačiausiai tiriamas ar naudojamas puslaidininkis yra  $\text{TiO}_2$ . Jis naudojamas kaip pagrindinis fotokatalizatorius arba kaip apsauginis sluoksnis kitiems fotokatalizatoriams [18, 19]. Toks didelis dėmesys jam skiriamas būtent dėl to, kad  $\text{TiO}_2$  pasižymi neįprastomis oksidacinėmis savybėmis, taip pat yra termodinamiškai stabilus ir atsparus fotokorozijai [20]. Verta paminėti, jog norint lyginti skirtingas fotokatalizines struktūras svarbu išlaikyti tas pačias matavimo sąlygas: šviesos šaltinis ir jo galingumas, elektrolito ar organinių dažų koncentracija. Remiantis kitų mokslininkų atliktais tyrimais vandenilio fotogeneravimui iš vandens naudojant fotokatalizatorių, į vandenį papildomai įmaišomas tam tikras kiekis „aukojamo“ tirpalo. Jis padidina vandenilio jonų koncentraciją tirpale, dėl to gautas galutinis sistemos našumas vandenilio gavybai gali skirtis nuo realių rezultatų – vandenilio gavyba iš gryno vandens (be priemaišų).

Remiantis pateikta informacija, šioje disertacijoje pagrindinis dėmesys skiriamas  $\text{TiO}_2$  plonoms dangoms bei jų modifikacijoms, skirtoms fotokatalizės proceso pritaikymui. Tyrimai buvo atlikti stengiantis išlaikyti nekintančias sąlygas, kai taikomos skirtingos matavimo metodikos. Šioje disertacijoje ištirta  $\text{TiO}_2$  kristalizacijos ir morfologijos priklausomybė nuo formavimo metodikų ir formavimo parametrų. Negana to, atliktos kelios  $\text{TiO}_2$  modifikacijos norint padidinti fotokatalizinį efektyvumą. Šiuo atveju  $\text{TiO}_2$  buvo legiruojamas kitais metalais, formuojamos nanostruktūros ant paviršiaus ir formuojamas hibridinis  $\text{TiO}_2/\text{Si}$  fotoelektrodas. Taip pat suformuotas fotokatodas, neturintis savyje platinos, kaip alternatyva platinai. Suformuotos struktūros buvo tiriamos pasitelkiant FE-SEM, XRD, XPS, AFM, I-V, taip pat fotolaidumo ir tirpalų fotodestrukcijos matavimo metodikas.



## **Disertacijos tikslas**

Šio darbo tikslas – ištirti skirtingus  $\text{TiO}_2$  modifikavimo metodus norint pagerinti fotokatalizines savybes.

Norint pasiekti šį tikslą, buvo iškelti ir išspręsti tokie uždaviniai.

1. Suformuoti plonasluoksnes  $\text{TiO}_2$  struktūras taikant fizikinį garų nusodinimo ir zolių-gelių metodą nusodinant  $\text{TiO}_2$  dangas iš tirpalo.
2. Išanalizuoti plonasluoksnių  $\text{TiO}_2$  dangų kristalines bei morfologines savybes ir jų priklausomybę nuo formavimo parametrų.
3. Suformuoti modifikuotas  $\text{TiO}_2$  plonasluoksnes struktūras ir ištirti jų fotokatalizinį efektyvumą, taip pat atlikti lyginamąją analizę su grynu  $\text{TiO}_2$ .
4. Atlikti energinių juostų analizę  $\text{TiO}_2$  ir Si sandūroje, taip pat, remiantis gautais rezultatais, suformuoti hibridinį  $\text{TiO}_2$  fotoelektrodą. Papildomai suformuoti platinos savyje neturintį fotokatodą ir ištirti bendros sistemos fotokatalizines savybes.

## **Darbo naujumas**

Magniu, variu ir nikeliu legiruočių  $\text{TiO}_2$  plonasluoksnių struktūrų formavimas RMS metodu, taip pat priemaišų koncentracijos optimizavimas  $\text{TiO}_2$  struktūroje, siekiant padidinti fotokatalizinį efektyvumą. Fotokatalizės proceso efektyvumo nustatymas išlaikant tas pačias matavimo sąlygas, kai siekiama ištirti priemaišų ir jų koncentracijos įtaką  $\text{TiO}_2$  fotokatalizinėms savybėms. Papildomai atliktas aukso nanodarinių formavimas RMS metodu ant plonasluoksnių  $\text{TiO}_2$  struktūrų paviršiaus, apskaičiuota šių nanodarinių formavimosi priklausomybė nuo formavimo parametrų ir  $\text{TiO}_2$  morfologijos. Taip pat atliktas fotokatalizinių savybių matavimas ir nustatyta, kaip jos priklauso nuo suformuotų aukso nanodarinių parametrų. Galiausiai suformuotas hibridinis  $\text{TiO}_2/\text{Si}$  fotoelektrodas ir platinos savyje neturintis fotokatodas kaip alternatyva platinos elektrodui, taip pat ištirtos suformuotų struktūrų fotokatalizinės savybės.

# 1. EKSPERIMENTINĖ ĮRANGA

## 1.1. Plonų titano dioksido dangų formavimas

Titano dioksido plonų dangų formavimas buvo atliekamas dviem skirtingais metodais: taikant fizikinį garų nusodinimą (reaktyvųjį magnetroninį dulkinimą) ir zolių-gelių metodą (naudojant STS-21 TiO<sub>2</sub> hidrozolių [234]). Fizikinio garų nusodinimo metu buvo naudojama Kurto J. Leskerio sistema [233]. Plonos titano dioksido dangos buvo formuojamos naudojant du titano katodus (99,995 % grynumo), suformuojant apie 200 nm storio dangas. Suformuotos dangos pasižymėjo amorfine TiO<sub>2</sub> struktūra, tačiau papildomas iškaitinimas aukštoje temperatūroje (apie 500–900 °C) inicijuodavo kristalizacijos procesą TiO<sub>2</sub> struktūroje, išgaunant anatazo ar rutilo struktūras. Antra vertus, formuojant plonas TiO<sub>2</sub> dangas zolių-gelių metodu, suformuotos dangos (be papildomo iškaitinimo) pasižymėjo anatazo struktūra. Papildomas iškaitinimas (esant 800–1000 °C temperatūrai) leisdavo suformuoti anatazo-rutilo mišinį ar rutilo struktūras.

## 1.2. Nanodarinių formavimas ant TiO<sub>2</sub> paviršiaus

Aukso nanodariniai buvo formuojami taikant jau minėtą Kurto J. Leskerio fizikinį garų nusodinimo metodą. Šiuo atveju buvo naudojamas vienas Au katodas (99,995 % grynumo), norint suformuoti skirtingo storio plonus Au sluoksnius. Nanodarinių formavimas buvo atliekamas taikant iškaitinimo aukštoje temperatūroje procedūras. Šiuo atveju, plonos Au dangos (5, 7,5 ir 10 nm storio), suformuotos ant plonų TiO<sub>2</sub> dangų, buvo kaitinamos vakuume 60 minučių esant 500 °C temperatūrai.

## 1.3. Suformuotų struktūrų analizės metodai

Paviršiaus morfologija buvo tiriama taikant elektrinio lauko emisijos skenuojamosios elektroninės mikroskopijos metodą (FE-SEM, JEOL, JSM-7600F) [235]. Šio metodo dėka buvo galima ištirti suformuotų dangų paviršiaus ir skerspjūvio morfologiją. Gautos nuotraukos buvo analizuojamos „ImageJ“ programine įranga.

Suformuotų plonų TiO<sub>2</sub> dangų struktūrinė analizė atlikta taikant rentgeno spindulių difrakcijos metodą (XRD, Rigaku RINT Ultima-III XR) [237]. Gauti duomenys apdoroti naudojant „Match!3“ programinę įrangą ir „COD20210614“ duomenų bazę. Plonų TiO<sub>2</sub> dangų cheminė struktūra bei elektrinės savybės buvo tiriamos rentgeno spindulių fotoelektroninės spektroskopijos metodu, o gauti duomenys analizuojami „MultiPak“ programine įranga.

Suformuotų aukso nanodarinių analizė buvo atliekama pasitelkiant atominių jėgų mikroskopijos metodą. Šiuo metodu įvertinti ir apskaičiuoti suformuotų nanodarinių parametrai (forma, skersmuo, aukštis, pasiskirstymas ir kt.).

Terminis garų nusodinimo metodas buvo taikomas suformuoti plokštuminiam (angl. *co-planar*) aukso elektrodui ant plonų TiO<sub>2</sub> dangų. Vėliau pasitelkus suformuotą elektrodą buvo tiriama tamsinės srovės priklausomybė nuo temperatūros. Gauti duomenys leidžia apskaičiuoti suformuotų dangų elektronų aktyvacijos energiją.

Ultravioletinės ir regimosios šviesos spektroskopijos metodu buvo tiriamos suformuotų plonų TiO<sub>2</sub> dangų (grynų ir modifikuotų) optoelektroninės savybės, tokios kaip šviesos pralaidumas, draustinės juostos plotis, taip pat struktūrinės savybės, tokios kaip porėtumas.

I-V matavimai buvo atliekami norint ištirti elektrines TiO<sub>2</sub> ir *n*-tipo Si sandūros savybes, taip pat suformuoto Si fotoelemento elektrines savybes. Remiantis gautais rezultatais buvo formuojamas hibridinis TiO<sub>2</sub> fotoelektrodas.

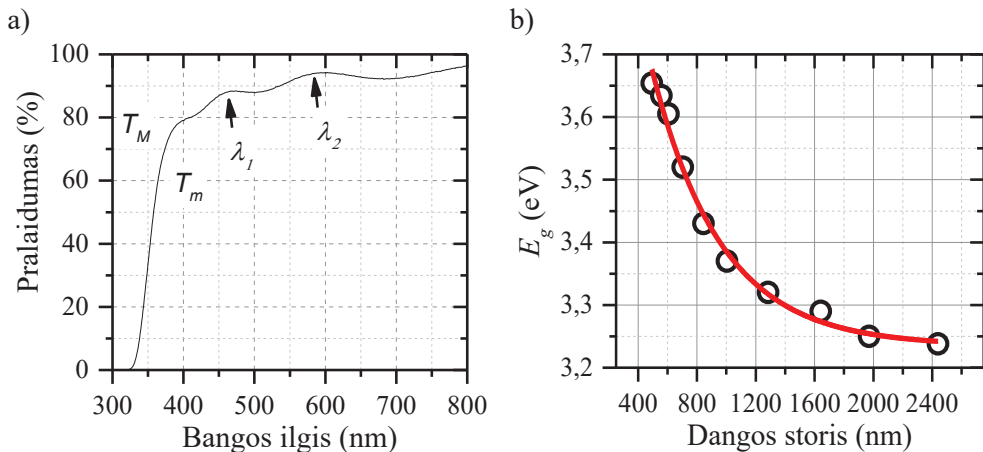
Hibridinio TiO<sub>2</sub> fotoelektrodo formavimui buvo taikomas 9 žingsnių procesas: (1) *n*-tipo silicio plokštelės (priemaišų koncentracija 10<sup>17-18</sup> cm<sup>-3</sup>) valymas „piranijos“ ir buferiniame hidrofliorido tirpaluose; (2) apsauginis oksido sluoksnio (SiO<sub>2</sub>) formavimas ant *n*-tipo silicio plokštelės (apie 500 nm storio); (3) kompiuterinė litografija, formuojant aktyvų fotoelektrodo plotą, kurį supa apsauginis SiO<sub>2</sub> sluoksnis; (4) pakartotinis valymas kaip (1) žingsnyje; (5) paviršiaus legiravimas boru (priemaišų koncentracija 10<sup>20-21</sup> cm<sup>-3</sup>), formuojant *p*-laidumo sluoksnį ant *n*-tipo silicio plokštelės; (6) pakartojami (3) ir (1) žingsniai; (7) paviršiaus ir dugno legiravimas fosforu (priemaišų koncentracija 10<sup>20-21</sup> cm<sup>-3</sup>), formuojant *n*-laidumo sluoksnį ant *p*-laidumo sluoksnio paviršiaus, taip pat plokštelės apačios; (8) pakartojami (3) ir (1) žingsniai; (9) formuojamas plonas TiO<sub>2</sub> sluoksnis ant paviršiaus. Analogiškai buvo atliekamas priešinio fotoelektrodo formavimas (Si fotoelemento pagrindu), tačiau buvo naudojamas *p*-tipo silicio pagrindas ir jo paviršius legiruotas fosforu, vėliau suformuojant aukso bei sidabro nanodarinius ant paviršiaus.

Oksalo rūgšties fotodestrukcijos matavimai buvo atliekami naudojant magniu, variu ir nikeliumi legiruotas TiO<sub>2</sub> dangas, nustatant šių dangų fotokatalizinę aktyvumą. Anglies kiekio nustatymui ir jo pakitimui buvo atliekami organinės anglies matavimai (TOC-L, Šimadzu, Japonija), remiantis EN 1484:2002 procedūra. Organinių dažų (rodamino B vandeninio tirpalo) fotodestrukcijos matavimai buvo taikomi norint ištirti plonų TiO<sub>2</sub> dangų su aukso nanodariniiais fotokatalizines savybes. Šiuo atveju buvo tiriamas rodamino B tirpalo skaidrumas bėgant laikui. Fotoelektrocheminiai matavimai su skirtingos *pH* tirpalais (4,8, 6,2 ir 8,8), buvo atliekami naudojant hibridinį TiO<sub>2</sub> fotoelektrodą kartu su Si fotoelemento pagrindu sukurtu priešiniu fotoelektrodu ir platinos katodu.

## 2. REZULTATAI IR JŲ APTARIMAS

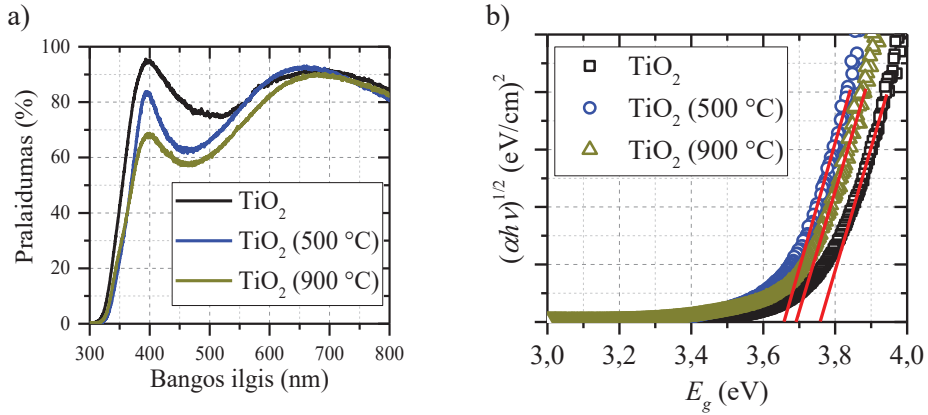
### 2.1. Suformuotų plonų TiO<sub>2</sub> dangų morfologijos ir optinių savybių tyrimas

Zolių-gelių metodu suformuotos plonos TiO<sub>2</sub> dangos (storis apie 672,3 nm) pasižymi dideliu šviesos pralaidumu (80–95 %) regimosios šviesos diapazone (400–800 nm) (**1 pav. (a)**). Taip pat nustatyta, jog draustinės juostos eksponentiškai mažėja, didėjant plonų dangų storiui (arba, kitaip tariant, tankiui) (**1 pav. (b)**). Antra vertus, fizikiniu garų nusodinimo metodu suformuotos plonos TiO<sub>2</sub> dangos (storis apie 200 nm) pasižymi santykinai mažesniu šviesos pralaidumu regimosios šviesos diapazone (**2 pav. (a)**) ir didesniu draustinės juostos pločiu (**2 pav. (b)**). Tačiau, remiantis anksčiau paminėta draustinės juostos pločio priklausomybe nuo dangos tankio, panašu, jog ši priklausomybė yra analogiška ir dangoms, suformuotoms kitais metodais. Nėgana to, draustinės juostos plotis nežymiai skiriasi lyginant amorfinę bei kristalinę TiO<sub>2</sub> struktūrą (remiantis Tauco priklausomybe (**2 pav. (b)**)). Tikėtina, jog tiek zolių-gelių metodu, tiek fizikiniu garų nusodinimo metodu suformuotų plonų TiO<sub>2</sub> dangų draustinės juostos plotis priklauso nuo suformuotų dangų tankio. Dažnu atveju kristalinės anatazo struktūros TiO<sub>2</sub> dangų draustinės juostos plotis gali siekti nuo 3,2 iki 3,5 eV [292]. Tačiau pateiktuose šaltiniuose nėra įvertinamas dangos storis, tankis ar porėtumas.



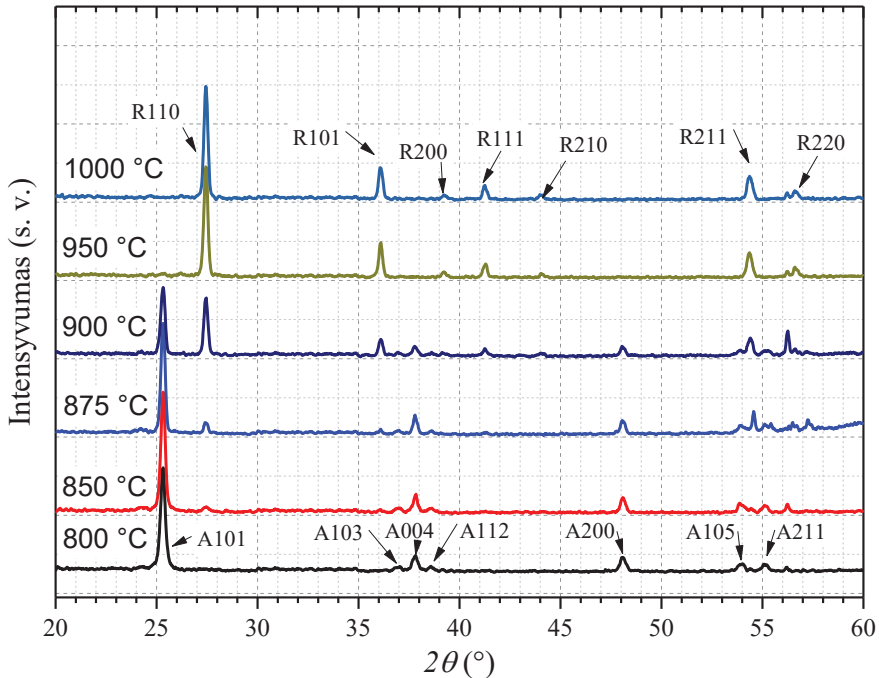
**1 pav.** Šviesos pralaidumo spektras (a) ir optinės draustinės juostos pločio ( $E_g$ ) priklausomybė nuo TiO<sub>2</sub> dangų storio (b).  $T_M$  ir  $T_m$  – kreivės tangento maksimumas ir minimumas, atitinkamai;  $\lambda_{1,2}$  – bangos ilgis ties pirmuoju ir antruoju maksimumais

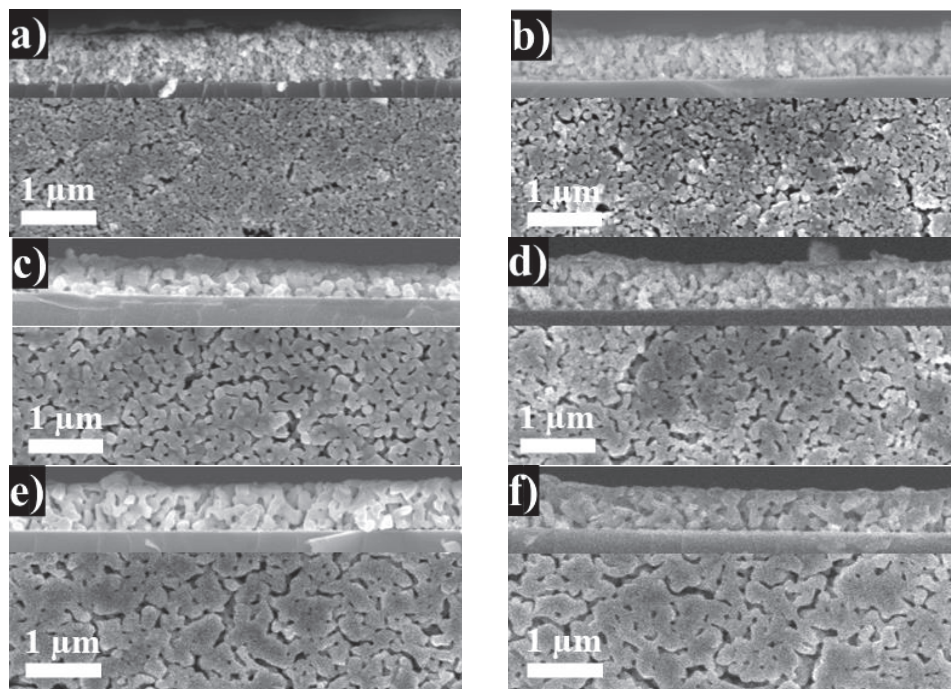
Nėgana to, galima pastebėti, jog draustinės juostos plotis sumažėja, kai plonos TiO<sub>2</sub> dangos iškaitintos 500 °C temperatūroje, tačiau vėl šiek tiek padidėja, kai iškaitinimo temperatūra pakyla iki 900 °C. Toks draustinės juostos pokytis gali būti sąlygotas kristalizacijos proceso (pirmuoju atveju), kai amorfinė TiO<sub>2</sub> struktūra kristalizuojasi į anatazo fazę. Tačiau antruoju atveju draustinės juostos padidėjimui gali daryti įtaką plonų dangų sutankėjimas, t. y. storio sumažėjimas (remiantis anksčiau pateiktais rezultatais).



**2 pav.** Šviesos pralaidumo spektras (a) ir optinės draustinės juostos ( $E_g$ ) įvertinimas per Tauc'o priklausomybę (b)

Rentgeno spindulių difrakcijos matavimai atlikti su plonomis TiO<sub>2</sub> dangomis, kurios formuotos zolių-gelių metodu, taip pat iškaitintos skirtingose temperatūrose (**3 pav.**). Šis tyrimas atliktas siekiant plačiau išanalizuoti plonų TiO<sub>2</sub> dangų kristalizacijos kinetiką. Papildomai yra pateikiamos suformuotų dangų paviršiaus ir skerspjūvio FE-SEM nuotraukos.





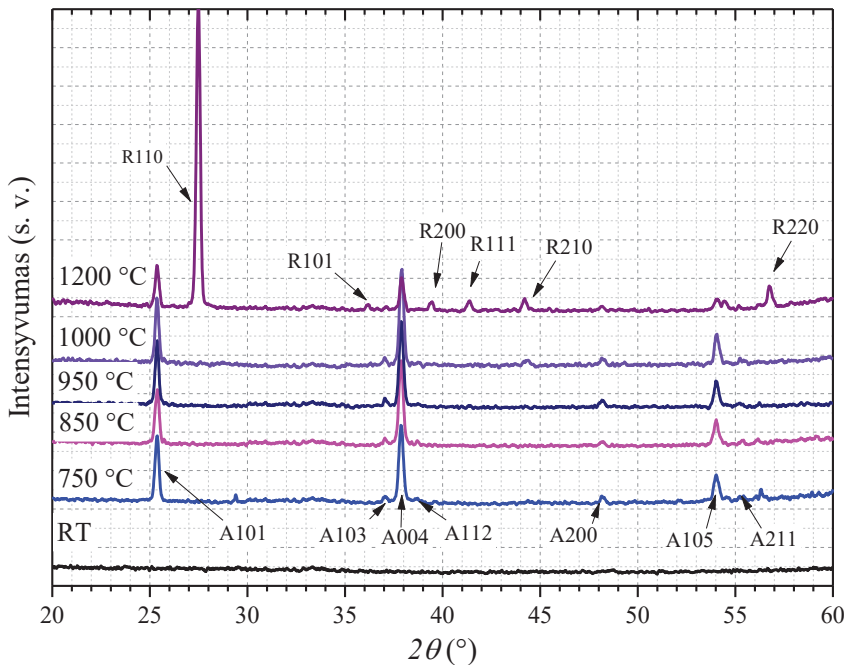
**3 pav.** Zolių-gelių metodu suformuotų plonų TiO<sub>2</sub> dangų XRD spektras ir atitinkamų dangų paviršiaus bei skerspjūvio nuotraukos. Dangos iškaitintos skirtingose temperatūrose: a) 800 °C; b) 850 °C; c) 875 °C; d) 900 °C; e) 950 °C; f) 1000 °C. Čia A atitinka anatazo fazę, o R – rutilo fazę

Remiantis gautais rezultatais, TiO<sub>2</sub> fazės perėjimas iš anatazo į rutilą prasideda ties 850 °C temperatūra, kai maža dalis rutilo fazės (apie 5 %) buvo užfiksuota XRD spektre. Galutinis fazės perėjimas įvyksta, kai plonos TiO<sub>2</sub> dangos yra iškaitinamos sąlygiškai didelėje 1000 °C temperatūroje. Nėgana to, STS-21 hidrozolis yra sudarytas iš TiO<sub>2</sub> anatazo fazės miltelių. Taigi didelė temperatūra, reikalinga įvykti rekristalizacijai iš anatazo fazės į rutilo fazę, yra neįprasta remiantis kitų mokslininkų atliktais tyrimais [271, 273]. Vis dėlto mokslininko S. Miszczako ir jo kolegų atliktas tyrimas parodė, jog TiO<sub>2</sub> kristalizacija ar rekristalizacija gali priklausyti nuo padėklo, ant kurio TiO<sub>2</sub> dangos yra formuojamos [274]. Remiantis šių mokslininkų pasiektais rezultatais, amorfiniam TiO<sub>2</sub> kristalizuotis į anatazo fazę reikia 500 °C temperatūros, nepaisant to, koks padėklas yra naudojamas. Tačiau rekristalizacijos procesui, kurio metu anatazo fazę pakeičia rutilo fazė, reikia 800 °C temperatūros, jeigu naudojamas padėklas yra plieno lydinys (304L); 850 °C temperatūros, jeigu naudojamas padėklas yra Co-Cr-Mo lydinys; ir 1000 °C temperatūros, jeigu naudojamas padėklas yra silicio lydinys. Šie rezultatai atitinka mūsų gautus rezultatus, kai TiO<sub>2</sub> rekristalizacijai iš anatazo fazės į rutilo fazę reikalinga 1000 °C temperatūra. Toks skirtumas gali būti paaiškintas padėklo medžiagos difuzija į TiO<sub>2</sub> esant didelei iškaitinimo temperatūrai. Remiantis E. Blanco ir jo kolegų atliktais tyrimais, kai formuojamos plonos TiO<sub>2</sub> dangos ant silicio padėklų, pastebima silicio difuzija į TiO<sub>2</sub> struktūrą [192]. Šis tyrimas atliktas taikant

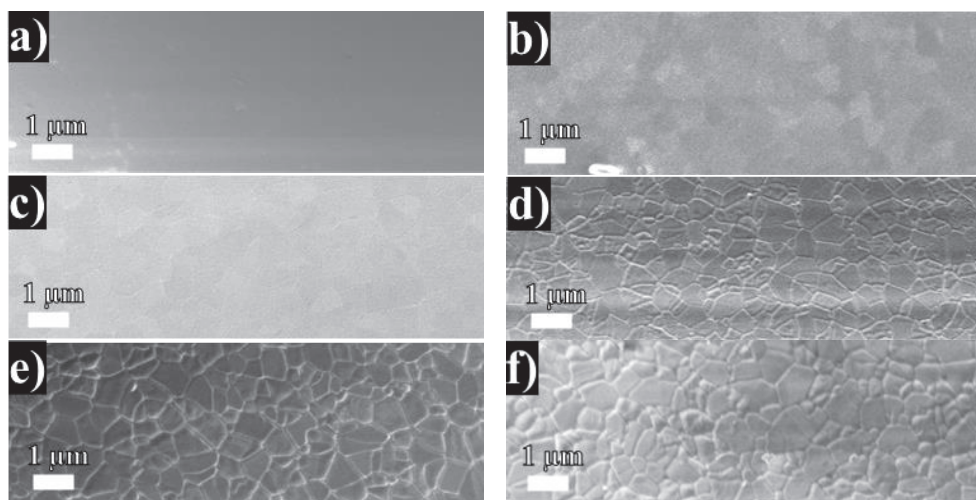


XPS analizę, kurios metu nustatyta, kad silicio koncentracija  $\text{TiO}_2$  struktūroje padidėja, didėjant iškaitinimo temperatūrai. J. G. Yu ir jo kolegos savo straipsnyje teigia, kad  $\text{TiO}_2$  rekristalizacijos procesas gali būti sulėtinamas dėl Si difuzijos į  $\text{TiO}_2$  gardelę, taip „užrakinant“ ryšius tarp titano ir deguonies atomų [170]. Žinant, jog rekristalizacijos procesas vyksta per ryšių nutraukimus ir naujus susijungimus, tai paaiškintų, kodėl yra reikalinga didesnė iškaitinimo temperatūra (analogiškai didesnė aktyvacijos energija ryšių nutraukimui) naudojant silicio padėklus [165, 284]. Mažą to, galima atkreipti dėmesį į pateiktas  $\text{TiO}_2$  dangų paviršiaus ir skerspjūvio FE-SEM nuotraukas, kuriose nesunku išvelgti ploną (apie 20–25 nm storio) sluoksnį tarp padėklo ir  $\text{TiO}_2$ , kuris šiek tiek išsiskiria savo struktūra (**3 pav. (e), (f)**). Tikėtina, jog šis plonas sluoksnis gali būti sudarytas iš anatazo fazės kristalų, tačiau norint tuo įsitikinti reikia atlikti papildomus tyrimus. Kadangi tolimesni tyrimai atlikti naudojant ploną  $\text{TiO}_2$  dangą, iškaitintą 800 °C temperatūroje, šis fazės perėjimas ir naujos struktūros susidarymas ties 950–1000 °C iškaitinimo temperatūra šalia padėklo nebuvo tiriamas.

Analogiškas tyrimas atliktas formuojant ploną  $\text{TiO}_2$  dangą fizikiniu garų nusodinimo metodu. Šiuo atveju pastebėta, kad rekristalizacijos procesas prasideda tik tarp 950 ir 1200 °C temperatūros (**4 pav.**). Skirtumas tarp dangų, suformuotų zolių-gelių metodu ir fizikiniu garų nusodinimo metodu, yra dangos storis (pirmuoju atveju apie 700 nm, antruoju – apie 200 nm) ir porėtumas. Remiantis tuo, jog dangos buvo formuojamos ant tų pačių padėklų, manoma, kad Si difuzija daro didesnę įtaką plonesnėms dangoms, nors lengviau pasireiškia porėtose dangose. Nėgana to, remiantis pateiktomis plonų  $\text{TiO}_2$  dangų paviršiaus FE-SEM nuotraukomis, stebimas grūdelių struktūros susidarymas esant didelėms iškaitinimo temperatūros vėrtėms. Tai gali būti sąlygota liekamųjų įtempimų, susidarančių dėl didelės temperatūros [257].



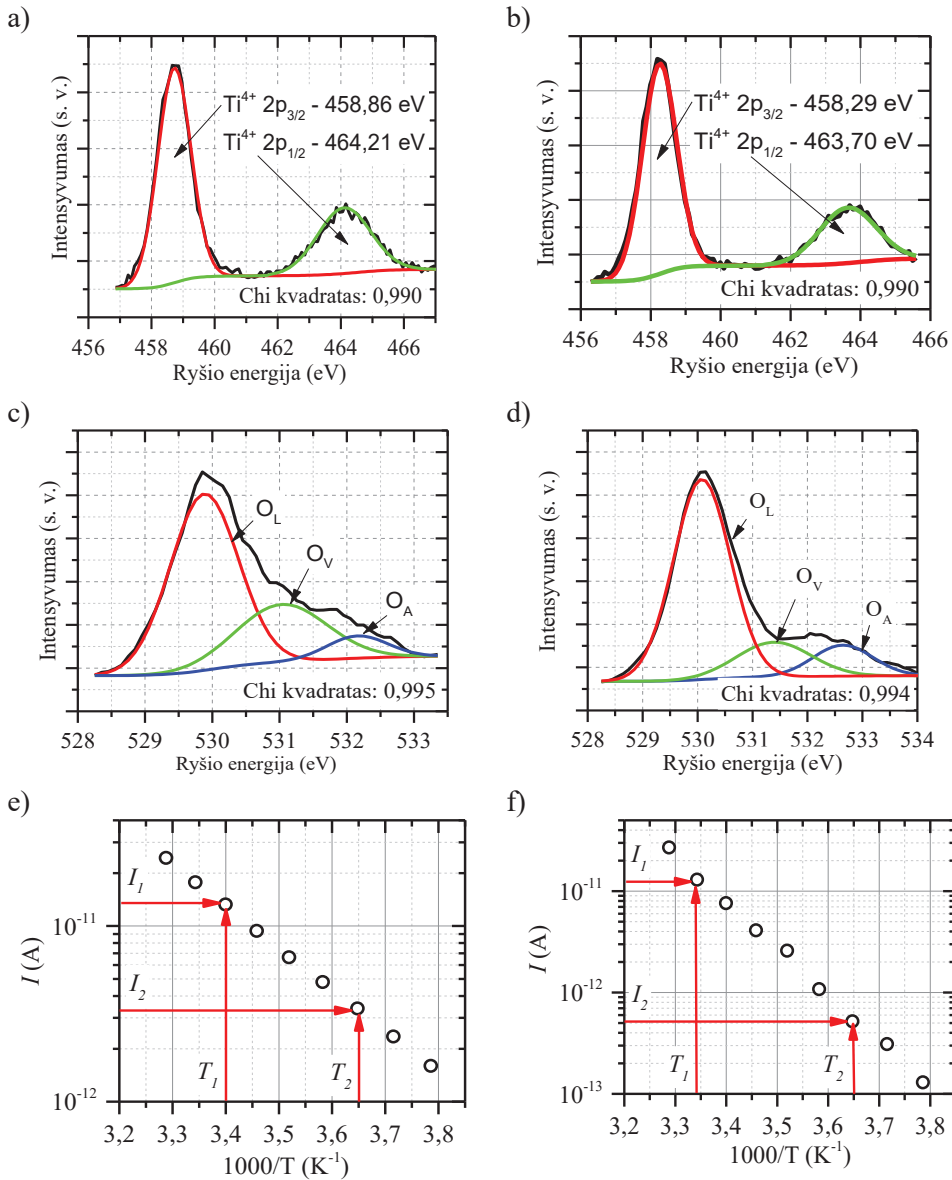




**4 pav.** Fizikiniu garų nusodinimo metodu suformuotų plonų TiO<sub>2</sub> dangų XRD spektras ir atitinkamų dangų paviršiaus bei skerspjūvio nuotraukos. Dangos iškaitintos skirtingose temperatūrose: a) be papildomo iškaitinimo (RT), °C; b) 750 °C; c) 850 °C; d) 950 °C; e) 1000 °C; f) 1200 °C. Čia A atitinka anatazo fazę, o R – rutilo fazę

## 2.2. Cheminės ir elektrinės suformuotų plonų TiO<sub>2</sub> dangų savybės

Suformuotų plonų TiO<sub>2</sub> dangų cheminės ir elektrinės struktūros tyrimas atliktas lyginant anatazo (iškaitinimo temperatūra 800 °C) ir rutilo (iškaitinimo temperatūra 1000 °C) kristalines fazes. Remiantis gautais XPS rezultatais pastebėta, jog pagrindinės TiO<sub>2</sub> smailės (Ti 2p) padėtis (rutilo fazėje) kiek pasislenka link mažesnės ryšio energijos (**5 pav. (a), (b)**) lyginant su anatazo faze. Toks poslinkis gali būti dėl rekristalizacijos proceso, kurio metu nutrūksta silpniausi Ti-O ryšiai ir susikuria nauji [300–302]. Visgi daugiau informacijos galima išgauti iš pagrindinės deguonies (O 1s) smailės, jos padėties bei struktūros. Kitaip tariant, kaip tik šioje smailėje esanti laisvųjų deguonies atomų smailė ir jos plotas netiesiogiai nusako elektrines TiO<sub>2</sub> savybes bei fotokatalizinį aktyvumą: didesnė laisvųjų deguonies atomų koncentracija TiO<sub>2</sub> struktūroje reiškia mažesnę aktyvacijos energiją ir kartu mažesnę energiją, reikalingą elektronui persokti iš Fermi energijos lygmens į laidumo juostą. Šiuo atveju, remiantis XPS matavimais, TiO<sub>2</sub> anatazo fazė turi didesnę laisvųjų deguonies atomų koncentraciją (**5 pav. (c)**) lyginant su rutilo faze (**5 pav. (d)**). Tai patvirtinta atlikus papildomus tamsinės srovės priklausomybės nuo temperatūros tyrimus. Šių tyrimų metu išmatuota ir nustatyta, jog elektronų aktyvacijos energija yra apie 0,472 eV (anatazo fazė) (**5 pav. (e)**) ir 0,902 eV (rutilo fazė) (**5 pav. (f)**). Tai atitinka ankstesnių tyrimų nustatytas vertes šioms fazėms [177–180, 310].

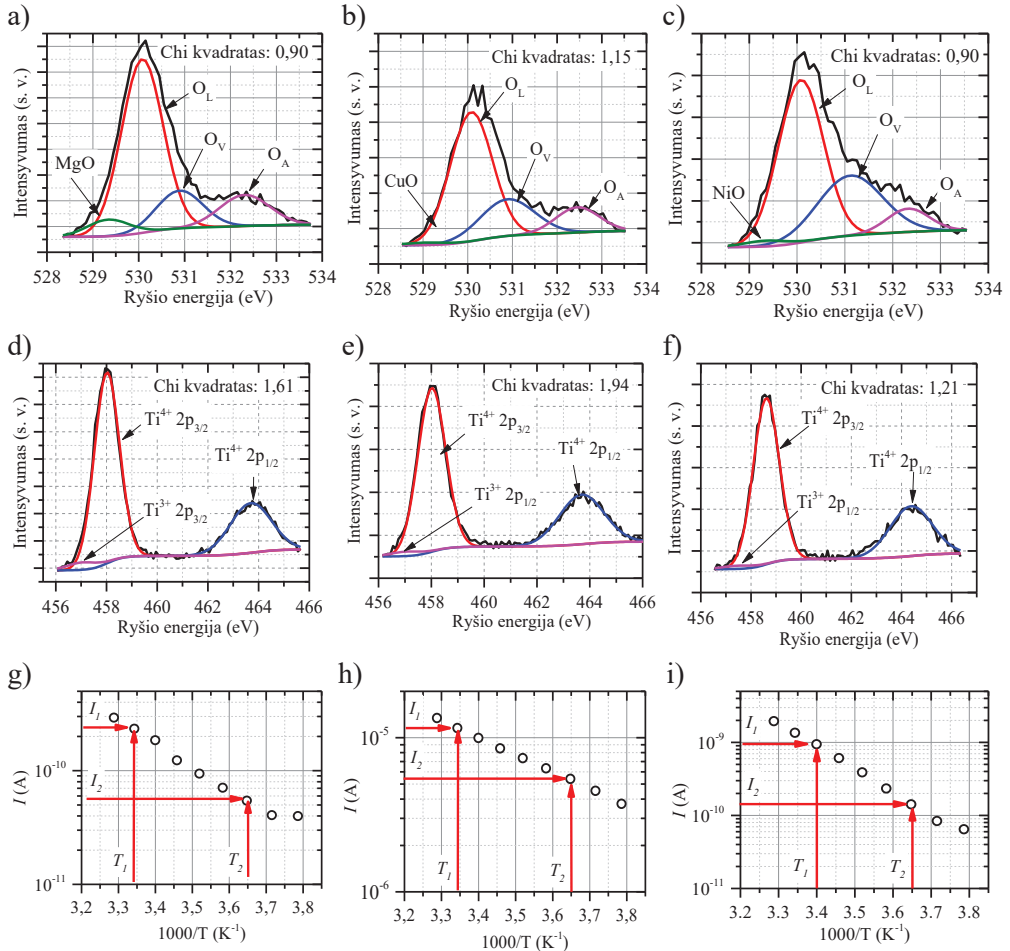


**5 pav.** Plonų TiO<sub>2</sub> dangų XPS spektrai: a) Ti 2p smailė (anatazo fazė); b) Ti 2p smailė (rutilo fazė); c) pagrindinė deguonies O 1s smailė (anatazo fazė); d) pagrindinė deguonies O 1s smailė (rutilo fazė). Tamsinės srovės priklausomybė nuo temperatūros (e) anatazo fazė ir (f) rutilo fazė

### 2.3. Legiruotų plonų TiO<sub>2</sub> dangų savybės

Analogiškai XPS matavimai atlikti su legiruotomis plonomis TiO<sub>2</sub> dangomis. Plonos TiO<sub>2</sub> dangos legiruotos magniu, variu ir nikeliumi. Tyrimų metu nustatyta, jog formuojant legiruotas TiO<sub>2</sub> struktūras, priemaišos taip pat sudaro metalo oksidų junginius (**6 pav. (a), (b), (c)**). Negana to, priemaišos padidina taškinių defektų

koncentraciją  $\text{TiO}_2$  struktūroje, tai galime pastebėti iš pagrindinės  $\text{TiO}_2$  Ti 2p smailės sudėties. Nors  $\text{Ti}^{3+}$  gali susidaryti dėl amorfinės  $\text{TiO}_2$  struktūros, nurodyto piko intensyvumo skirtumas pagal priemaišas leidžia manyti, jog taškiniai defektai, kurie sąlygoja  $\text{Ti}^{3+}$  susidarymą, galėjo atsirasti ir dėl priemaišų [305]. Ryšio energijos skirtumas tarp pagrindinės  $\text{TiO}_2$  ir deguonies smailių atitinka V. V. Atuchino ir jo kolegų pateiktas vertes [304]. Šių mokslininkų tyrimas rodo, kad ryšio energija tarp minėtų smailių padėčių yra didesnė legiruotame  $\text{TiO}_2$  lyginant su grynu  $\text{TiO}_2$ . Negana to, pastebėta, kad jungtis tarp Ti ir O atomų ( $\text{Ti}^{3+}$ ) ilgėja didėjant priemaišų koncentracijai, to nebuvo pastebėta tarp  $\text{Ti}^{4+}$  ir O 1s.



**6 pav.** Legiruotų  $\text{TiO}_2$  dangų XPS spektrai. Pagrindinės deguonies O 1s ir  $\text{TiO}_2$  Ti 2p smailės (a), (d) –  $\text{TiO}_2/\text{MgNC}$ ; (b), (e) –  $\text{TiO}_2/\text{CuNC}$ ; (c), (f) –  $\text{TiO}_2/\text{NiNC}$ , atitinkamai. Tamsinės srovės priklausomybė nuo temperatūros: g)  $\text{TiO}_2/\text{MgNC}$ ; h)  $\text{TiO}_2/\text{CuNC}$ ; i)  $\text{TiO}_2/\text{NiNC}$

Tamsinės srovės priklausomybės nuo temperatūros matavimas leido įvertinti, kaip keičiasi Fermi energijos lygmens padėtis  $\text{TiO}_2$  draustinėje juostoje esant skirtingoms priemaišoms. Šiuo atveju apskaičiuota aktyvacijos energija suformuotose struktūrose yra 0,60 eV ( $\text{TiO}_2/\text{Mg}$ ); 0,23 eV ( $\text{TiO}_2/\text{Cu}$ ); 0,64 eV ( $\text{TiO}_2/\text{Ni}$ ). Nors šis

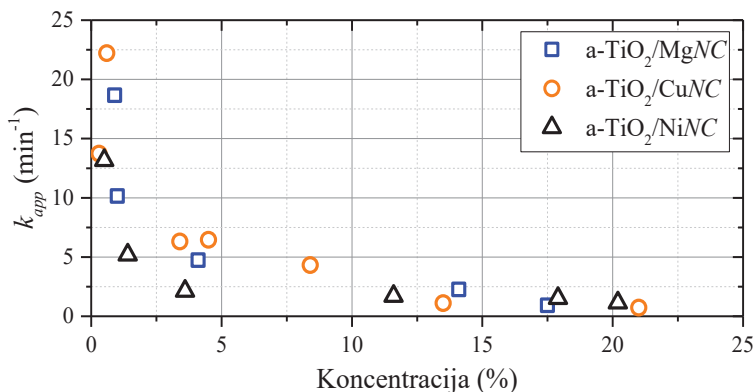
matavimas atliekamas suformuotų struktūrų paviršiuje ir matuojama paviršinė srovė, galima manyti, jog analogiškos savybės būdingos ir gilesniems sluoksniams.

Negana to, taip pat, kaip formuojant dviejų ar daugiau puslaidininkių struktūras yra reikalingas energinių juostų sulyginimas, taip ir šiuo atveju galima apskaičiuoti priemaišų ir TiO<sub>2</sub> sandūros susidariusio barjero aukštį (**1 lentelė**). Skaičiavimai atlikti naudojant ankstesniuose straipsniuose pateiktas elektrono darbo funkcijos vertes. TiO<sub>2</sub> ir Mg sandūroje susidariusio barjero aukštis gali siekti iki 0,74 eV; tarp TiO<sub>2</sub> ir Cu – nuo 0,13 iki 0,50 eV; tarp TiO<sub>2</sub> ir Ni – nuo 0,64 iki 0,82 eV.

**1 lentelė.** Apskaičiuotas sandūros barjero aukštis, remiantis elektrono darbo funkcijos vertėmis [335–337]

	a-TiO <sub>2</sub>	Mg	$\Phi_{TiO_2} - \Phi_{Mg}$	Cu	$\Phi_{TiO_2} - \Phi_{Cu}$	Ni	$\Phi_{TiO_2} - \Phi_{Ni}$
Darbo funkcija ( $\Phi$ , eV)	4,4	3,66	-0,74	4,53–5,10	0,13–0,50	5,04–5,35	0,64–0,82

Remiantis pateiktais rezultatais galima manyti, jog TiO<sub>2</sub> legiravimas variu turėtų žymiau pagerinti fotokatalizines savybes nei legiravimas magniu ar nikeliumi. Fotokatalizinio aktyvumo tyrimas parodė, jog fotodestrukcijos greičio konstanta yra didžiausia naudojant TiO<sub>2</sub>/CuNC struktūrą, kurioje Cu priemaišų koncentracija yra apie 0,6 %, toliau eina TiO<sub>2</sub>/MgNC su 0,9 % priemaišų koncentracija ir TiO<sub>2</sub>/NiNC su 0,5 % priemaišų koncentracija. Negana to, nustatyta, kad geriausias fotokatalizinis aktyvumas yra pasiekiamas, kai priemaišų koncentracija TiO<sub>2</sub> struktūroje neviršija 1 % (**7 pav.**). Žinoma tai, jog priemaišos sukuria taškinis defektus, o didėjant jų koncentracijai sąlygiškai gerėja fotokatalizinis aktyvumas. Per didelę minėtų defektų koncentracija gali taip pat padidinti krūvių rekombinacijos tikimybę dėl per mažo atstumo tarp defektų [324]. Remiantis pateiktais rezultatais galima teigti, jog teoriniai skaičiavimai iš dalies patvirtina praktinius rezultatus, o juos atlikti galima prieš formuojant vienas ar kitas struktūras, kad įsitikintume, kaip iš tiesų bus paveiktos TiO<sub>2</sub> fotokatalizinės savybės.



**7 pav.** Fotodestrukcijos greičio konstantos priklausomybė nuo priemaišų koncentracijos TiO<sub>2</sub> struktūroje [195]

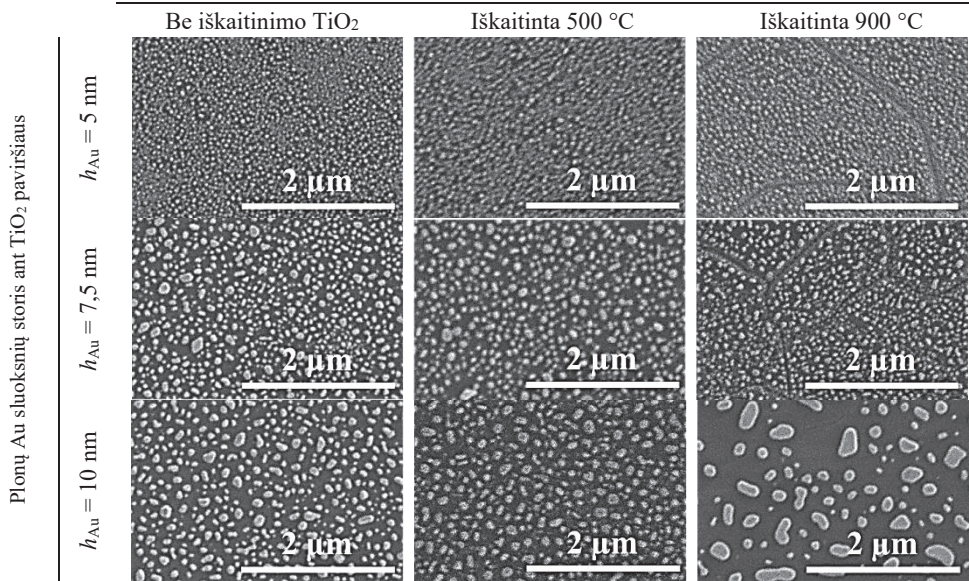
## 2.4. Fotokatalizinės plonų TiO<sub>2</sub> dangų su paviršiuje suformuotais aukso nanodarinių savybės

Formuojant aukso nanodarinius ant TiO<sub>2</sub> paviršiaus nustatyta nanodarinių susiformavimo priklausomybė nuo pradinio Au sluoksnio storio, taip pat nuo TiO<sub>2</sub> paviršiaus struktūros (remiantis skirtinga iškaitinimo temperatūra) (**8 pav.**). Nanodarinių tankis sumažėja nuo 316,4 μm<sup>-2</sup> iki 82,8 μm<sup>-2</sup>, didėjant pradiniam Au sluoksnio storiui. Dar panaši priklausomybė nustatyta tuo atveju, kai prieš formuojant plonas Au dangas ant TiO<sub>2</sub> paviršiaus šis buvo iškaitintas skirtingose temperatūrose (nanodarinių tankis mažėja didėjant iškaitinimo temperatūrai). Tokiu atveju tankis sumažėja nuo 316,4 μm<sup>-2</sup> iki 245,8 μm<sup>-2</sup>, formuojant NP ant neiškaitinto TiO<sub>2</sub> ir iškaitinto 900 °C temperatūroje, atitinkamai. Tačiau priešinga priklausomybė pastebėta, kai 10 nm storio Au sluoksnis buvo suformuotas ant neiškaitinto TiO<sub>2</sub> ir TiO<sub>2</sub>, iškaitinto 500 °C temperatūroje. Šiuo atveju stebėtas nanodarinių tankio padidėjimas nuo 82,8 μm<sup>-2</sup> iki 114,9 μm<sup>-2</sup>. Remiantis tuo, jog formuojant Au nanodarinius struktūros buvo kaitinamos 500 °C temperatūroje apie 60 min, galima teigti, jog TiO<sub>2</sub> struktūroje (nepaisant ankstesnio iškaitinimo) taip pat vyko rekristalizacijos procesai, kurie galėjo daryti įtaką nanodarinių formavimui [339].

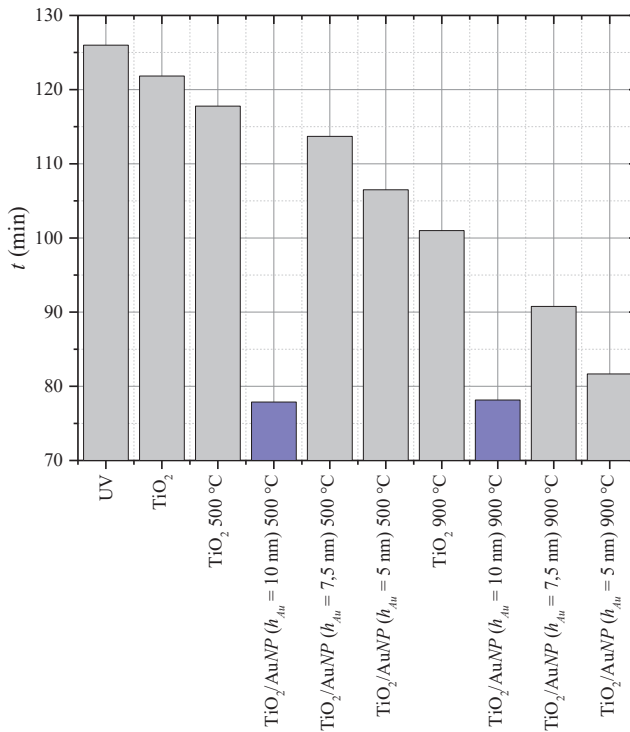
Fotokatalizinių savybių tyrimas su suformuotomis TiO<sub>2</sub>/AuNP dangomis atliktas tiriant rodamino B vandeninio tirpalo skilimą. Tyrimo metu nustatyta, kad 50 % tiriamojo tirpalo yra suskaidoma per mažiau nei 80 min naudojant TiO<sub>2</sub>/AuNP, kai pradinis plonas Au sluoksnis yra 10 nm storio, o TiO<sub>2</sub> iškaitintas 500 °C ir 900 °C temperatūroje (**9 pav.**). Per kiek daugiau nei 80 min 50 % tirpalo suskyla naudojant TiO<sub>2</sub>/AgNP (pradinis plonos Au dangos storis apie 5 nm). Nors 900 °C temperatūroje iškaitinto TiO<sub>2</sub> draustinės juostos plotis yra kiek didesnis (apie 3,70 eV) nei iškaitinto 500 °C (apie 3,65 eV) ir mažesnis nei neiškaitinto (apie 3,75 eV), geresnis fotokatalizinis aktyvumas gali būti sąlygotas didesnio TiO<sub>2</sub> paviršiaus ploto (**8 pav.**). Nors didžiausios fotodestrukcijos greitis pasiektas naudojant TiO<sub>2</sub>/AuNP (kai pradinis Au sluoksnio storis yra 10 nm), nepastebėta, ar fotokatalizinės savybės priklauso nuo Au nanodarinių formos ir dydžio.



Plonų  $\text{TiO}_2$  dangų iškaitinimo temperatūra



8 pav.  $\text{TiO}_2/\text{AgNP}$  struktūrų FE-SEM paviršiaus nuotraukos [200]



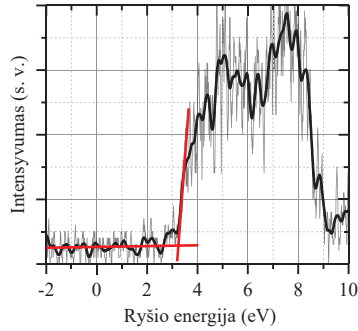
9 pav. Laikas, per kurį rodamino B vandeninis tirpalas pasiekė 50 % koncentraciją fotodestrukcijos metu naudojant atitinkamas struktūras kaip fotokatalizatorius



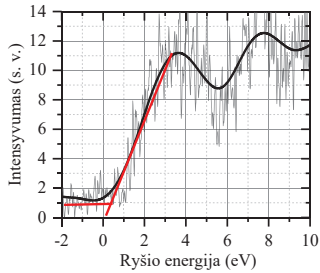
## 2.5. Hibridinis TiO<sub>2</sub> fotoelektrodas ir Si fotoelemento pagrindu sukurtas priešinis fotoelektrodas

Formuojant dviejų ar daugiau puslaidininkių struktūrą fotokataliziniam panaudojimui svarbu sulyginti energines juostas. Sėkmingas energinių juostų sulyginimas gali sąlygoti geresnes krūvių atskyrimo ar rekombinacijos sumažinimo tikimybes. Dėl šios priežasties buvo atliktas tyrimas, kurio metu nustatyta sandūros laidumo priklausomybė nuo priemaišų koncentracijos ( $N_D$ )  $n$ -tipo Si padėkle. Tyrimas apima valentinės juostos regiono XPS (**10 pav. (a), (b), (c), (d)**) ir I-V charakteristikos matavimus (**10 pav. (e), (f), (g)**). Jų metu išmatuotas ir apskaičiuotas valentinės ir laidumo juostų poslinkis tarp TiO<sub>2</sub> ir  $n$ -tipo silicio. Remiantis apskaičiuotomis valentinės juostos poslinkio vertėmis tarp TiO<sub>2</sub> ir  $n$ -tipo silicio, kurios yra 0,61 eV ( $n$ -Si priemaišų koncentracija  $N_D = 10^{15-16} \text{ cm}^{-3}$ ); 0,77 eV ( $N_D = 10^{17-18} \text{ cm}^{-3}$ ); 0,90 eV ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ), ir išmatuotu TiO<sub>2</sub> (~3,5 eV) bei  $n$ -Si (~1,12 eV) draustinės juostos pločiu, buvo apskaičiuotas laidumo juostų poslinkis. Šiuo atveju jis yra -0,22 eV ( $N_D = 10^{15-16} \text{ cm}^{-3}$ ); -0,06 eV ( $N_D = 10^{17-18} \text{ cm}^{-3}$ ); +0,07 eV ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ). Neigiamas laidumo juostos poslinkis reiškia, kad  $n$ -tipo Si laidumo juosta yra aukštesniame energiniame lygmenyje lyginant su TiO<sub>2</sub>, ir atvirkščiai. Žinant, jog dviejų puslaidininkių sandūroje Fermi energijos lygmuo susilygina, tai reikštų, jog neigiamas laidumo juostų poslinkis sukuria papildomą potencialinį barjerą tarp TiO<sub>2</sub> ir  $n$ -tipo silicio, kurį elektronai turi pereiti. O štai teigiamas laidumo juostų poslinkis nurodo, kad šis barjeras nesusidaro, ir sandūros laidumas turėtų būti ominis. Norint įsitikinti XPS matavimų ir skaičiavimų metu gautais rezultatais, buvo atlikti I-V charakteristikos matavimai, matuojant elektros srovės priklausomybę nuo įtampos, statmenai sandūrai. Pastebėta, jog sandūroje tarp TiO<sub>2</sub> ir  $n$ -Si ( $N_D = 10^{15-16} \text{ cm}^{-3}$ ) elektros srovės tekėjimas galimas tik viena kryptimi, o priešinga kryptimi keliant įtampą (net iki -10 V), nebuvo užfiksuotas srovės tekėjimas. Padidinus priemaišų koncentraciją  $n$ -tipo Si padėkle iki  $N_D = 10^{17-18} \text{ cm}^{-3}$  ir sumažėjus potencialiniam barjerui, srovės tekėjimas galimas abiem kryptimis; tačiau srovės stiprio priklausomybė nuo įtampos nėra tiesioginė, o I-V charakteristikos matavimų kreivė yra asimetriška. Tačiau padidinus priemaišų koncentraciją  $n$ -Si padėkle iki  $N_D = 10^{20-21} \text{ cm}^{-3}$  sandūra pasižymi ominių kontaktu ir simetriška I-V charakteristika, kai srovės stipris tiesiogiai proporcingas įtampai. Negana to, pastebėtas sąlyginis srovės stiprio padidėjimas esant tai pačiai įtampai, tačiau skirtingiems padėklams. Tai reiškia, kad kai naudojamas  $n$ -Si ( $N_D = 10^{15-16} \text{ cm}^{-3}$ ), srovės stipris esant 10 V įtampai padidėja iki maždaug 15  $\mu\text{A}$ ; su  $n$ -Si ( $N_D = 10^{17-18} \text{ cm}^{-3}$ ) srovės stipris esant 1 V įtampai, pasiekia apie 0,8  $\mu\text{A}$  ir toliau eksponentiškai didėja; su  $n$ -Si ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ) srovės stipris esant 0,3 V įtampai padidėja iki 2000  $\mu\text{A}$ . Praktiniai matavimai patvirtina teoriniais skaičiavimais prietas išvadas dėl energinių juostų sulyginimo. Panašūs rezultatai buvo gauti ir kitų mokslininkų atliktuose tyrimuose [112, 313]. Nepaisant to, energinių juostų sulyginimą tarp dviejų skirtingų puslaidininkių galima atlikti įterpiant papildomą ploną metalo ar puslaidininkio sluoksnį [222]. Tačiau, remiantis tolimesniu šio energinių juostų sulyginimo panaudojimu, tai gali daryti neigiamą įtaką šviesos pralaidumui, o kartu ir fotokataliziniams savybėms.

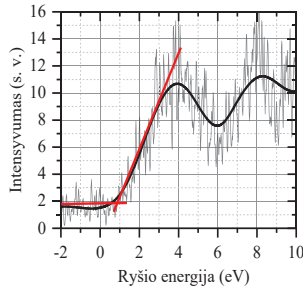
a)



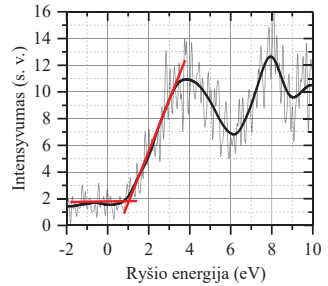
b)



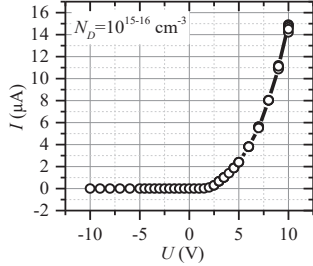
c)



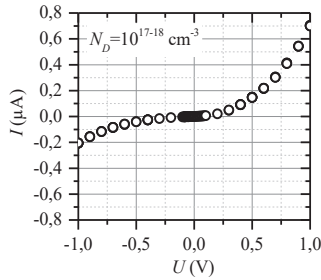
d)



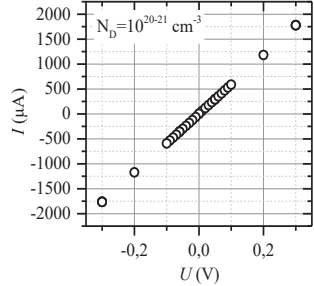
e)



f)



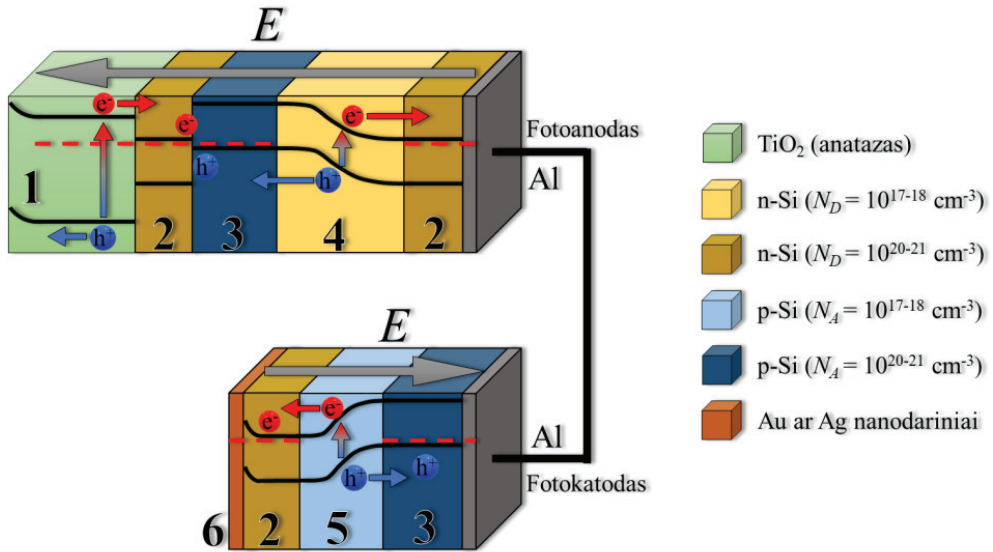
g)



**10 pav.** Plonų TiO<sub>2</sub> dangų (a) ir *n*-tipo silicio (b), (c), (d) (su skirtinga priemaišų koncentracija) XPS spektrai ties valentinės juostos regionu, taip pat I-V charakteristikos atitinkamai struktūrai, kai *n*-Si priemaišų koncentracija: e)  $N_D = 10^{15-16} \text{ cm}^{-3}$ ; f)  $N_D = 10^{17-18} \text{ cm}^{-3}$ ; g)  $N_D = 10^{20-21} \text{ cm}^{-3}$  [107]

Remiantis pateiktų matavimų rezultatais, sukurtas hibridinio TiO<sub>2</sub> fotoelektrodo dizainas ir suformuota struktūra. Taip pat analogiškai suformuotas priešinis fotoelektrodas (Si fotoelemento pagrindu) (**11 pav.**). Remiantis pateiktu grafiniu hibridinio TiO<sub>2</sub> fotoelektrodo ir priešinio fotoelektrodo atvaizdu, taip pat suformuota struktūra, ši sistema galėtų radikaliai padidinti sugeneruotų krūvių atskyrimo tikimybę. Suformuotos struktūros dėka sukurtas vidinis elektrinis laukas elektronus ir skylės nukreiptų priešingomis kryptimis: TiO<sub>2</sub> sluoksnyje sugeneruoti elektronai tekėtų į *n*-Si sluoksnį ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ), o štai skylės tekėtų į TiO<sub>2</sub> paviršius; *pn* sandūroje sugeneruoti elektronai tekėtų link aluminio elektrodo, suformuoto ant hibridinio TiO<sub>2</sub> fotoelektrodo dugno, o skylės būtų nukreiptos į *n*-Si sluoksnį ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ), kuriame įvyktų krūvininkų rekombinacija. Tuo metu vidinio

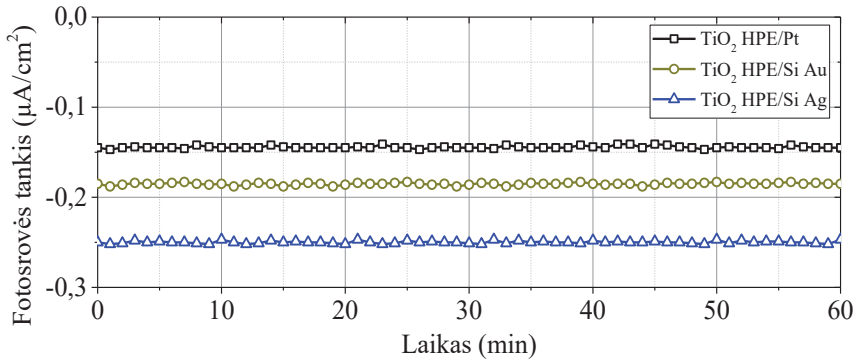
elektrinio lauko dėka priešinio fotoelektrodo struktūroje sugeneruoti krūvininkai būtų nukreipti skirtingomis kryptimis: skylės judėtų link aliuminio elektrodo, suformuoto ant fotoelektrodo dugno, kuriame įvyktų krūvininkų rekombinacija; sugeneruoti elektronai būtų nukreipti į priešinio fotoelektrodo paviršių, ant kurio suformuoti aukso ar sidabro nanodariniai. Manoma, jog šitaip būtų pasiekiamas fotojautrumas regimosios šviesos diapazone ir krūvininkų rekombinacijos sumažinimas iki minimalių verčių.



**11 pav.** Grafinis hibridinio  $\text{TiO}_2/\text{Si}$  fotoelektrodo ir Si fotoelemento pagrindu sukurto priešinio fotoelektrodo sistemos atvaizdas su pažymėta vidinio elektrinio lauko kryptimi ( $E$ ), taip pat elektronų ( $e^-$ ) ir skylių ( $h^+$ ) judėjimo kryptimi. Čia: 1)  $\text{TiO}_2$  sluoksnis; 2)  $n$ -tipo Si sluoksnis ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ); 3)  $p$ -tipo Si ( $N_A = 10^{20-21} \text{ cm}^{-3}$ ); 4)  $n$ -tipo Si ( $N_D = 10^{17-18} \text{ cm}^{-3}$ ); 5)  $p$ -tipo Si ( $N_A = 10^{20-21} \text{ cm}^{-3}$ ); 6) Au arba Ag nanodariniai; Al – aliuminio elektrodas

Manoma, jog priešinis fotoelektrodas turėtų pakeisti brangų platinos katodą, kuris yra plačiai naudojamas analogiškuose tyrimuose. Dėl šios priežasties atlikti fotosrovės tankio matavimai naudojant platinos elektrodą, priešinį fotoelektrodą su aukso ir sidabro nanodariniiais (**12 pav.**). Remiantis gautais rezultatais pastebėta, jog fotosrovės tankis naudojant platinos elektrodą yra apie  $0,145 \mu\text{A}/\text{cm}^2$ . Tačiau naudojant priešinį fotoelektrodą su aukso nanodariniiais ant paviršiaus, fotosrovės tankis padidėja beveik 27,5 % (iki  $0,185 \mu\text{A}/\text{cm}^2$ ) lyginant su platinos elektrodu. Negana to, atlikti tyrimai, kuriuose buvo naudojamas priešinis fotoelektrodas su sidabro nanodariniiais ant paviršiaus, parodė, jog fotosrovės tankis šiuo atveju padidėja beveik 72,4 % (iki  $0,25 \mu\text{A}/\text{cm}^2$ ). Šis fotosrovės tankių skirtumas tarp skirtingų priešinių fotoelektrodų gali būti sąlygotas potencialinio barjero ( $\phi$ ) ir nuskurdinto sluoksnio ( $W$ ) susidarymu tarp aukso ar sidabro nanodarinių ir  $n$ -tipo Si ( $N_D = 10^{20-21} \text{ cm}^{-3}$ ) sluoksnio. Šiuo atveju potencialinio barjero aukštis tarp  $n$ -Si ir Au yra apie 1,05–1,42 eV, o nuskurdintas sluoksnis – 1,11–4,13 nm; tarp  $n$ -Si ir Ag šios

vertės yra 0,21–0,68 eV ir 0,36–2,72 nm, atitinkamai. Taigi elektrono perėjimas iš *n*-tipo Si sluoksnio į suformuotus nanodarinius yra „lengvesnis“ sidabro nanodarinių atveju.



**12 pav.** Fotosrovės tankio stabilumo matavimai laike, naudojant UV spinduliuotę. Čia TiO<sub>2</sub> HPE/Pt yra hibridinio TiO<sub>2</sub> fotoelektrodo ir platinos katodo sistema; TiO<sub>2</sub> HPE/Si Au ar TiO<sub>2</sub> HPE/Si Ag yra hibridinio TiO<sub>2</sub> fotoelektrodo ir priešinio fotoelektrodo su aukso ar sidabro nanodarinių sistema

### 3. IŠVADOS

1. Nustatyta, jog draustinės juostos plotis plonose  $\text{TiO}_2$  dangose, suformuotose taikant zolių-gelių metodą, labiau priklauso nuo dangos morfologinių parametrų (t. y. tankio, kuris sąlygiškai priklauso nuo suformuotos dangos storio), nei nuo kristalinės  $\text{TiO}_2$  fazės. Šiuo atveju nustatyta, jog draustinės juostos plotis sumažėja nuo 3,8 eV iki 3,25 eV, storiui padidėjus nuo 200 nm iki 2400 nm. Tačiau papildoma analizė būtina norint nuodugniau išnagrinėti šią priklausomybę.

2. Nustatyta, jog formuojant plonas  $\text{TiO}_2$  dangas fizikiniu garų nusodinimo bei zolių-gelių metodu, reikalingos didelės iškaitinimo temperatūros (apie 850–1000 °C) rekristalizacijos procesui (fazės perėjimui iš anatazo į rutilo) įvykti.

3. Atliktų XPS matavimų dėka nustatyta, jog  $\text{TiO}_2$  anatazo fazė pasižymi didesne laisvųjų deguonies atomų koncentracija (didesne taškinių defektų koncentracija) nei  $\text{TiO}_2$  rutilo fazė. Atlikti tamsinės srovės priklausomybės nuo temperatūros matavimai, kai aktyvacijos energija ~0,472 eV ir 0,902 eV buvo nustatyta anatazo ir rutilo fazėms, atitinkamai, patvirtina XPS matavimų metu pateiktas prielaidas.

4. Fotodestrukcijos greičio konstanta eksponentiškai mažėja didėjant priemaišų (Mg, Cu, Ni) koncentracijai  $\text{TiO}_2$  struktūroje. Nustatyta aktyvacijos energija šioms struktūroms ( $\text{TiO}_2/\text{Mg} - 0,60$  eV;  $\text{TiO}_2/\text{Cu} - 0,23$  eV;  $\text{TiO}_2/\text{Ni} - 0,64$  eV) ir potencialinio barjero aukštis (0,74, 0,13–0,50 ir 0,64–0,82 eV, atitinkamai). Didžiausia fotodestrukcijos greičio konstanta buvo nustatyta  $\text{TiO}_2/\text{Cu}/\text{NC}$  sistemai su 0,6 % Cu koncentracija  $\text{TiO}_2$  struktūroje, toliau eina  $\text{TiO}_2/\text{Mg}/\text{NC}$  su 0,9 % Mg koncentracija ir  $\text{TiO}_2/\text{Ni}/\text{NC}$  su 0,5 % koncentracija.

5. Nustatyta, jog aukso nanodarinių formavimas ant  $\text{TiO}_2$  paviršiaus pagerina fotokatalizines  $\text{TiO}_2$  savybes. Rodamino B vandeninio tirpalo fotodestrukcija iki 50 % buvo pasiekta per mažiau nei 80 min naudojant  $\text{TiO}_2/\text{Au}/\text{NP}$  (su pradiniu 10 nm Au sluoksnio storio), o tuo atveju naudojant tik  $\text{TiO}_2$ , analogiškas rezultatas buvo pasiektas vos per daugiau nei 120 min.

6. Energinių juostų sulyginimas buvo atliktas prieš formuojant hibridinį  $\text{TiO}_2$  fotoelektrodą ir nustatyta, jog *n*-tipo Si sluoksnis su priemaišų koncentracija  $N_D = 10^{20-21} \text{ cm}^{-3}$  sudaro ominių kontaktą su  $\text{TiO}_2$ . Negana to, suformuotas hibridinis  $\text{TiO}_2$  fotoelektrodas ir priešinis fotoelektrodas su aukso bei sidabro nanodariniiais ant paviršiaus pasiekė 24,5 % (0,185  $\mu\text{A}/\text{cm}^2$ ) ir 72,4 % (0,25  $\mu\text{A}/\text{cm}^2$ ) didesnę fotosrovės tankį lyginant su platinos elektrodu (0,145  $\mu\text{A}/\text{cm}^2$ ).

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UDK 544.526.5+539.216.2](043.3)

SL 344. 2022-12-14, 19,25 leidyb. apsk. I. Tiražas 14 egz. Užsakymas 248.  
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